

## Main Manuscript for

### Ocean eddy compensation regulates feedbacks amplifying ozone-induced Southern Ocean cooling

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Main Text  
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1 **Abstract**

2  
3 This study extends previous work suggesting that the cooling trend observed in the Southern  
4 Ocean over the recent decades was primarily driven by Southern Hemisphere stratospheric ozone  
5 depletion and highlights the compensating role of ocean eddy-induced meridional heat transport  
6 and meridional overturning circulation in shaping the ozone-driven response. We hypothesize that  
7 while the ozone-driven intensification of the westerlies initiates the surface cooling in the Southern  
8 Ocean through enhanced equatorward Ekman transport, the persistence and amplification of this  
9 cooling depend critically on the extent of oceanic eddy compensation, which regulates wind-driven  
10 atmosphere-ocean feedback. Unlike standard low-resolution Earth system models that  
11 parameterize eddy heat transport, the high-resolution Community Earth System Model (CESM-  
12 HR), which explicitly represents eddies, exhibits much weaker compensation. This allows the wind-  
13 driven circulation to dominate the meridional overturning, enhancing upwelling and the northward  
14 transport of cooler waters in the Southern Ocean, thereby strengthening the wind-driven  
15 atmosphere-ocean feedback and producing a more pronounced Southern Ocean cooling trend that  
16 aligns more closely with observations.

17  
18 **Significance Statement**

19  
20 Skillful prediction of climate variations on season-to-decadal timescales is essential for informed  
21 decision-making. However, widely used coarse-resolution ( $\sim 1^\circ$  horizontal grid spacing) Earth  
22 system models systematically fail to reproduce the observed Southern Ocean sea surface  
23 temperature (SST) trends over 1980-2020, undermining their predictive capability. We present an  
24 unprecedented 10-member ensemble using a high-resolution Earth system model that successfully  
25 captures observed cooling. This improvement is driven by more realistic ocean dynamics, in which  
26 explicitly resolved eddies regulate ocean-atmosphere feedbacks which amplifies ozone-induced  
27 cooling.

28  
29  
30 **Main Text**

31  
32  
33 **Introduction**

34  
35 The Southern Ocean in the past few decades has been characterized by strengthening  
36 zonal surface winds ( $\tau_x$ ) and associated meridional sea level pressure (SLP) gradients, decreasing  
37 sea surface temperature (SST), and expanding sea-ice cover. Rapid stratospheric ozone depletion  
38 over the South Pole since the 1970s is hypothesized to drive these surface signals by cooling the  
39 lower polar stratosphere, which in turn alters vertical wave propagation and wave-mean flow  
40 interactions in the troposphere, resulting in a strengthening and poleward shift of the westerlies,  
41 including at the surface over the Southern Ocean (1-6).

42 This hypothesis has been partially validated through observations and numerical  
43 experiments (2, 6-11), but Southern Ocean trends remain systematically under-represented in  
44 Earth system models participating in both phases 5 and 6 of the Coupled Model Intercomparison  
45 Project (CMIP5 and CMIP6) (12-14). Although coarse-/low-resolution (nominal  $\sim 1^\circ$ , or  $\sim 100$  km,  
46 ocean and atmosphere) CMIP models fail to capture the observed SST cooling trends, simulations  
47 with fixed pre-depletion ozone concentrations have been found to produce warmer SST trends than  
48 simulations with realistic ozone depletion (9).

49           Until recently, the Southern Ocean cooling has largely been considered to be externally  
50 forced rather than internally generated. However, given the inability of climate models to reproduce  
51 these SST trends, the complete picture remains unexplained. Indeed, a study of observed climate  
52 trends over the pre-ozone depletion period (1950-1978) and the ozone-depletion period (1979-  
53 2011) found pronounced multidecadal variability of similar magnitude in both time periods (15).  
54 Furthermore, a comparison of long-term variability in Antarctic sea-ice extent with the Southern  
55 Annular Mode (SAM) and ozone trends in observations and CMIP5 models suggests that  
56 atmosphere-forced variability only explains a small fraction of the Antarctic sea-ice trends during  
57 the last four decades (16). Similar conclusions are obtained for the ozone-driven component of the  
58 Southern Ocean SST cooling (8).

59           The role of the oceanic response to ozone-driven surface trends and its potential influence  
60 on SST, SLP, and  $\tau_x$  has yet to be adequately investigated and is a focus of this study.  
61 Observation-based analysis and ocean model output show that wind-driven upwelling in the  
62 Southern Ocean can impact the SST around Antarctica by vertical and northward transport of  
63 cooler deep waters (17). Furthermore, surface buoyancy fluxes (and thus the above surface trends)  
64 estimated from observations and computed from coupled model output are highly sensitive to the  
65 net ocean circulation changes in response to wind stress changes (18). A recent study using CMIP5  
66 simulations, along with additional multi-resolution, fixed CO<sub>2</sub>, and future scenario (Representative  
67 Concentration Pathway 8.5, RCP8.5) coupled experiments concluded that eddy-resolving Earth  
68 system models simulate a more realistic ocean circulation, which enhances equatorward ocean  
69 heat transport ( $Q$ ) under strengthened westerlies and more efficiently cools the ocean around  
70 Antarctica, thereby delaying sea-ice decline seen in low-resolution CMIP5 simulations (19).  
71 Consistent with these findings, a more recent study comparing high-resolution (nominal  $\sim 0.1^\circ$  in  
72 ocean and sea-ice and nominal  $\sim 0.25^\circ$  in atmosphere and land) and low-resolution (nominal  $\sim 1^\circ$  in  
73 all components) decadal prediction systems showed improved representation of the Southern  
74 Ocean cooling trend in the high-resolution system, leading to better global prediction skill and  
75 signal-to-noise characteristics (20). The enhanced equatorward ocean heat transport in the high-  
76 resolution system is hypothesized to play a key role in this improvement. Motivated by these  
77 studies, the present work further investigates the role of ocean eddy compensation in the Southern  
78 Ocean cooling trend.

79           The dependence of simulated ocean circulation on horizontal resolution arises from an  
80 ocean model's ability to explicitly represent mesoscale eddies (with length scales of  $\sim 10$ - $100$  km)  
81 and their energetic and thermodynamic effects on large-scale circulation. Coarse-resolution  
82 (nominal  $\sim 1^\circ$ ) ocean models cannot resolve these eddies, so their effects must be parameterized.  
83 Changes in wind-driven upwelling in the Southern Ocean are theorized to be partially or fully  
84 compensated by eddy-induced circulations (21-23), a concept confirmed in idealized numerical  
85 simulations (24, 25). While some global ocean and Earth system models capture this "eddy  
86 compensation" (26-31), many models and data-synthesis products simulate weak or more complex  
87 eddy compensation (28, 32-38), indicating that representing a realistic balance between wind-  
88 driven and eddy-induced circulations remains subject to considerable uncertainty.

89           In this study, we expand upon how model horizontal resolution impacts capturing or  
90 representations of the observed SST, SLP, and  $\tau_x$  trends during 1980-2022 at the Southern Ocean  
91 latitudes, by considering two 10-member historical ensembles of the Community Earth System  
92 Model (CESM). Specifically, one ensemble was conducted at high-resolution (CESM-HR; nominal  
93  $\sim 0.1^\circ$  ocean and sea-ice and  $\sim 0.25^\circ$  atmosphere and land) and the other at low-resolution (CESM-  
94 LR; nominal  $\sim 1^\circ$  for all component models) (39-41). The ensemble approach allows us to account  
95 for internal variability. To isolate the individual impacts of atmospheric and oceanic model

96 resolution, we also analyze atmosphere-only simulations following the Atmosphere Model  
97 Intercomparison Project (AMIP) protocol and forced ocean—sea-ice (FOSI) simulations following  
98 the Ocean Model Intercomparison Project (OMIP) (42) protocol. We note that additional  
99 mechanisms of Southern Ocean SST cooling have been proposed, such as surface freshening  
100 associated with ice sheet melt, which increases stratification and reduces the vertical flux of heat  
101 from the pycnocline (43-46); however, these mechanisms are not the focus of this study.

102

### 103 **Observation-based and Simulated Trends**

104

105 Observation-based trends in SST, SLP, and  $\tau_x$  over the period 1980-2022 are more  
106 realistically captured by CESM-HR compared to its low-resolution counterpart (CESM-LR): Fig. 1.  
107 Specifically, the ensemble-mean SST trend in CESM-HR (Fig. 1F) exhibits a cooling trend in the  
108 South Pacific (120°E-60°W, south of 50°S) region where the strongest cooling is seen in  
109 observations (Fig. 1B). Multiple CESM-HR ensemble members simulate cooling trends across the  
110 Southern Ocean (south of 50°S), see SI Appendix Fig. S1, and the regional averages in the South  
111 Pacific of those members are comparable to those of observations (Figs. 1A and 1E), suggesting  
112 that both external forcing (given by the ensemble-mean) and internal variability (given by the  
113 ensemble-spread) contribute. As for the low-resolution simulation, the CESM-LR ensemble does  
114 not show cooling trends either in the ensemble mean or individual ensemble members (Fig. 1I, Fig.  
115 1J, and SI Appendix Fig. S2), except for one CESM-LR member which shows a slight negative  
116 trend. The Southern Ocean warming in CESM-LR resembles the 40-member CESM1 Large  
117 Ensemble (CESM1-LE) (47), which is based on a different low-resolution configuration of CESM1  
118 which participated in CMIP5, shown in Figs. 1I and SI Appendix Fig. S5B for comparison. The  
119 CESM-HR ensemble-mean also captures the cooling trend in the Southeastern Tropical Pacific  
120 (Fig. 1F), a feature many other high-resolution models struggle to reproduce (48). The origin of this  
121 feature and its potential connection to the Southern Ocean cooling trend (49, 50) will be addressed  
122 in future work.

123

### 124 **Attribution of Southern Ocean Atmospheric Trends**

125

126 Both CESM-HR and CESM-LR are driven by the same external radiative forcings.  
127 However, CESM-LR shows much weaker trends in  $\tau_x$  and SLP compared to CESM-HR (Figs. 1D,  
128 1H, 1L and 1C, 1G, 1K), indicating that the simulated response is resolution-dependent and/or that  
129 10 ensemble members are not sufficient to isolate the forced response. One possibility is that the  
130 high-resolution atmosphere in CESM-HR produces a stronger response to ozone forcing, which  
131 could drive stronger SST cooling. To test this hypothesis, we analyzed AMIP simulations in which  
132 both the high- and low-resolution atmosphere models are forced with the same  $\sim 0.25^\circ$  resolution  
133 observed SST forcing dataset from the CMIP6 HighResMIP protocol (51) from 1950 to 2014. The  
134 historical radiative forcings are identical to those used in CESM-HR and CESM-LR. There are 3  
135 ensemble members for the high-resolution simulations (AMIP-HR) and 10 ensemble members for  
136 the low-resolution (AMIP-LR). To ensure a fair comparison with AMIP-HR, we randomly selected 3  
137 of the 10 AMIP-LR ensemble members and used bootstrap resampling with replacement to assess  
138 the sampling uncertainty associated with 3-member averages. The results show that over 1980-  
139 2014 AMIP-HR and AMIP-LR produce similar trends in  $\tau_x$  and SLP, particularly in the Southern  
140 Ocean regions where the trends show  $\tau_x$  strengthening and SLP meridional gradient intensification  
141 of similar spatial structure and magnitude to those in ERA5. (Fig. 2). This suggests that the  
142 improved trend simulation in CESM-HR is not primarily due to higher atmospheric resolution, since

143 AMIP-LR can reproduce the observed Southern Ocean surface trends when forced by the same  
144 SST forcing and radiative forcings. Rather, differences in SST trends between CESM-HR and  
145 CESM-LR may be responsible for the differently simulated surface trends, which are affected by  
146 ocean model resolution. Consequently, we next examine the impact of ocean model resolution  
147 using simulations forced with the same atmospheric conditions.

148

### 149 **Ocean Processes Contributing to the Cooling Trend**

150

151 We compared two sets of FOSI simulations driven by the same atmospheric forcing based  
152 on the JRA-55 reanalysis (52): one using the ocean and sea-ice components of CESM-HR (FOSI-  
153 HR) (20) and the other using those of CESM-LR (FOSI-LR). These FOSI simulations were run for  
154 four repeat cycles of the JRA-55-based forcing over 1958-2018, where the final time-step of each  
155 cycle is used as the initial condition of the next cycle. We utilize cycles 2-4 as a 3-member ensemble  
156 for each, excluding the first cycle due to ocean model spin-up. Because the FOSI simulations are  
157 constrained by the observation-based surface air temperature, which contains the cooling trend,  
158 the simulated SSTs may not provide a reliable metric for assessing model skill. Therefore, to better  
159 evaluate the ocean cooling away from the constrained surface layers, we instead use the simulated  
160 subsurface temperatures averaged from 100 to 500 m as a measure of the cooling trend. In Fig. 3,  
161 we plot the linear trends in subsurface temperatures as simulated in FOSI-HR and FOSI-LR, with  
162 a comparison to the Global Ocean Physics Reanalysis (GLORYS) (53) as an observation-based  
163 dataset. The results indicate a stronger high latitude upper ocean cooling trend in FOSI-HR  
164 compared to FOSI-LR, in better agreement with GLORYS. This result supports that of (20), where  
165 the same FOSI-HR simulation reveals positive sea-ice extent trends in better agreement with recent  
166 observations than its low-resolution counterpart (see their Supp. Fig. 15).

167

168 Since the cooling signal penetrates throughout the subsurface, its vertical structure is of  
169 interest. The cooling signal is found across all sectors of the Southern Ocean in observations at  
170 the surface (Fig. 1B) and at depth in the GLORYS reanalysis (Fig. 3A) and FOSI-HR (Fig. 3B), with  
171 peak cooling found in the South Pacific sector in both cases. We focus on the South Pacific profile  
172 by extracting a vertical slice and zonally averaging ocean temperatures across 160°W-145°W  
173 where the front is strong and oriented in the zonal direction. We find that the deep cooling near  
174 55°S and extending to 1300 m in FOSI-HR is aligned with steeply sloped isotherms in the  
175 climatology, consistent with GLORYS and in contrast to the flatter climatological isotherm slopes  
176 in FOSI-LR (Figs. 3D-F). In line with this difference in stratification, FOSI-LR transports on average  
177 about twice as much heat poleward across 50°S compared to FOSI-HR, delivering more heat to  
178 the Southern Ocean high latitudes ( $Q_{\text{total}}$  in Figs. 4A-B). We used 50°S since it corresponds to the  
179 latitude of peak meridional SST gradient in observations (Fig. 1B) as well as peak upper ocean  
180 temperature gradient in GLORYS (Figs. 3A and 3C). Here we define  $Q_{\text{total}}$  as the time-mean of the  
181 vertically and zonally integrated product of the instantaneous ocean meridional velocity ( $v$ ) and the  
182 ocean temperature ( $T$ ). Additionally, the  $Q_{\text{total}}$  trend across 50°S is poleward in FOSI-LR but  
183 equatorward in FOSI-HR, suggesting an increasing ocean heat supply to the Southern Ocean in  
184 FOSI-LR but a decreasing supply in FOSI-HR (Figs. 4C-D). This result corroborates the findings of  
185 (20) who analyzed the same JRA-55-forced FOSI-HR simulation but compared it to a different  
186 FOSI-LR simulation that used a different atmospheric forcing dataset (see (54) for those forcing  
187 details). Here, we replicate the ocean heat transport analysis from that study to clarify whether  
188 resolution related differences in ocean heat transport are robust when the ocean is subject to the  
189 same atmospheric forcing. A similar trend of decreasing heat supply to the Southern Ocean is also  
found in a different high-resolution ocean model (55).

190 We decompose  $Q_{\text{total}}$  into its Eulerian-mean component,  $Q_{\text{mean}}$ , computed from the  
191 monthly-averaged  $v$  and  $T$ , and its transient component,  $Q_{\text{eddy}}$ , computed as the difference  
192 between  $Q_{\text{total}}$  and  $Q_{\text{mean}}$ .  $Q_{\text{mean}}$  is associated with large-scale ocean circulation driven by  
193 atmospheric forcing, and  $Q_{\text{eddy}}$  is associated with mesoscale eddies driven by internal ocean  
194 dynamics. This decomposition reveals that  $Q_{\text{mean}}$  has similar magnitudes across  $50^\circ\text{S}$  in FOSI-LR  
195 and FOSI-HR. This indicates that the same atmospheric forcing generates comparable large-scale  
196 circulation-driven  $Q_{\text{mean}}$  regardless of ocean model resolution. In contrast,  $Q_{\text{eddy}}$  differs  
197 significantly between FOSI-HR and FOSI-LR, with FOSI-HR showing much weaker eddy heat  
198 transport and a weaker trend. This difference arises from how eddy-induced transports are  
199 computed: CESM-HR resolves eddies explicitly, while CESM-LR uses the Gent–McWilliams (GM)  
200 parameterization (56). The GM scheme is based on the classical baroclinic instability theory, which  
201 expects compensation between  $Q_{\text{mean}}$  and  $Q_{\text{eddy}}$ . This compensation is evident in FOSI-LR (Fig.  
202 4A) but surprisingly is mostly absent in FOSI-HR (Fig. 4B), even when the atmospheric forcing is  
203 the same, thus putting the findings of (20) on firmer footing. Given that FOSI-HR and CESM-HR  
204 (and FOSI-LR and CESM-LR) use the same ocean component, we explicitly hypothesize that this  
205 difference in eddy compensation between FOSI-HR and FOSI-LR contributes to the stronger SST  
206 cooling trend found in the fully coupled CESM-HR compared to that of CESM-LR.

207 A similar decomposition of the meridional overturning circulation (MOC) streamfunction  
208 based on integrating meridional velocity vertically and zonally over isopycnal layers following (25,  
209 32),  $\Psi_{\text{total}} = \Psi_{\text{mean}} + \Psi_{\text{eddy}}$ , reveals an analogous contrast between FOSI-LR and FOSI-HR (SI  
210 Appendix Fig. S3). In both simulations, the wind-driven Eulerian-mean streamfunction is  
211 characterized by a clockwise overturning cell centered at  $50^\circ\text{S}$  with equatorward transport near the  
212 surface. The anti-clockwise eddy-driven overturning, which results in poleward transport near the  
213 surface that slumps the isotherms (or isopycnals), has a stronger time-mean and a stronger trend  
214 in FOSI-LR, resulting in a weaker residual overturning. Meanwhile, FOSI-HR simulates weaker  
215 transient eddy and stronger residual clockwise overturning cells, which contributes to stronger  
216 upwelling and equatorward transport of cold polar waters.

217

## 218 **Ocean Responses in CESM-HR Contributing to the Cooling Trend**

219

220 The above discussion has established that the ocean components of CESM-HR and  
221 CESM-LR respond to the observed atmospheric forcing trends differently due to their different  
222 representations of ocean eddies. The differing ocean response in  $Q$  and MOC simulated in FOSI-  
223 HR and FOSI-LR, which is likely responsible for the increased cooling trend, may also be at play  
224 in the trends simulated by CESM-HR and CESM-LR under historical radiative forcing, although the  
225 differing magnitudes of simulated zonal wind stress trends may complicate its detection. We have  
226 10 high-resolution realizations of the ocean response to the same radiative forcing. There is a  
227 substantial spread in the Southern Ocean SST trends (1980-2022) across the ensemble members,  
228 ranging from  $-0.8$  to  $+0.8$   $^\circ\text{C}$  (Fig. 1E and SI Appendix Fig. S1). Focusing on the South Pacific  
229 region ( $150^\circ\text{E}$ - $60^\circ\text{W}$ , south of  $50^\circ\text{S}$ ) where the observed cooling is the strongest, we classify  
230 CESM-HR ensemble members by their SST trends averaged in this region. By comparing the  
231 average of the 3 members with cooling (blue circles in Fig. 1E) and the average of the 3 members  
232 with the strongest warming (red circles in Fig. 1E), we find the two groups differ in their SST, SLP,  
233 and  $\tau_x$  trends (Fig. 5). The cool group (Figs. 5A-C) exhibits trends similar to observations in both  
234 pattern and magnitude (Figs. 1B-D), while the warm group (Figs. 5D-F) shows muted cooling in the  
235 polar regions and exacerbated warming at lower latitudes, along with substantially weaker SLP and  
236  $\tau_x$  trends.

237 This contrast of SST trends is consistent with the difference between the linear trends of  
238  $Q_{total}$  and  $\Psi_{total}$  for the same grouping of ensemble members as shown in Fig. 6. Compared to the  
239 warm group, the cool group simulates about twice the magnitude of total northward heat transport  
240 trends at 50°S, as well as positive  $Q_{total}$  trends in the 70°S to 60°S latitude band (Figs. 6A and 6B).  
241 Similarly, the linear trends of residual overturning circulation in the cool group indicate enhanced  
242 strengthening of the clockwise overturning cell, resulting in more upwelling and northward transport  
243 compared to the warm group. Similar analysis of the Eulerian-mean and transient eddy overturning  
244 reveals a stronger positive trend of both the Eulerian-mean and transient eddy streamfunctions in  
245 the cool group compared to the warm group (SI Appendix Fig. S4). These findings point to the  
246 representation and amount of eddy compensation in the Southern Ocean as a key factor for  
247 accurately simulating the cooling trend in Earth system models. It should be noted that our analysis  
248 pertains primarily to the internally generated component of the Southern Ocean SST trends (1980-  
249 2022) in CESM-HR rather than the forced response to ozone depletion and other radiative forcing  
250 agents.

251

## 252 Discussion

253

254 While previous work emphasizes the role of stratospheric ozone depletion in the high-  
255 latitude Southern Hemisphere in driving the observed Southern Ocean SST cooling trend over the  
256 past four decades (2, 9), this study highlights the potential role of ocean dynamics, in particular  
257 eddy heat transport, in regulating wind-driven atmosphere-ocean feedback sustaining and  
258 amplifying the ozone-driven trend. CESM-HR simulates trends of SST, SLP, and  $\tau_x$  in better  
259 agreement with observation-based data compared to CESM-LR. These improvements cannot be  
260 attributed to atmospheric model resolution, since AMIP-LR and AMIP-HR simulate similar SLP and  
261  $\tau_x$  trends under identical SST and radiative forcing. The results of FOSI-LR and FOSI-HR subject  
262 to the same observation-based atmospheric forcing show that the Southern Ocean cooling trends  
263 are stronger and penetrate deeper in FOSI-HR compared to FOSI-LR. Analysis of the ocean  
264 meridional heat transport and meridional overturning circulation reveals that the differences lie in  
265 the simulated ocean response to atmospheric forcing at low and high resolution. The difference in  
266 residual heat transport and overturning circulation trends is due to differences in the transient eddy  
267 response, which is parameterized in FOSI-LR but explicitly represented in FOSI-HR. FOSI-LR  
268 overcompensates the wind-driven transport with its parameterized transient eddy transport, leading  
269 to an overall increase in southward heat transport and a relative warming in the Southern Ocean.  
270 Meanwhile, the weaker transient eddy transport in FOSI-HR allows the wind-driven overturning cell  
271 to more efficiently upwell colder waters, reducing southward heat transport into Southern Ocean.  
272 These results contrast with the theoretical concept of “eddy compensation”, whereby changes in  
273 the Eulerian-mean overturning are compensated by transient eddies. Comparison of Southern  
274 Ocean SST trends can be made with other high-resolution models from the High-Resolution Model  
275 Intercomparison Project (HighResMIP) (51) which do indeed show some cooling (SI Appendix Fig.  
276 S5A), but since the majority of the models contributing to HighResMIP utilize a mix of both permitted  
277 and parameterized transient eddy heat transport, a clean comparison to FOSI-HR or CESM-HR  
278 (where no eddy parameterization is used) cannot be made.

279 Another key finding is the substantial spread in simulated trends across CESM-HR  
280 ensemble members, reinforcing that the cooling trend is not a simple response to ozone depletion  
281 but is strongly influenced by internal coupled system dynamics. Analysis of individual ensemble  
282 members reveals that those with a stronger wind-driven response exhibit enhanced overturning  
283 and larger equatorward heat transport trends, leading to stronger cooling. Despite identical ozone

284 forcing and comparable transient eddy responses, the wind stress trends are also stronger in the  
285 cool members than in the warm members. If Southern Ocean surface trends were purely ozone-  
286 driven, all ensemble members would be expected to show similar behavior. Instead, clear  
287 differences emerge between simulated surface wind trends between the warm and cool groups  
288 when examined over three overlapping 26-year windows spanning 1980 to 2015, with the cool  
289 groups resembling observation-based surface wind trends from ERA5 more than the warm groups  
290 (Fig. 7). During the first window, 1980 to 2005, both observation-based trends (ERA5 and  
291 ERSSTv5) and the two CESM-HR groups show an initial phase of comparable westerly  
292 intensification and modest SST cooling, most likely driven by the strong ozone depletion trend  
293 during the 1970 to 2000 period (SI Appendix Fig. S6). After this initial phase, the cool group  
294 simulates progressively stronger wind stress trends accompanied by intensified SST cooling  
295 through the 1985 to 2010 and 1990 to 2015 windows (Figs. 7G-L), in line with trends seen in ERA5  
296 and ERSSTv5 (Figs. 7A-F), while the warm group simulates relative static trends (Figs. 7M-R). The  
297 fact that both the SST and  $\tau_x$  trends in the observation and the cool group strengthen in tandem  
298 and persist after the main ozone depletion period suggests the emergence of a coupled  
299 atmosphere-ocean feedback. In contrast, both SST and wind stress trends weaken in the warm  
300 group, resulting in minimal SST cooling and only weak wind stress trends by the final window.  
301 Finally, the lack of perfect spatial coincidence between peak SST cooling and peak zonal surface  
302 wind stress strengthening indicates the combined influence of wind driven ocean cooling and  
303 atmospheric responses to evolving SST anomalies (Fig. 7). Also apparent is zonal asymmetry of  
304 the wind stress trend spatial patterns in both observation and the two groups through the latter  
305 three windows, manifesting in the eastern South Pacific. A purely ozone-driven wind stress  
306 response should be zonally uniform, suggesting other factors may be amplifying the wind stress  
307 response specifically in the Pacific sector. This zonal asymmetry in the wind stress trends also  
308 resembles the Interdecadal Pacific Oscillation Rossby wave-train pattern (57); this potential  
309 teleconnection and its influence on wind stress and SST trends will be addressed in future work.

310 Further analysis is required to identify the coupling mechanisms responsible for the  
311 divergence among ensemble members of CESM-HR. However, a substantial body of theoretical  
312 and modeling studies provides a physical basis for linking Southern Ocean surface wind responses  
313 to underlying SST anomalies (58-62). These studies point to two well established mechanisms  
314 operating within the atmospheric boundary layer. The first involves modulation of vertical turbulent  
315 stress divergence by SSTs, commonly referred to as the vertical mixing mechanism (61, 63-69)  
316 The second involves adjustment of atmospheric boundary layer pressure to SST fronts, often  
317 described as the pressure adjustment mechanism (70-72). In addition to these boundary layer  
318 processes, SST variability may also influence surface winds through changes in atmospheric  
319 baroclinic eddy flux convergence, which extends beyond the boundary layer. Idealized atmospheric  
320 general circulation model experiments demonstrate that sharp SST gradients are critical in  
321 translating ozone-induced stratospheric changes to the surface (73). They can also help maintain  
322 near surface baroclinicity, leading to enhanced eddy growth rates and increased eddy momentum  
323 flux convergence, which can maintain or even strengthen eddy driven westerly jets (74, 75),  
324 highlighting the potential for SST trends to exert an amplifying influence on surface wind responses.

325 By combining the arguments of (2, 9) with our own results and the above discussion, we  
326 hypothesize that ozone-driven intensification of the Southern Ocean westerlies in the late 20<sup>th</sup>  
327 century enhances equatorward Ekman transport, leading to surface ocean cooling in the Southern  
328 Ocean. This cooling strengthens the meridional SST gradient, which in turn feeds back onto the  
329 atmosphere by strengthening the meridional sea-level pressure gradient, further intensifying the  
330 westerlies and reinforcing the cooling trend through a positive feedback loop. Although a detailed

331 analysis of this coupled feedback is beyond the scope of this study and will be presented in future  
332 work, we identify a positive trend in the meridional SST gradient that coincides with trends in the  
333 meridional sea-level pressure gradient and zonal wind stress in both ERA5 and CESM-HR, but not  
334 in CESM-LR (SI Appendix Fig. S7). The effectiveness of this feedback depends on the degree of  
335 ocean eddy compensation, which opposes the wind-driven component of the meridional  
336 overturning circulation. Unlike CESM-LR and other standard low-resolution Earth system models  
337 that rely on parameterized eddy heat transport, the eddy-rich CESM-HR exhibits weaker eddy  
338 compensation. This allows the Ekman feedback to operate more effectively, resulting in a stronger  
339 Southern Ocean cooling trend that aligns more closely with observations.

340 If the development of the ozone hole initiated Southern Ocean SST cooling in recent  
341 decades, then recovery of stratospheric ozone combined with increasing of other greenhouse  
342 gases projected over the 21<sup>st</sup> century should lead to a cessation of cooling in the coming decades.  
343 Utilizing the RCP8.5 projection portion of the CESM-HR, we examine the evolution of the simulated  
344 ensemble-mean SST trends over the 1980-2100 period by computing the linear trends in four 46-  
345 year windows that cover the whole time-series. As seen in SI Appendix Fig. S8, the first interval,  
346 1980-2025, resembles the linear trend shown in Fig. 1, excepting the Southeastern Tropical Pacific  
347 cooling signal which has already ceased. Through the following two periods, 2005-2050 and 2030-  
348 2075, the Southern Ocean cooling trend diminishes, and by the final interval, 2055-2100, warming  
349 dominates both the Tropical Pacific and the Southern Ocean. This transition highlights the key role  
350 ozone trends play in recent Southern Ocean cooling, in line with the arguments of (2). The  
351 hypothesized Ekman feedback that strengthens the Southern Ocean cooling in CESM-HR requires  
352 an initial ozone-depletion-forced surface cooling. As Antarctic ozone stabilizes in the early 21<sup>st</sup>  
353 century (SI Appendix Fig. S6) and the ozone hole begins to close, the associated upper-level  
354 pressure gradients weaken, effectively shutting down the Ekman feedback, and projected  
355 greenhouse gas increase suppresses the Southern Ocean cooling. Future work is planned to  
356 validate the proposed dynamical processes, the dependence of global trends on Antarctic ozone  
357 trends, and connections between the high latitude Southern Hemisphere and the tropics within the  
358 CESM-HR framework, specifically by comparing the role of ocean-atmosphere interactions as  
359 simulated in CESM-LR and CESM-HR.

360

## 361 **Materials and Methods**

362

363 **Datasets.** The observed sea surface temperature data shown in Fig. 1 were obtained from the  
364 Extended Reconstructed Sea Surface Temperature Version 5 (ERSSTv5) (76) provided by the  
365 NOAA National Centers for Environmental Information from their website at  
366 <https://psl.noaa.gov/data/gridded/data.noaa.ersst.v5.html> (77).

367

368 The zonal wind and sea level pressure data shown in Fig. 1 were obtained from the ERA5 (78)  
369 which can be found at <https://cds.climate.copernicus.eu/datasets/reanalysis-era5-single-levels-monthly-means?tab=overview> (79).

370

371 The precipitation data used in Fig. 2C were obtained from the Global Precipitation Climatology  
372 Project (GDCP) Climate Data Record (CDR), Version 2.3 (Monthly) (80), available at  
373 <https://www.ncei.noaa.gov/access/metadata/landing-page/bin/iso?id=gov.noaa.ncdc:C00979>  
374 (81).

375

376 The ocean reanalysis data shown in Fig. 2 are from the Global Ocean Physics Reanalysis 12  
377 Version 1 (GLORYS12V1) (53), an E.U. Copernicus Marine Service data product, available at  
378 [https://data.marine.copernicus.eu/product/GLOBAL\\_MULTIYEAR\\_PHY\\_001\\_030/services](https://data.marine.copernicus.eu/product/GLOBAL_MULTIYEAR_PHY_001_030/services) (82).

379  
380 The CESM-LR and CESM-HR simulations used in this study were performed primarily under the  
381 NSF-funded MESACLIP Project (Understanding the Role of Mesoscale Atmosphere-Ocean  
382 Interactions in Seasonal-to-Decadal Climate Prediction) (39, 41)  
383 <https://project.cgd.ucar.edu/projects/MESACLIP/index.html>). This project is a collaboration  
384 between Texas A&M University and National Science Foundation (NSF) National Center for  
385 Atmospheric Research (NCAR). The CESM-HR and CESM-LR datasets are publicly available  
386 through the NSF NCAR Geoscience Data Exchange (GDEX) platform at  
387 <https://gdex.ucar.edu/datasets/d651029/> (CESM-HR pre-industrial control)  
388 (83), <https://gdex.ucar.edu/datasets/d651007/> (CESM-HR historical ensemble) (84),  
389 <https://gdex.ucar.edu/datasets/d651009/> (CESM-HR RCP 8.5 future ensemble) (85), and  
390 <https://gdex.ucar.edu/datasets/d651030/> (CESM-LR) (86). Surface fields of CESM output plotted  
391 in all figures were re-gridded to standard  $1^\circ \times 1^\circ$  grids. The associated AMIP and FOSI simulations  
392 utilize the same atmosphere and ocean—sea-ice components.

393  
394 Five members of the High-Resolution Model Intercomparison Project (HighResMIP) (51) were used  
395 as a comparison to CESM-HR Southern Ocean SST trends in SI Appendix Fig. S5A. Models used  
396 from HighResMIP are CESM-HRMIP (87-89), CCMC-CM2-VHR4 (90, 91), the 3-member EC-  
397 Earth3P-HR (92, 93), HadGEM3-GC31-HH (94, 95), and MPI-ESM1-2-XR (96, 97), for a total of 7  
398 realizations in the multi-model-mean. The HighResMIP datasets can be found at [https://esgf-](https://esgf-node.llnl.gov/projects/cmip6/)  
399 [node.llnl.gov/projects/cmip6/](https://esgf-node.llnl.gov/projects/cmip6/) (98). Similarly, the CESM Large Ensemble (CESM1-LE) (47) was  
400 used as a comparison to CESM-LR Southern Ocean SST trends in Fig. 1I and SI Appendix Fig.  
401 S5B; the CESM1-LE dataset can be found on the NSF NCAR GDEX platform at  
402 <https://gdex.ucar.edu/datasets/d651027/> (99).

403  
404 For the regional average of Southern Ocean SST linear trend shown in Fig. 1A, SST data from two  
405 other observational/reanalysis data products were used for comparison to ERSSTv5: ERA5 and  
406 the U.K. Met Office Hadley Centre Sea Ice and Sea Surface Temperature data set (HadISST)  
407 (100). HadISST data can be found at  
408 <https://www.metoffice.gov.uk/hadobs/hadisst/data/download.html> or on the NDF NCAR GDEX  
409 platform at <https://gdex.ucar.edu/datasets/d277003/> (101).

410  
411 **Linear Trends.** For all linear trends in observation-based data or model output, the annual-mean  
412 data were used, and a linear regression was applied to extract the trends. Additionally, for the  
413 linear trends calculated for CESM-HR, CESM-LR, HighResMIP, and CESM1-LE, the underlying  
414 drift in the respective Pre-Industrial Control (PI-ctrl) period was removed.

415  
416 **Meridional Heat Transport and Overturning Circulation Decomposition.** For the simulated  
417 oceanic meridional heat and volume transports, the following residual-mean decomposition is  
418 made:  $u_{\text{total}} = \bar{u} + u'$ , where  $\bar{u}$  and  $u'$  are the time-mean and anomaly of some variable  $u$ , and  $u_{\text{total}}$   
419 is the total (or residual).

420 For the meridional heat transport ( $Q$ ) shown in Figs. 4 and 6, the decomposition is computed by the  
421 following operation:

422 
$$Q_{\text{total}} = Q_{\text{mean}} + Q_{\text{eddy}} = \overline{\oint \int_{-H}^0 vT \, dz \, dx} = \overline{\oint \int_{-H}^0 \bar{v}\bar{T} \, dz \, dx} + \overline{\oint \int_{-H}^0 v'T' \, dz \, dx}$$

423 Where  $v$  is the ocean meridional velocity,  $T$  is the ocean temperature,  $H$  is the ocean depth at any  
 424 gridpoint, and the integrals are applied from ocean bottom to the surface and then zonally.

425 For the overturning circulation ( $\Psi$ ) shown in Fig. 6 and Supp. Figs. 2 and 3, the decomposition in  
 426 density space ( $\sigma$ ) is calculated following:

427 
$$\Psi_{\text{total}} = \Psi_{\text{mean}} + \Psi_{\text{eddy}} = \overline{\oint \int_{\sigma}^{\sigma_s} v h \, d\sigma \, dx} = \overline{\oint \int_{\bar{\sigma}}^{\bar{\sigma}_s} \bar{v}\bar{h} \, d\sigma \, dx} + \overline{\oint \int_{\sigma}^{\sigma_s} v'h' \, d\sigma \, dx}$$

428 Where  $\sigma$  is an isopycnal layer,  $\sigma_s$  is the surface density,  $h$  is the thickness of an isopycnal layer,  
 429 and the integrals are applied from a maximum isopycnal  $\sigma$  at depth to the surface density  $\sigma_s$  and  
 430 then zonally. In this study, we utilize the density referenced to the 2000 m depth ( $\sigma_2$ ).

431

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433

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452

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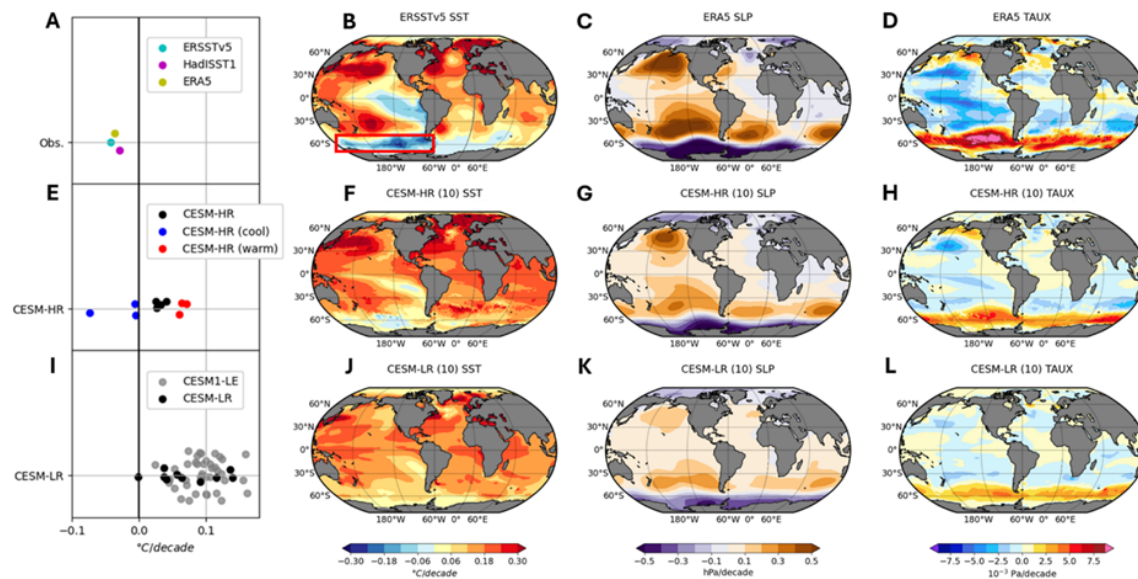
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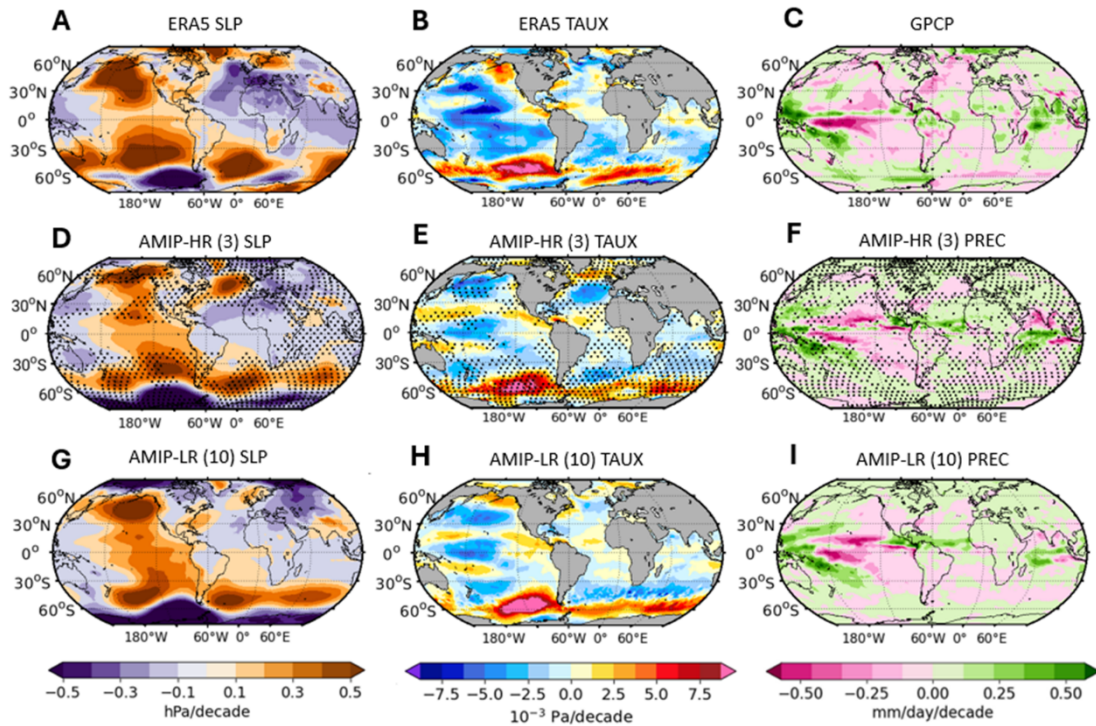
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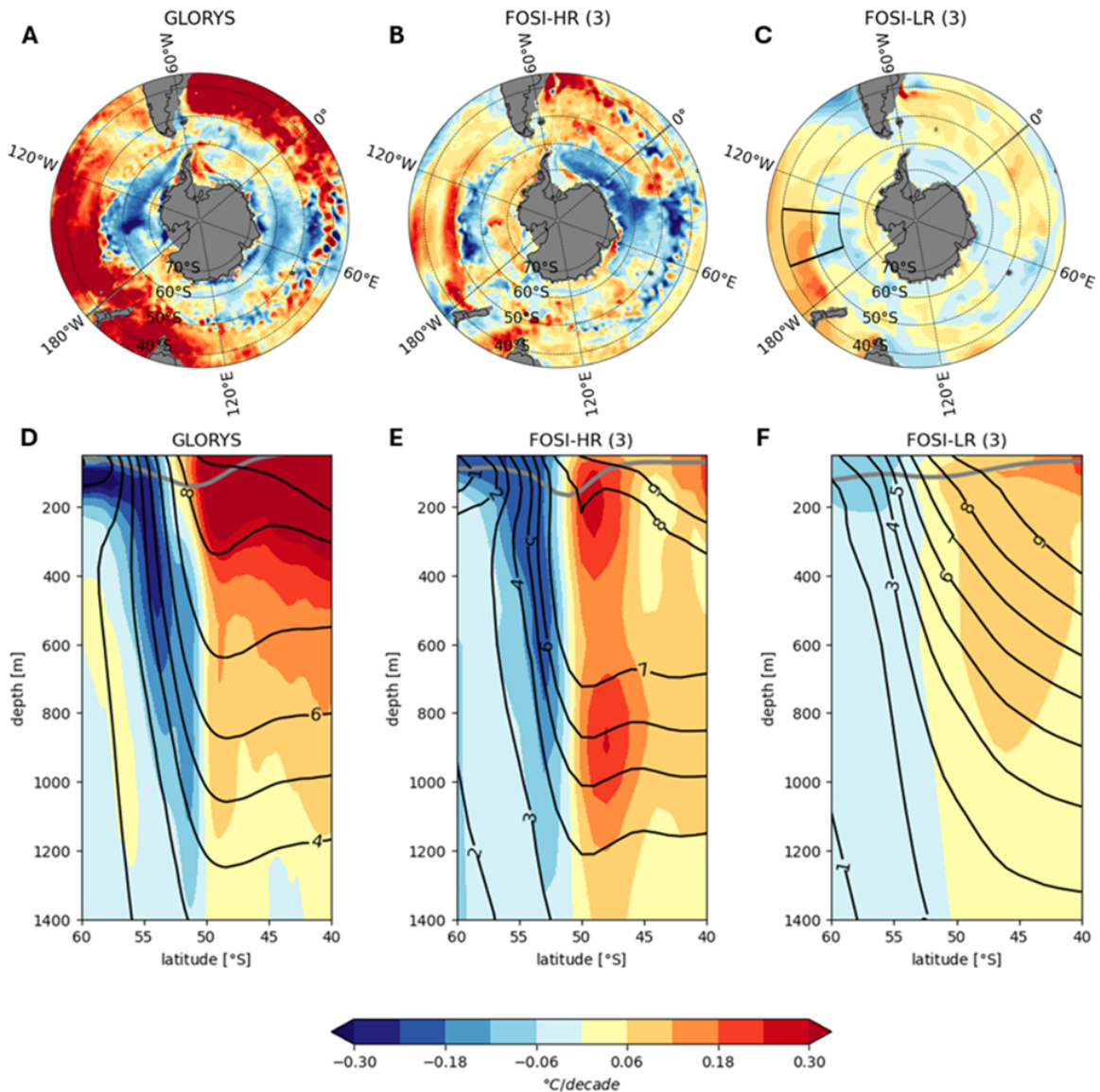


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729 **Figure 1.** Observation-based and simulated linear trends of SST, SLP, and  $\tau_x$  over the period  
730 1980-2022. Linear trends of observed SST averaged in the South Pacific region of the Southern  
731 Ocean indicated by the red box region in panel B for (A) ERSSTv5, HadISST1, and ERA5, (E)  
732 CISM-HR, and (I) CISM-LR and CISM1-LE. Spatial map of linear trends in observation-based  
733 products (ERSSTv5 or ERA5) for SST, SLP, and  $\tau_x$  are shown in (B), (C), and (D), respectively.  
734 The ensemble-mean linear trends in CISM-HR for SST, SLP, and  $\tau_x$  are shown in (F), (G), and  
735 (H), respectively. The ensemble-mean linear trends in CISM-LR for SST, SLP, and  $\tau_x$  are shown  
736 in (J), (K), and (L), respectively. For the CISM-HR SST trends in (E), the 3 coldest members are  
737 indicated in blue, the 3 warmest in red, and the remaining 4 in black.



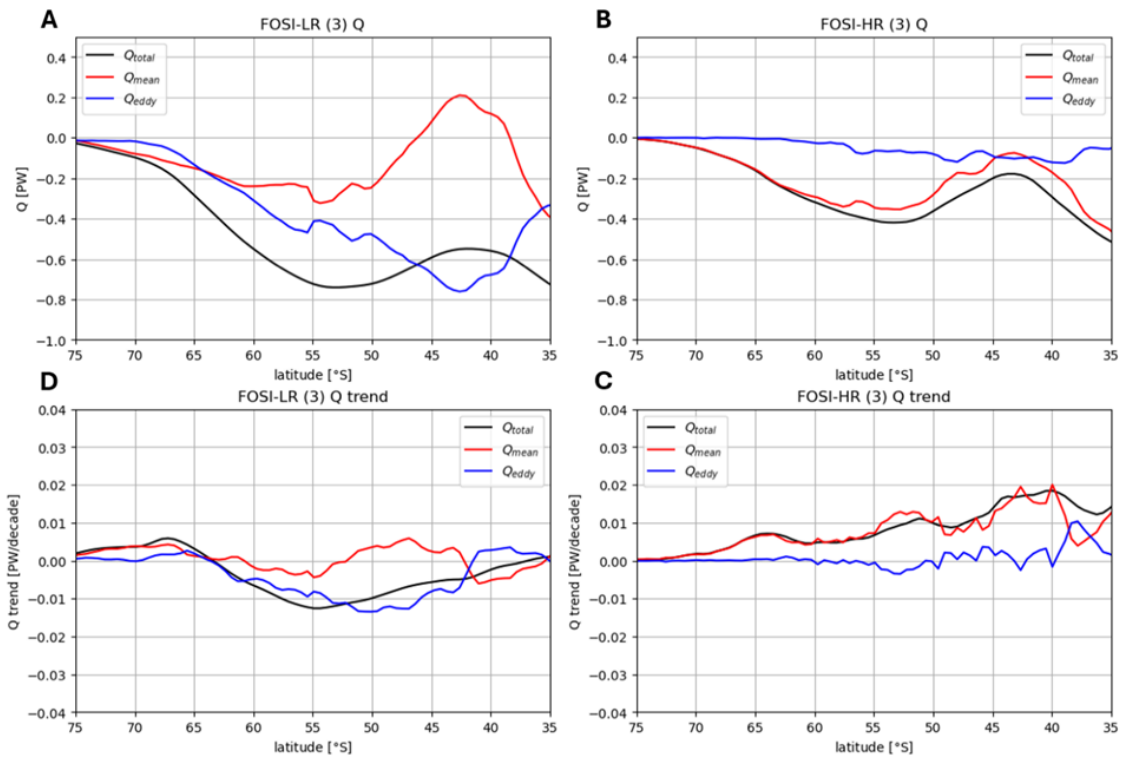
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**Figure 2.** Observation-based and simulated linear trends of SLP,  $\tau_x$ , and precipitation over 1980-2014. Trends in ERA5 of (A) SLP and (B)  $\tau_x$ , and trends of precipitation from (C) GPCP. Trends in AMIP-HR for (D) SLP, (E)  $\tau_x$ , and (F) precipitation. Trends in AMIP-LR for (G) SLP, (H)  $\tau_x$ , and (I) precipitation. AMIP-HR has 3 ensemble members and AMIP-LR has 10 ensemble members. Stippling in the AMIP-HR panels (D–F) indicates regions where the 3-member AMIP-HR trend lies within the 5–95% percentile range of a bootstrapped distribution constructed from 3-member means of the 10-member AMIP-LR ensemble, indicating no statistically significant difference between AMIP-HR and AMIP-LR.

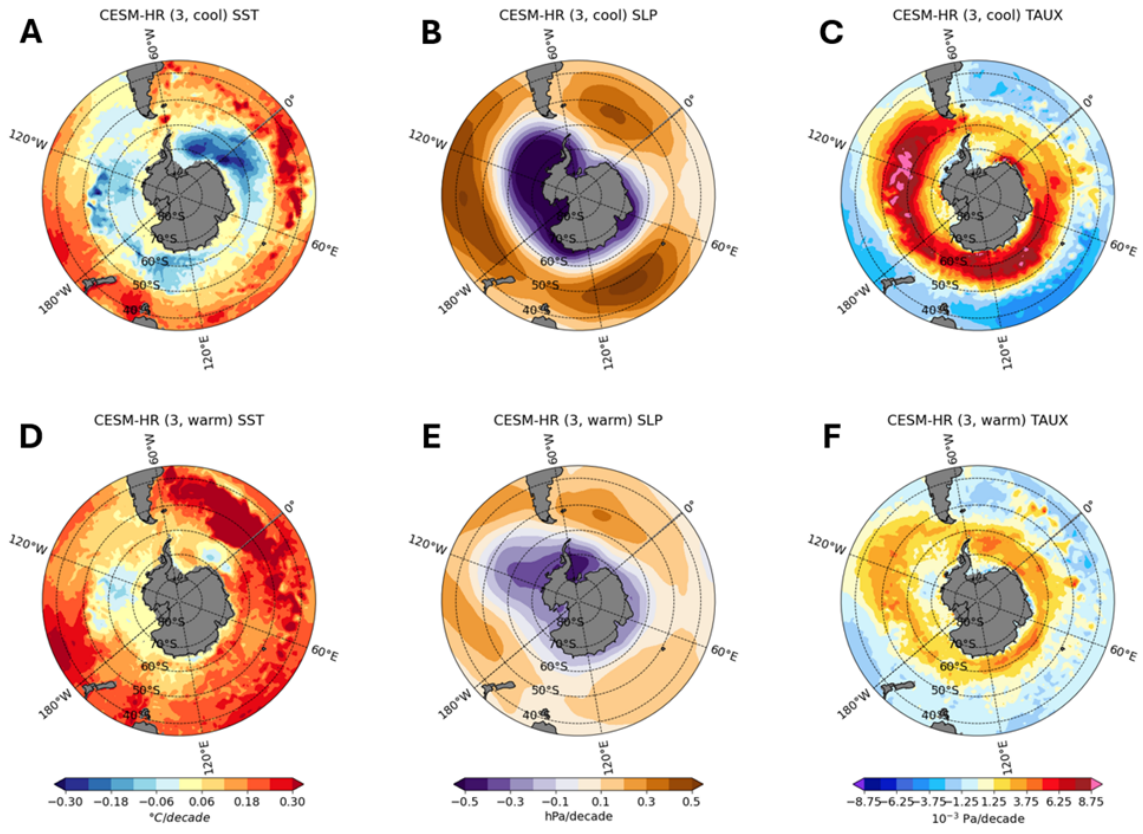


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**Figure 3.** Linear trends of upper ocean temperature in reanalysis (GLORYS) and FOSI. Linear trend of ocean temperature averaged between 100-500 m in (A) GLORYS, (B) FOSI-HR, and (C) FOSI-LR. Linear trend (color) and mean (black contours) of ocean temperature over a vertical slice averaged across 160°W-145°W (indicated by the black box in panel C) in (D) GLORYS, (E) FOSI-HR, and (F) FOSI-LR. FOSI mean and linear trends are calculated over the period 1979-2017. GLORYS mean and linear trends are calculated over the period 1993-2017. The mean and linear trends of the FOSI simulations over the overlapping period with GLORYS (1993-2017) are comparable to the full period 1979-2017. The mean MLD over the same longitudinal slice is indicated by the gray lines in each panel.

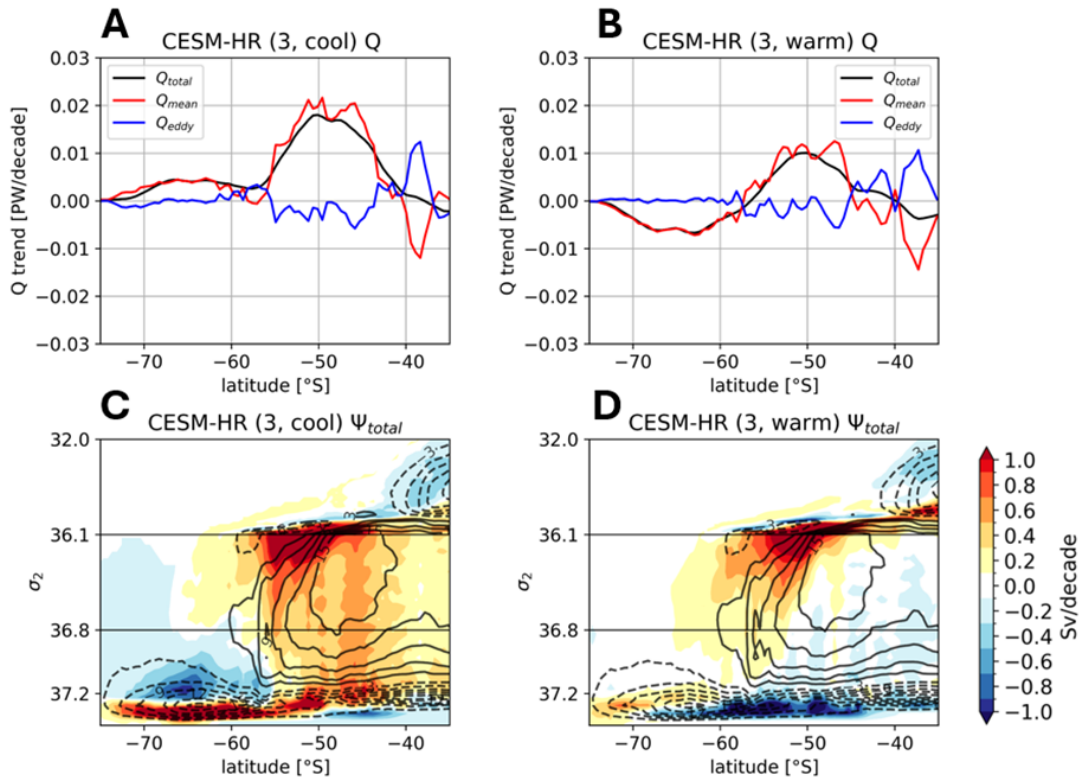


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 758 **Figure 4.** Southern Ocean meridional heat transport ( $Q$ ) in FOSI-HR and FOSI-LR over the 1979-  
 759 2017 period. The upper panels show the climatological mean  $Q_{total}$  (black curves) and its Eulerian  
 760 mean ( $Q_{mean}$ ; red curves) and transient eddy ( $Q_{eddy}$ ; blue curves) components for (A) FOSI-LR  
 761 and (B) FOSI-HR. The lower panel show the linear trends in these quantities for (C) FOSI-LR and  
 762 (D) FOSI-HR.

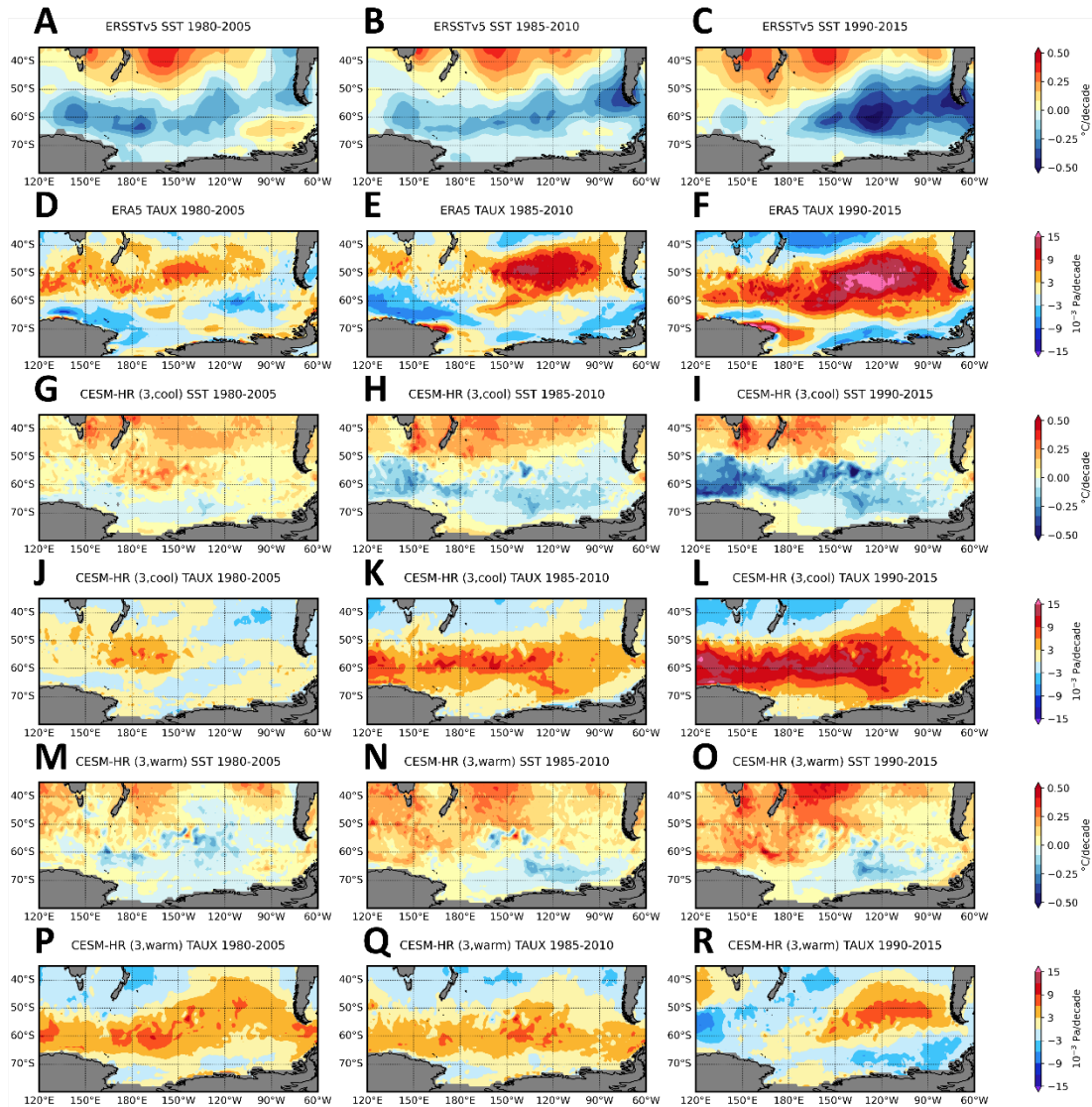


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**Figure 5.** Linear trends of SST, SLP, and  $\tau_x$  in CESM-HR over the 1980-2022 period, averaged across ensemble members with cooling or warming in the South Pacific sector of the Southern Ocean. The upper panels show the mean of the 3 members with cooling for (A) SST, (B) SLP, and (C)  $\tau_x$ . The lower panels show the mean of the 3 members with strongest warming for (D) SST, (E) SLP, and (F)  $\tau_x$ .



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 770 **Figure 6.** Linear trends of meridional heat transport ( $Q$ ) and time-mean and linear trends of residual  
 771 overturning streamfunction ( $\Psi_{total}$ ) in density space from CESM-HR over the 1980-2022 period. In  
 772 the upper panels, trends in  $Q$  averaged across ensemble members with (A) SST cooling (3-member  
 773 mean, “cool”) and (B) SST warming (3-member mean, “warm”). In the lower panels,  $\Psi_{total}$  mean  
 774 (contours, 5 Sv intervals) and trends (shading) averaged across ensemble members with (C) SST  
 775 cooling (3-member mean, “cool”) and (D) SST warming (3-member mean, “warm”).  
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**Fig. 7:** Linear trends of SST and zonal surface wind stress in ERSSTv5, ERA5, and the CESM-HR cool and warm groups computed for three windows over the 1980-2015 period, with each of the three panel columns indicating 1980-2005, 1985-2010, and 1990-2015, respectively. (A, B, C) ERSSTv5 SST (D, E, F) ERA5  $\tau_x$ , (G, H, I) CESM-HR cool group SST, (J, K, L) CESM-HR cool group  $\tau_x$ , (M, N, O) CESM-HR warm group SST, and (P, Q, R) CESM-HR warm group  $\tau_x$ .