

1 **ENSO oscillatory regimes controlled by the zonal location**
2 **of air-sea coupling region**

3 BRANDON A. MOLINA* AND PEDRO N. DINEZIO

4 *Atmospheric and Oceanic Sciences Department,*

5 *University of Colorado, Boulder, Colorado*

6 CLARA DESER

7 *National Center for Atmospheric Research, Boulder, Colorado*

8 **Corresponding author:* Brandon A. Molina, brandon.molina@colorado.edu

ABSTRACT

9 The oscillatory dynamics of the El Niño-Southern Oscillation (ENSO) phenomenon are studied
10 using numerical simulations performed with version 1 of the Community Earth System Model
11 (CESM1). The CESM1 simulates the observed oscillatory regime characterized by El Niño events
12 that consistently transition into La Niña and La Niña events that rarely transition into El Niño.
13 Simulations of cold and warm climates show two distinct dynamical regimes associated with
14 changes in these El Niño and La Niña transitions. In cold climates El Niño stop driving subsequent
15 La Niña rendering ENSO completely non oscillatory. Conversely, in warm climates La Niña start
16 driving subsequent El Niño making ENSO fully oscillatory. These changes are associated with
17 zonal shifts in the region of strongly coupled winds and sea-surface temperature variations in the
18 equatorial Pacific. This coupling region shifts eastward in warm climates. In this location the
19 climatological thermocline is relatively shallow allowing thermocline variability driven by La Niña
20 to positively feedback on the atmosphere favoring the onset of a subsequent El Niño. In contrast,
21 the coupling region shifts westward in cold climates. The climatological thermocline is relatively
22 deeper in this location preventing thermocline variability driven by El Niño to positively feedback
23 on the atmosphere hindering the onset of a subsequent La Niña. This heightened sensitivity of
24 ENSO oscillatory dynamics to the zonal location of the region of strong coupling reveals a potential
25 for large changes in ENSO predictability in response to external forcings.

26 **1. Introduction**

27 The El Niño–Southern Oscillation (ENSO) phenomenon is typically thought as a self-sustained
28 oscillation between its warm, El Niño phase and its cold, La Niña phase. Observed El Niño
29 events regularly transition into La Niña consistent with the dynamics of an oscillation; however,
30 La Niña events rarely drive a subsequent El Niño. Instead, La Niña conditions tend to persist for
31 multiple years until the coupled ENSO system returns to a neutral state (Kessler 2002; Okumura
32 and Deser 2010). The lack of a consistent La Niña to El Niño transition represents a breakdown
33 of the oscillatory dynamics implicit in theoretical and conceptual models of ENSO (Suarez and
34 Schopf 1988; Jin 1997). As a result, observed El Niño events are generally initiated by stochastic
35 atmospheric variability with minimal influence from preceding La Niña via oscillatory dynamics
36 (Timmermann et al. 2018).

37 Although the mechanisms underlying the onset, persistence, and decay of La Niña are well un-
38 derstood (Okumura et al. 2011; DiNezio and Deser 2014), the mechanisms whereby the oscillatory
39 dynamics of El Niño and La Niña could change, particularly their temporal evolution, remain
40 largely unexplored. Multi-model projections show an increase in the occurrence of 2-year La Niña
41 under greenhouse warming (Geng et al. 2023) but it is unclear how this could affect the onset
42 of subsequent El Niño. This is an important question because the lack of oscillatory dynamics
43 underlying La Niña to El Niño transitions is a major cause of the limited predictability of El Niño
44 relative to La Niña (Planton et al. 2018; Dommenges et al. 2012). Therefore exploring changes in
45 ENSO oscillatory dynamics under altered climatic conditions could shed insights on mechanisms
46 controlling the predictability of these highly disruptive climate phenomena.

47 The study of ENSO oscillatory regimes has been hindered by a lack of models that can simulate
48 the observed asymmetry in the temporal evolution of El Niño and La Niña. Conceptual models

49 of ENSO do not capture the observed asymmetries in event evolution because they represent El
50 Niño and La Niña as part of a self-sustained oscillation in which warm and cold events follow
51 each other regularly via delayed thermocline responses. These models propose that the transitions
52 between ENSO phases are driven by a negative feedback involving delayed variations in the depth
53 of the thermocline (Suarez and Schopf 1988; Jin 1997). This negative feedback operates with a
54 delay, either due to the transit time of oceanic waves (Suarez and Schopf 1988), or due to the
55 adjustment of the upper ocean to wind stress curl variations (Jin 1997). The adjustment times
56 associated with these processes could change under altered climatic conditions. For instance,
57 wave signals may have different travel times if they are forced at different locations along the
58 equatorial Pacific or altered wind patterns could excite Rossby waves with different propagation
59 speeds. According to the delayed oscillator theory, a shorter delay or wave transit time would
60 lead to a non-oscillatory or stable mode where events grow and decay without reversing phase
61 (Suarez and Schopf 1988). Conversely, ENSO could exhibit self-sustained oscillatory behavior
62 if the wave transit time lengthens, allowing events more time to grow before being influenced
63 by delayed thermocline responses. A meridionally broad wind stress pattern could excite slower
64 Rossby waves, lengthening the transit time. However, these slower waves would be weaker, due
65 to the weaker wind stress curl of a broader wind pattern. The weaker dynamic response would
66 lead to a less effective upper ocean adjustment preventing a phase reversal; thus resulting in a non-
67 oscillatory mode similar to the effect of a shorter delay. Therefore, a longer delay that maintains
68 oscillatory behavior could only arise from increasing the distance between the western boundary
69 and the coupling region (Kirtman et al. 1997; Neale et al. 2008). Moreover, conceptual models
70 do not capture nonlinearities in feedback mechanisms responsible for the asymmetries in temporal
71 evolution observed in nature (DiNezio and Deser 2014; Choi et al. 2013), thus limiting their use
72 to study changes in oscillatory dynamics. Intermediate complexity models suffer from similar

73 limitations despite including more physical processes. For instance, the Zebiak-Cane model, a
74 fundamental dynamical framework for studying ENSO dynamics, does not represent the observed
75 asymmetries in the evolution of El Niño and La Niña (Geng and Jin 2022), thus it is unclear if it
76 can be used to study whether changes in climate can alter ENSO event transitions.

77 Coupled general circulation models simulate El Niño and La Niña transitions with increasing
78 realism and could thus be used to study changes in ENSO oscillatory dynamics. One of the first
79 models to exhibit these improvements was Version 4 of the Community Climate System Model
80 (CCSM4) – a model capable of simulating irregular and hence realistic ENSO variability relative
81 to previous versions of the model in which ENSO was regular due to excessive oscillatory behavior
82 (Neale et al. 2008). The excessive oscillatory dynamics in previous versions of the model were
83 mitigated thanks to improvements in the simulation of wind patterns. In CCSM4 and subsequent
84 versions zonal wind anomalies are simulated with a broader, more realistic, meridional structure
85 exciting slower Rossby waves (Deser et al. 2012). The slower and weaker oceanic responses
86 produce more realistic asymmetries in the duration between El Niño and La Niña (Deser et al.
87 2012; DiNezio et al. 2017a; Capotondi et al. 2020). These advances in the simulation of the
88 observed ENSO dynamics allow the study of changes under altered climate conditions.

89 Changes in the mean climate of the tropical Pacific could alter physical processes influencing
90 ENSO oscillatory dynamics. The delay thermocline feedback governing ENSO event transitions
91 (Suarez and Schopf 1988; Jin 1997) involves multiple physical processes that could change under
92 altered climate conditions; amongst them, coupling between surface winds, thermocline depth,
93 and sea-surface temperature (SST) variations (Timmermann et al. 2018; Capotondi et al. 2015).
94 For instance, changes in the zonal extent of the western Pacific warm pool could affect how winds
95 respond to SST variations by modulating the area along the equatorial Pacific favoring atmospheric
96 convection (Picaut et al. 1996). Conversely, changes in upper ocean stratification could also affect

97 how the thermocline responds to wind variability, but also the influence of thermocline variability
98 on SST variations (Yeh et al. 2010). The delayed thermocline feedback can also be influenced by
99 changes in the speed of off-equatorial Rossby waves affecting the time delay. This delay would
100 become longer if waves were excited towards the eastern Pacific due to a larger distance travelled
101 by the relatively slower Rossby waves.

102 Here we study mechanisms driving changes in ENSO oscillatory dynamics using simulations
103 performed with version 1 of the Community Earth and System Model, a model that like its
104 predecessor, CCSM4, can realistically simulate the observed oscillatory regime characterized by
105 asymmetries in the temporal evolution of El Niño and La Niña. Our data consists of simulations
106 of warmer and colder climate states representing past and future conditions together with a long
107 simulation of pre-industrial (PI) climate used as control for evaluating the statistical significance
108 of ENSO changes. First we describe the changes in the mean state and variability for SST and
109 zonal wind stress in the tropical Pacific in each climate. Shifts in simulated patterns of variability
110 motivated us to develop a technique to define a climate-specific coupling region to capture the
111 location in the equatorial Pacific where the atmosphere is most responsive to oceanic variability.
112 Our novel methodology reveals that this coupling region, currently associated with the Niño-3.4
113 region, shifts eastward in warmer climates and westward in colder climates. These shifts affect
114 the coupling between wind, thermocline, and SST variations leading to changes in oscillatory
115 behavior. Colder climates show a breakdown of the currently active El Niño to La Niña transition,
116 while warmer climates show an activation of the currently inactive La Niña to El Niño transition
117 – turning ENSO into a self-sustained oscillation. A heat budget analysis provides insight into the
118 mechanisms whereby shifts in the coupling region modify the influence of delayed thermocline
119 variations on the development of ENSO events under altered climate states.

120 **2. Data and Methods**

121 We analyzed output generated using the Community Earth System Model Version 1.2 (CESM1)
122 – a coupled general circulation model consisting of the atmosphere, ocean, land, and cryosphere
123 components, linked through a flux coupler (Hurrell et al. 2013). The CESM1 retains advances
124 in the simulation of ENSO from its predecessor, CCSM4, with both versions simulating the
125 observed asymmetries in the evolution of El Niño and La Niña events with high realism (Deser
126 et al. 2012; DiNezio et al. 2017a). The atmospheric component is the Community Atmosphere
127 Model Version 5 (CAM5), including new schemes for the simulation of moist turbulence, a shallow
128 convection, cloud microphysics, and aerosol-cloud-rainfall interactions (Hurrell et al. 2013). In our
129 simulations, CAM5 was configured on a finite volume grid at a nominal horizontal resolution of
130 2° with 30 pressure levels for the vertical coordinate. The land component is the Community Land
131 Model Version 4 (CLM4) configured on the same horizontal grid as the atmosphere model. The
132 ocean model is the Parallel Ocean Program Version 2 (POP2) configured at the nominal horizontal
133 resolution of 1° with increased meridional resolution of about $1/3^\circ$ approaching the equatorial wave
134 guide, and 60 vertical levels. POP2 has parameterizations that simulate overflows, tidal mixing,
135 and eddy mixing.

136 Our simulations span a range of externally forced changes in the mean climate state and variability
137 in the tropical Pacific. Two simulations represent climate conditions under glacial conditions, one
138 for the Last Glacial Maximum (LGM) 21,000 years before present (21 ka BP) and the other for an
139 interval during the last deglaciation at 15 ka BP. These simulations were run with realistic ice sheets
140 topography, coastlines, greenhouse concentrations, and insolation patterns following paleoclimate
141 modeling protocols as described in Thirumalai et al. (2024). The 21 ka BP climate has orbital
142 forcing closest to our current climate while the 15 ka BP has orbital forcing of precession that is

143 substantially different from today leading to altered insolation affecting the annual cycle of the cold
144 tongue – a well known driver of ENSO changes (Clement et al. 1999; Timmermann et al. 2007).
145 Two additional simulations represent warmer climates under doubled and quadrupled atmospheric
146 CO₂ concentrations relative to PI values (2xCO₂ and 4xCO₂). All four simulations were run until
147 global mean surface temperature reached equilibrium. The analysis was performed on subsequent
148 output from an equilibrated climate. We use 500 years of monthly output for the analysis, except
149 for the 4xCO₂ simulation for which we use the last 300 years of near equilibrated climate (Table 1).
150 All four simulations have a drift in global mean surface temperature less than 0.11 K per century
151 during the analysis interval (Thirumalai et al. 2024). We compare variability in these simulations
152 relative to a control simulation of PI climate. The length of this simulation, 1500 years, allows us
153 to quantify unforced centennial variability under constant PI forcing. This was essential to assess
154 whether the changes simulated under glacial and greenhouse conditions are forced. Note that our
155 glacial and greenhouse warming simulations have a length well within the requirement to identify
156 externally forced changes in ENSO in multi-century simulations (Wittenberg 2009). The 4xCO₂
157 simulation shows a very regular ENSO with reduced centennial variability allowing the use of only
158 300 years to detect changes relative to the PI control.

159 We used ocean and atmosphere variables from the CESM1 standard output to analyze ENSO
160 dynamics and quantify physical processes. To capture the seasonal modulation of ENSO variability,
161 all variables were analyzed at monthly temporal resolution. Anomalies were then calculated by
162 removing the long-term monthly mean seasonal cycle from each year of the simulations. Finally,
163 to isolate and focus on ENSO variability, monthly anomalies were smoothed with a bandpass filter
164 spanning 6 months to 10 years. In addition to the standard CESM1 output, we also calculated
165 the depth of the thermocline, defined as the depth at which the vertical temperature gradient is
166 maximized, from 3-dimensional ocean model output. This calculation was restricted to the latitude

167 band between 5°N and 5°S to represent the equatorial region. Unlike the typical definition based on
168 the 20°C isotherm, using the depth of the maximum temperature gradient identifies the depth of the
169 thermocline regardless of changes in ocean temperatures in each climate state (Vecchi and Soden
170 2007; DiNezio et al. 2009; Yang and Wang 2009). We also calculate the eastern edge of the western
171 Pacific warm pool as the zero-contour of the atmospheric vertical velocity in pressure coordinates,
172 ω , at the 500 hPa level, using the same latitude band (5°N–5°S). Unlike the definition based on the
173 28°C SST contour (De Deckker 2016), our definition of the warm pool edge is independent of the
174 changes in SST in each climate state as it captures regions more prone to experience atmospheric
175 convection. Locating the warm pool edge along the equatorial Pacific is important to identify the
176 region where atmospheric convection will be most sensitive to changes in SST associated with
177 ENSO.

178 To evaluate the realism of El Niño and La Niña transitions in our PI control simulation, we
179 analyze observational SST and surface wind stress data. For SST, we use the NOAA Extended
180 Reconstructed Sea Surface Temperature Version 5 (ERSSTv5) dataset (Huang et al. 2017), which
181 spans 1854–2023 and provides a robust basis for estimating ENSO statistics and comparing them
182 with our PI control simulation. Historical forcings have shown limited influence on ENSO variabil-
183 ity (Maher et al. 2023), enabling meaningful comparisons between PI simulations and historical
184 data. Surface wind stress data are drawn from the fifth-generation ECMWF atmospheric reanalysis
185 (ERA5) (Hersbach et al. 2020). To account for long-term trends, both observational datasets have
186 been quadratically detrended. By using SST and surface wind stress data from the common period
187 of 1950–2023, we identify the coupling region under current climate conditions and assess the
188 methodology for locating this region in the PI control simulation.

189 *Definition of ENSO events*

190 We define ENSO events using a novel Climate-Specific Niño (CSN) index that accounts for
191 the shifting dynamics of the coupling region – the area with strongest air-sea interactions along
192 the equatorial Pacific – across different climate states. Unlike the conventional Niño3.4 region,
193 we use an adjusted region with zonally varying boundaries. The specific longitudinal bounds are
194 detailed in Table 2, and the methodology for determining these adjustments is explained in Results
195 section 2. To mitigate biases arising from varying ENSO amplitudes, we implement a standardized
196 method for detecting El Niño and La Niña. We identify these events events when the CSN
197 SST anomalies during the December-January-February (DJF) season exceed +1 or –1 standard
198 deviation, respectively. This normalization technique ensures a consistent and unbiased detection
199 of ENSO events across diverse climatic conditions. To provide a comprehensive understanding of
200 ENSO’s temporal evolution, we employ a systematic composite analysis methodology. By aligning
201 the Decembers of all single-year and final year of multi-year events, we can focus on the transitions
202 out of each ENSO phase. This methodology allows us to systematically track the evolution of
203 ENSO events before, during, and after their peak, revealing the underlying mechanisms driving
204 their initiation, growth, and decay. This approach provides a robust framework for analyzing El
205 Niño and La Niña transitions across different climate states, ensuring consistent event detection
206 and allowing us to explore the processes governing ENSO phase transitions.

207 *Mixed Layer Heat Budget*

We perform an upper ocean heat budget analysis following DiNezio and Deser (2014) in order to diagnose the physical processes involved in the transitions between El Niño and La Niña.

$$\rho_0 c_p H \frac{\partial [T']}{\partial t} = -\rho_0 c_p \int_{-H}^0 \left(u' \frac{\partial \bar{T}}{\partial x} + w' \frac{\partial \bar{T}}{\partial z} + \bar{w} \frac{\partial T'}{\partial z} \right) dz + Q'_{atm} + \epsilon \quad (1)$$

208 The heat budget equation (1) is computed as a balance between the heat storage rate within the
209 upper ocean mixed layer (left hand side of equation 1) and the advective heat fluxes into the same
210 layer as well as the net atmospheric heat flux (right hand side of equation 1). H represents the
211 depth of the layer in the upper ocean over which the heat budget is computed. Our choice of H
212 ensures that the anomalies of temperature averaged over the surface layer, $[T']$, effectively capture
213 the magnitude of evolution of SST anomalies. Our approach to closing the heat budget for $[T']$
214 focuses on the three main thermal advection terms involved in ENSO dynamics: (1) anomalous
215 horizontal advection of the mean temperature gradient ($\frac{\partial \bar{T}}{\partial x}$) by the anomalous zonal velocity (u'),
216 $-u' \frac{\partial \bar{T}}{\partial x}$, a term associated with zonal ocean current variations; (2) anomalous vertical advection of
217 mean temperature gradient ($\frac{\partial \bar{T}}{\partial z}$) by the anomalous vertical velocity (w'), $-w' \frac{\partial \bar{T}}{\partial z}$, a term associated
218 with upwelling variations; and (3) anomalous vertical advection of anomalous temperature gradient
219 ($\frac{\partial T'}{\partial z}$) by the mean vertical velocity (\bar{w}), $-\bar{w} \frac{\partial T'}{\partial z}$, a term associated with thermocline depth variations.
220 In the results, we demonstrate how these three terms plus the anomalous net air-sea heat flux balance
221 the $[T']$ temporal tendency. This allows us to use a composite heat budget for El Niño events to
222 diagnose the processes explaining the oscillatory dynamics.

223 3. Results

224 *a. Changes in the Pacific mean state and variability*

225 Our simulations show a wide range of changes in the mean climate of the equatorial Pacific
226 relative to the PI simulation that could affect the physical processes governing ENSO dynamics.
227 The simulations of glacial climates, 21 ka BP and 15 ka BP, exhibit a pattern of enhanced equatorial
228 cooling in the tropical Pacific, which we emphasize by removing the tropical mean SST changes
229 (Fig.1a & 1b, shading). This pattern of cooling intensifies towards the eastern Pacific leading to

230 a stronger zonal SST gradient along the equator together with stronger southeasterly trade winds
231 and surface wind divergence along the equator (Fig. 1a-b, vectors). Conversely, the simulations
232 under increased greenhouse forcing, 2xCO₂ and 4xCO₂, exhibit a pattern of enhanced equatorial
233 warming that intensifies towards the eastern Pacific leading to a weaker zonal SST gradient along
234 the equator (Fig. 1c-d, shading) and weaker southeasterly trade winds and surface wind divergence
235 (Fig. 1c-d, vectors). These patterns of cooling and warming are part of well-known climate
236 responses simulated by CMIP-class models in response to greenhouse forcing (Vecchi and Soden
237 2007; DiNezio et al. 2009; Li et al. 2016). In models, these responses arise as part of a coupled
238 response to changes in the global Walker circulation, which weakens in response to warming and
239 strengthens in response to cooling (Vecchi and Soden 2007; DiNezio et al. 2011). The associated
240 changes in the winds in the equatorial Pacific drive characteristic ocean responses, mainly changes
241 in upwelling and thermocline depth, that amplify the temperature response along the equator
242 (DiNezio et al. 2009). The changes simulated across all climates are highly consistent with this
243 mechanism therefore we focus the analysis on the influence of the changes in the mean climate on
244 ENSO dynamics.

245 Each simulated climate exhibits distinctive patterns of SST and zonal wind stress variability
246 along the equatorial Pacific. In the PI simulation, both SST and wind stress variability feature
247 a single broad maximum in the equatorial central Pacific (Fig. 2b & 3b) closely matching the
248 observed patterns (Fig. 2a & 3a). By contrast, colder climates display weaker variability in both
249 SST and zonal wind stress, divided into two centers of action – one in the west and one farther east
250 for SST (Fig. 2c-d) and one in the far west and another in the central basin for wind stress (Fig.
251 3c-d). The warmer climates, on the other hand, are characterized by stronger variability in both
252 SST and wind stress extending across the equatorial Pacific, with maximum values in the eastern
253 basin for SST and central basin for wind stress (Fig. 2e-f, Fig. 3e-f). These consistent shifts in SST

254 and wind stress variability across climates are suggestive of zonal shifts in the region of strongest
255 ocean-atmosphere coupling. Locating this area of strong coupling is important to define indices of
256 ENSO variability and to study the physical processes influencing the temporal evolution of events.
257 For instance, if we used the typical Niño-3.4 box for the glacial climates, that region would not fully
258 capture the SST and wind stress variability present in the western equatorial Pacific. Conversely,
259 for the warmer climates the conventional Niño-3.4 definition of coupling region will not capture
260 the SST variability concentrated over the eastern side of the basin.

261 *b. Defining ENSO coupling region for each climate state*

262 Defining an ENSO coupling region in each climate state requires identifying the region where
263 zonal wind stress and SST anomalies are maximally correlated. We achieve this by computing
264 the leading Empirical Orthogonal Function (EOF1) of zonal wind stress variability across the
265 equatorial Pacific (5°N-5°S, 140°E-80°W). Then we regressed SST anomalies on the normalized
266 principal component (PC1) timeseries of zonal wind stress variability and defined the coupling
267 region of ENSO coupling centered in the location of the maximum SST anomaly regression values.
268 When we apply this approach to observations, the resulting coupling region coincides with the
269 conventional definition based on the Niño3.4 region (Fig. 4a). The PI simulation shows a similar
270 pattern of SST-wind stress co-variability as observed, with the strongest values centered in the
271 Niño3.4 region – although with a stronger SST anomaly amplitude.

272 Our technique to identify the coupling region reveals pronounced zonal shifts under colder and
273 warmer climates. The most pronounced shift occurs in the deglacial climate (15 ka BP), with
274 a pattern of coupled wind-SST variability displaced westward by 50° of longitude relative to its
275 location in the PI climate (Fig. 4d). The glacial simulation (21 ka BP) shows a less pronounced
276 westward shift of 30° in longitude (Fig. 4c). The 4xCO₂ simulation shows the most pronounced

277 eastward displacement, with a peak in the pattern of coupled variability shifted 20° to the east of its
278 location in the PI simulation (Fig. 4f), while the $2xCO_2$ simulation shows a comparable eastward
279 shift of 15° (Fig. 4e). These shifts in the location of wind-SST co-variability align closely with the
280 locations of SST variability maxima depicted in Fig. 2. In colder climates, the westward-shifted
281 region of strong wind-SST coupling correspond only to the location of the western Pacific maxima
282 (Fig. 2c-d), while it is not yet clear why the eastern Pacific maxima is not captured. Similarly, in
283 warmer climates, the eastward-shifted region of strong wind-SST coupling is consistent with the
284 strong SST variability concentrated in the eastern equatorial Pacific (Fig. 2e-f). This highlights
285 the effectiveness of using EOF analysis to accurately capture the zonal shifts in ENSO coupling
286 across our different climate states.

287 We quantify ENSO SST variability using the CSN index defined based on the coupling region
288 in each climate state (see Methods, Definition of ENSO events). We define the coupling region
289 in each climate state spanning 25° of longitude to the east and west of the location of maximal
290 wind-SST co-variability identified before and $5^\circ S$ - $5^\circ N$ in latitude (Table 2). Defining the Climate
291 Specific Niño (CSN) SST index based on this region ensures that we capture SST variations in
292 the region of maximum coupled variability in each climatic state. To examine how the temporal
293 evolution and frequency characteristics of ENSO variability vary across different climate states,
294 we compute the auto-correlation function (ACF) and the power spectral density (PSD) based on
295 the CSN SST index (Fig. 5). We use the ACF to identify changes in the evolution of ENSO events
296 and the PSD to identify peaks and compare their sharpness across climatic states. We also analyze
297 the ACF and PSD based on PC1 of equatorial SST anomalies to verify that our results are robust
298 to the definition of coupling region.

299 *c. Metrics of oscillatory behavior*

300 The ACF of the CSN index captures the aggregated evolution for both El Niño and La Niña
301 events and should therefore be interpreted with caution, particularly when the evolution of these
302 events is not symmetric as under current climate conditions. Nonetheless, this metric provides
303 useful information on two limits. An ACF that decays to zero correlation without any zero crossing
304 indicates that El Niño and La Niña events are uncorrelated, i.e. that events grow and decay without
305 triggering a subsequent event of the opposite phase. In this case, ENSO would reside in a fully
306 non-oscillatory regime. In contrast, ENSO is fully oscillatory if the ACF shows periodic peaks at
307 a given lag. In this limit, the power spectrum is a delta function at the period of oscillation.

308 Because the real world ENSO is not a perfect oscillation, the observed lagged ACF shows
309 qualities of both the oscillatory and the damped limits as described above (Fig. 5a & 5c, black
310 curve). The significant negative ACF (-0.2) between 1 to 3 year lags reflects the tendency for
311 El Niño to transition into La Niña events that can last multiple years. The simulated PI climate
312 shows an ACF in striking agreement with observations (Fig. 5a & 5c, yellow curve). The decay
313 of the negative ACF values together with the lack of a positive peak at longer lags reflects the
314 breakdown of oscillatory behavior at the end of La Niña rarely triggering a subsequent El Niño.
315 The absence of positive peaks at multi-year lags indicates that ENSO events lose the memory of
316 past variability after La Niña returns to neutral. This is also reflected in the PSD of observed and
317 PI climate showing a peak at 4 years suggesting a preferred periodicity. However, the broadness of
318 the peak suggests ENSO is not purely oscillatory, just as inferred from the ACF. This is consistent
319 with previous work confirming that CESM1 provides a realistic simulation of ENSO oscillatory
320 dynamics (DiNezio et al. 2017b; Capotondi et al. 2020).

321 *d. Changes in oscillatory behavior*

322 Our simulations show pronounced changes in ENSO oscillatory behavior across the different
323 climatic states. Under glacial conditions, the ACF based on the CSN index and PC1 show a very
324 weak negative correlation at lags longer than one year revealing not just a lack of a transition from
325 El Niño to La Niña, but also a transition to a long-lived, albeit weak, cold state. (Fig. 5a & 5c,
326 blue & light blue curves). This is further reflected by the broader and redder power spectra that
327 shows no significant period consistent with a lack of oscillatory behavior. (Fig. 5b & 5d, blue &
328 light blue curves). These changes in ACF and PSD in the glacial climates represent a complete
329 breakdown of ENSO oscillatory dynamics. Conversely, under greenhouse warming, the ACF,
330 either based on the CSN index or the PC1, show a pronounced negative peak at about 2 years,
331 followed by a weaker positive peak at lags between 3.5 and 4 years. These changes are consistent
332 with: 1) a more consistent transition from El Niño to La Niña, and 2) the activation of a La Niña
333 to El Niño transition (Fig. 5a & 5c, orange & red curves). The positive peak in ACF at multi-year
334 lags indicates that the ENSO system retains significant memory of the previous states consistent
335 with increasingly oscillatory behavior in the 2xCO₂ and 4xCO₂ climates. This is supported by the
336 sharper peaks in the power spectra centered at 3.5 year periodicities (Fig. 5b & 5d, orange and red
337 curves).

338 Another approach to exploring changes in ENSO oscillatory behavior is through the use of
339 composites of ENSO events. The composite evolution of El Niño and La Niña events for each
340 climate state, constructed using the CSN index as described in the methods section, illustrates
341 these changes (Fig. 6). Unlike the ACF presented previously, these composites separately capture
342 the temporal evolution and actual amplitudes of El Niño and La Niña phases. This separation
343 provides a clearer understanding of the strength and progression of individual ENSO events across

344 each climate. Additionally, the inclusion of probability density functions (PDFs) at key ENSO
345 phases, reveals the distribution of individual events contributing to the composite mean. These
346 PDFs indicate whether most events lean towards neutral, warm, or cold states during the transition
347 period, revealing any changes in the oscillatory dynamics of ENSO in each climate state.

348 The composite analysis reveals significant differences in ENSO amplitude and transitions across
349 climate states. Under glacial conditions, both El Niño and La Niña events tend to decay into
350 neutral conditions without driving transitions to subsequent phases (Fig. 6a & 6c, blue and light
351 blue curves). In the PI climate, the model realistically simulates El Niño consistently transitioning
352 into La Niña, however, transitions from La Niña to El Niño are infrequent (Fig. 6a & 6c, black and
353 yellow curves). In warmer climates, the transitions from El Niño to La Niña persist as seen in the
354 PI climate, but transitions from La Niña to El Niño become more consistent. This indicates a shift
355 towards a more self-sustained oscillatory behavior in warmer climates (Fig. 6a & 6c, orange and
356 red curves).

357 *e. Mechanisms underlying the changes in oscillatory dynamics*

358 The heat budget analysis allows us to diagnose the processes involved in the changes in oscillatory
359 behavior. Consistent with our earlier findings on SST variability, the glacial climates exhibit
360 temperature anomalies, $[T']$, with smaller amplitudes (Fig. 7a, blue curve) compared to the PI
361 climate, while warmer climates show temperature anomalies of comparable amplitude (Fig. 7b-c,
362 blue curve). These magnitudes closely align with the rate of temperature change represented by
363 the anomalous temperature tendency, $\frac{\partial[T']}{\partial t}$. For all climates, the evolution of $\frac{\partial[T']}{\partial t}$ (Fig. 7a-c,
364 black curve) is primarily explained by a balance between the anomalous thermal advection terms
365 (Fig. 7a-c, brown curve) and the anomalous net air-sea heat flux (Fig. 7a-c, yellow curve). Note
366 that the anomalous thermal advective terms not included in the calculation of the full heat budget

367 equation are incorporated into the residual term (Fig. 7a-c, magenta line). This residual term is
368 either small in the colder climates or in phase with the total surface layer temperature tendency in
369 the PI and 4xCO₂ climates. This indicates that any unaccounted physical processes associated with
370 the advective terms, included in the residual term, do not play a significant role in driving ENSO
371 phase transitions. Accordingly, we focus our analysis on the zonal and vertical thermal advection
372 terms, as they are directly in phase with the transition of ENSO events.

373 Zonal advection plays a role in driving El Niño events across different climatic conditions, acting
374 as the primary mechanism in PI and glacial climates while becoming less important in the warmer
375 climates. The composites of the anomalous zonal surface current (Fig. 7g-i, purple curve) and its
376 associated anomalous zonal thermal advection (Fig. 7g-i, pink curve), reveal that this process is
377 a significant driver of El Niño in the PI climate (Fig. 7h) and serves as the sole driver in glacial
378 climates (Fig. 7g). Despite its crucial role in the PI climate and glacial periods, the anomalous
379 zonal thermal advection does not exhibit significant changes in the warmer climates, particularly
380 during the transition from La Niña to El Niño (Fig. 7i, Dec+2), suggesting a relative stability of
381 this feedback mechanism across different climatic conditions. Therefore, to explore changes across
382 climates, we focus on the anomalous vertical thermal advection term, $-\overline{w} \frac{\partial T'}{\partial z}$, since it is directly
383 linked to thermocline depth variations involved in ENSO event transitions.

384 Under PI conditions, the location of the coupling region in the central Pacific (170°W-120°W)
385 favors the onset of La Niña after El Niño and hinders the onset of El Niño after La Niña consistent
386 with the evolution of observed events. The composite evolution of ENSO events shows that the
387 equatorial thermocline shoals after the peak of El Niño (Fig. 7e, red curve), inducing a negative
388 temperature tendency via the anomalous vertical thermal advection, $-\overline{w} \frac{\partial T'}{\partial z}$ (Fig. 7e, green curve).
389 This cooling drives the demise of El Niño and the onset of a subsequent La Niña. Conversely, La
390 Niña is followed by a deepening of the thermocline, producing a positive temperature tendency

391 associated with the anomalous vertical thermal advection. However, this heating is weaker than the
392 cooling that drives the onset of La Niña, making it insufficient to terminate La Niña and trigger a
393 subsequent El Niño as shown by previous work using CCSM4 (DiNezio and Deser 2014), CESM1
394 (DiNezio et al. 2017b; Wu et al. 2021) and observations (Kessler 2002). This asymmetry in the
395 magnitude of the thermocline-driven thermal advection explains the asymmetric evolution of El
396 Niño and La Niña, consistent with previous work (DiNezio and Deser 2014).

397 In summary, our heat budget analysis shows that zonal shifts in the coupling region modify
398 how delayed thermocline responses feedback on SSTs, leading to pronounced changes in El Niño
399 and La Niña transitions. Ultimately these shifts explain the different ENSO oscillatory regimes
400 simulated by our model across climatic states. Under glacial conditions, the transition from El
401 Niño to La Niña shows a delayed shoaling of the thermocline, similar to what occurs under PI
402 conditions. However, this anomalously deep thermocline generates a negligible cooling tendency
403 via the anomalous vertical thermal advection (Fig. 7d, green curve). This muted cooling limits the
404 ability of the system to fully transition to a subsequent La Niña. The breakdown of this transition
405 makes El Niño and La Niña grow and decay in isolation, explaining the lack of memory of past
406 ENSO events seen in the ACF and the lack of a clear spectral peak in SST anomalies. In warmer
407 climates, in contrast, the deepening of the thermocline at Dec+3, driven by the peak of La Niña
408 at Dec+2, generates a stronger heating tendency compared to PI conditions (Fig. 7f, green curve).
409 This augmented heating more effectively terminates La Niña and triggers a subsequent El Niño,
410 making ENSO oscillatory.

411 The simulations show changes in the depth the equatorial thermocline across climate states,
412 with a deeper thermocline in the cold climates and a shallower thermocline in the warm climates
413 (Fig. 8). The deeper climatological thermocline in the glacial states would inhibit the coupling
414 between thermocline and SST variability. Conversely, coupling would be favored by the shallower

415 climatological thermocline in the greenhouse climates. However, we do not find an intensification
416 (or weakening) of the thermocline-driven anomalous vertical thermal advection, $-\overline{w} \frac{\partial T'}{\partial z}$, across
417 climates when we average the terms of the heat budget over the fixed Niño3.4 region (not shown).
418 This occurs because the deepening of the climatological thermocline in the colder climates is
419 mirrored by a deepening of the climatological mixed layer (Fig. 8, blue curves). Conversely, the
420 shoaling of the climatological thermocline in the warmer climates is mirrored by a shoaling of the
421 climatological mixed layer (Fig. 8, red curves). In both cases the coupling between thermocline
422 and SST variability, i.e. their distance, remains largely unchanged if we focus on a fixed region
423 across the equatorial Pacific.

424 In contrast, the main control on ENSO event transitions is the location of the coupling region
425 relative to the depth of the climatological thermocline. In the colder climates, the coupling region is
426 located in the western equatorial Pacific where the climatological thermocline is deep. Conversely,
427 in the warmer climates, the coupling region is located in the eastern equatorial Pacific where the
428 climatological thermocline is shallow (Fig. 8). In the colder climates, thermocline variability pro-
429 duces muted thermal advection into the mixed layer because the thermocline variability during the
430 onset of La Niña produces an anomalous thermal gradient, $\frac{\partial T'}{\partial z}$, located below the climatological
431 mixed layer (Fig. 9b) relative to the PI climate (Fig. 9a). In other words, although the thermocline
432 shoals after El Niño, the associated temperature fluctuations in the thermocline have a muted influ-
433 ence at the base of the mixed layer, explaining the negligible anomalous thermal advection, $-\overline{w} \frac{\partial T'}{\partial z}$,
434 in the heat budget (Fig. 7d). In the warmer climates, thermocline variability during the onset of El
435 Niño produces stronger thermal advection into the mixed layer because the thermocline variability
436 produces an pronounced anomalous thermal gradient, $\frac{\partial T'}{\partial z}$, at the base of the climatological mixed
437 layer (Fig. 9d) relative to the PI (Fig. 9c). In other words, in the warmer climates the coupling
438 region is characterized by a shallower thermocline closer to the base of the mixed layer where

439 thermocline variability can produce stronger anomalous thermal advection, $-\overline{w} \frac{\partial T'}{\partial z}$, as seen in the
440 heat budget (Fig. 7f).

441 Our analysis shows that delayed thermocline responses are more effective at initiating ENSO
442 events in climate states characterized by a coupling region co-located with a shallow climatological
443 thermocline. Under glacial conditions, the thermocline deepens across the basin and the coupling
444 region shifts westward where the mean thermocline is much deeper. Both effects decouple the
445 thermocline from the surface preventing El Niño to drive a subsequent La Niña. In contrast, under
446 warmer climates, the mean thermocline becomes shallower across the basin and the coupling region
447 shifts eastward where the mean thermocline is much shallower. Both effects make delayed ther-
448 mocline anomalies more effective at warming the surface during the decay of La Niña, facilitating
449 the transition from La Niña to El Niño. These processes are clearly illustrated in the spatiotemporal
450 evolution of composite events (Fig. 10), which demonstrates that thermocline anomalies can
451 trigger subsequent events when the thermocline-induced SST anomalies occur within the ENSO's
452 specific coupling region for the climate state.

453 The spatiotemporal evolution of composite events reveals that delayed thermocline responses
454 generate SST anomalies, which can develop into El Niño or La Niña events depending on whether
455 they occur in regions of strong ocean-atmosphere coupling in each climate state. Under glacial
456 conditions, the shoaling of the thermocline following El Niño cools the ocean surface across much
457 of the equatorial Pacific, driving its termination (Fig. 10a). However, the resulting negative
458 SST anomalies occur too far east of the coupling region. This spatial offset, combined with the
459 weak ocean-atmosphere coupling in that region, prevents the anomalies from amplifying into a
460 subsequent La Niña like in the PI climate (Fig. 10b). In contrast, under warmer conditions, the
461 deepening of the thermocline after the peak of La Niña generates a warming tendency within the
462 coupling region specific to that climate (Fig. 10c). This allows the positive SST anomalies to grow

463 and trigger a subsequent El Niño. These results highlight the critical role of the location of the
464 coupling region in determining the effectiveness of thermocline variations in driving ENSO phase
465 transitions across different climate states.

466 **4. Discussion**

467 Our analysis shows that ENSO oscillatory regimes are controlled by the location of the air-
468 sea coupling region along the equatorial Pacific. Under current and PI conditions this region is
469 located in the central equatorial Pacific coinciding with the Niño-3.4 region. This location hinders
470 the onset of El Niño, driven by delayed thermocline responses associated with a preceding La
471 Niña, explaining one of the more conspicuous asymmetries of the ENSO phenomenon. When
472 the thermocline deepens after La Niña it cannot initiate a subsequent El Niño because the deep
473 climatological thermocline in the central equatorial Pacific limits the magnitude of warming at the
474 ocean surface needed to initiate a subsequent El Niño (DiNezio and Deser 2014). This can be seen
475 in Fig. 10b of our analysis where the thermocline deepening associated with La Niña produces
476 warming in the far eastern equatorial Pacific where the atmosphere is not responsive. The delayed
477 thermocline responses driven by La Niña do not produce warming in the central equatorial Pacific,
478 where the atmosphere is responsive to SSTs, because the anomalously deep thermocline becomes
479 decoupled from the mixed layer. In contrast, El Niño events can consistently trigger subsequent
480 La Niña because their associated thermocline shoaling can effectively drive cooling in the central
481 Pacific where the atmosphere is response to SSTs. These ideas, originally proposed by DiNezio
482 and Deser (2014), are supported by recent work showing that heat content, a proxy for thermocline
483 depth, is a much better predictor of La Niña than of El Niño (Xue and Kumar 2017; Planton et al.
484 2018).

485 Thermocline variability associated with La Niña can drive subsequent El Niño when the coupling
486 region shifts eastward. Under greenhouse warming, enhanced equatorial warming makes atmo-
487 spheric convection and winds more responsive to SST anomalies towards the eastern equatorial
488 Pacific, allowing thermocline variability to start playing a bigger role in the growth of El Niño
489 events. Otherwise, under current conditions, cold and dry background conditions in this region
490 make winds unresponsive to positive SST anomalies, thus limiting the influence of thermocline-
491 induced warming on the initiation of El Niño. An eastward shift in the coupling region also makes
492 the coupling between the thermocline and SSTs more effective due to the shallow climatological
493 thermocline. This shift makes transitions more consistent, contributing to ENSO's transition to-
494 wards a self-sustained oscillation. Conversely, when the coupling region shifts westward, as in our
495 glacial simulations, the deep climatological thermocline reduces the coupling between thermocline
496 variability and SSTs, preventing the growth of La Niña. In this regime, El Niño and La Niña occur
497 in isolation without influence from previous events via thermocline dynamics. As a result of the
498 westward shifted coupling region, the growth of ENSO events is likely to be dominated by stochas-
499 tic atmospheric variability amplified by the zonal advection feedback at the edge of the warm pool
500 as seen in our heat budget analysis.

501 Our heat budget analysis reveals large changes in the balance of zonal and vertical thermal
502 advection processes during the onset of El Niño. As discussed above, an eastward shift of the
503 ENSO coupling region makes the delayed thermocline feedback stronger, favoring oscillatory
504 dynamics. However, the eastward shift also strengthens the thermal advection by zonal current
505 anomalies (Fig. 7i) – the main physical process involved in the Bjerknes feedback during the growth
506 of El Niño events (McPhaden and Yu 1999; Thirumalai et al. 2024). We attribute this change to
507 the eastward shift in the coupling region too. The shallower climatological mixed layer over the
508 eastern equatorial Pacific could make zonal currents more responsive to winds allowing a faster

509 expansion of warm pool waters via zonal advective processes. A stronger zonal advection feedback
510 could also favor the onset of El Niño events via stochastic wind variability in addition to a stronger
511 influence from preceding La Niña via thermocline dynamics. This is particularly important for
512 understanding future changes in ENSO variability. Virtually all previous studies of ENSO changes
513 use the the Niño-3.4 region (170°W-120°W) to quantify ENSO variability (e.g., McPhaden et al.
514 2006; Vecchi and Wittenberg 2010; Timmermann et al. 1999). Our results, particularly the heat
515 budget analysis, suggests that using a fixed region would conflate mechanisms driving changes in
516 amplitude or frequency of ENSO events. We propose that the use of climate specific coupling
517 regions is needed to study the impact of changes in mean climate on all aspects of ENSO variability,
518 not only oscillatory dynamics.

519 The impact of zonal shifts in the coupling region could also be relevant for understanding ENSO
520 flavors. As El Niño events grow, they are initially driven mostly by zonal advection at the edge of
521 the warm pool where SST anomalies can feedback on the atmosphere McPhaden and Yu (1999). As
522 events grow in magnitude, the atmosphere becomes more responsive to SSTs towards the eastern
523 side of the basin. This shift in the region of coupling can excite the much stronger thermocline
524 feedback in the eastern equatorial Pacific. This is consistent with previous work showing that spatial
525 shifting of the Walker circulation controls ENSO complexity through the increased involvement
526 of processes such as the ocean adjustment to wind stress (Thual and Dewitte 2023) or thermocline
527 feedback (Capotondi 2013).

528 Although our results are based on a model that simulates a much more realistic ENSO temporal
529 evolution than most other models, CESM1 still simulates too many high-amplitude east Pacific El
530 Niño events. This bias could make ENSO appear more oscillatory, as these events are more prone
531 to transitioning back into El Niño conditions, contrary to what is observed in reality. This does not
532 unduly affect our results given that these events are relatively rare in the PI simulation, as shown by

533 the realistic behavior of the ACF. However, further research should apply our method to simulations
534 performed with other climate models with realistic ENSO evolution to assess the robustness of our
535 results. Another important aspect to consider is that the altered climates used in our analysis are
536 equilibrated relative to a constant forcing, unlike our current climate which is changing in response
537 to transient forcings that include not only greenhouse gases, but also anthropogenic aerosols,
538 stratospheric ozone change, and other forcing agents. Therefore it is unclear if the projected shift
539 to a fully oscillatory regime will occur under continued emissions of CO₂. Finally, one key feature
540 that requires further investigation is the presence of the two maxima in SST and zonal wind stress
541 variance under glacial conditions (Figs. 2c,d; 3c,d). While the western maximum is linked to the
542 leading mode of variability (PC1), the mechanisms driving the distinct eastern maximum remain
543 unclear. Future studies should focus on understanding this eastern maximum, potentially through
544 targeted model experiments to isolate the underlying physical processes.

545 Ultimately, our analysis reveals that shifts in the coupling region are dependent on the mean state
546 change, and it is unclear whether simulated enhanced equatorial warming and associated weakening
547 of the Walker circulation under ongoing greenhouse warming will materialize, especially given
548 the lack of observational evidence (Wills et al. 2022). If instead, greenhouse warming produces a
549 strengthening of the zonal SST gradient and equatorial easterly winds, as in the glacial climate state
550 simulations, we would expect a westward shift in the coupling region and thus a less oscillatory
551 ENSO. However, paleoclimatic evidence from the Pliocene, the most recent geological interval
552 with CO₂ levels comparable to our idealized 2xCO₂ scenario, indicates a weakened east-west
553 gradient across the Pacific and a weaker Walker Circulation (Tierney et al. 2019). This evidence
554 supports the crucial aspect of increased greenhouse warming effect on the Pacific mean state in
555 our model predictions.

566 **5. Conclusion**

567 Our simulations demonstrate that El Niño-La Niña transitions and the associated dynamical
568 regimes can experience pronounced changes under altered climatic states. Under global warming,
569 the transition from La Niña to El Niño becomes more consistent, transforming ENSO into a
570 more regular and predictable oscillation. In today's climate, this transition does not happen
571 consistently because the delayed thermocline responses associated with La Niña cannot induce a
572 subsequent El Niño. The thermocline deepening driven by La Niña drives anomalous heating in
573 the central equatorial Pacific, which terminates La Niña; however, as the thermocline deepens,
574 it decouples from the surface, limiting its ability to drive a subsequent El Niño (DiNezio and
575 Deser 2014). This nonlinearity is less pronounced over the eastern equatorial Pacific, where the
576 mean thermocline is sufficiently shallow that it never fully decouples from the surface layer even
577 when it deepens, and thus continues to be effective at influencing SSTs. However, this is a region
578 where the atmosphere is not responsive to SST variations because the mean conditions are too
cold. However, the atmosphere becomes more responsive to SST variability in this region. This
eastward shift in the region of strong coupling produces more regular transitions from La Niña
to El Niño because the deepening of the thermocline following La Niña induces SST anomalies
in the eastern Pacific that can grow via the Bjerknes feedback. This allows for the termination of
La Niña to be followed by a subsequent El Niño, sustaining the ENSO cycle. Conversely, under
colder climates, the coupling region of ENSO shifts to the western equatorial Pacific where the
climatological thermocline is deep and thus the delayed thermocline shoaling following El Niño
cannot produce SST anomalies capable of growing via the Bjerknes feedback. Together these
results indicate that ENSO oscillatory behavior is highly sensitive to changes in mean climate, with
potential implications for the predictability of El Niño under greenhouse warming.

579 *Acknowledgments.* BRM and PDN were supported by grant AGS-2103007. CD was supported
580 by the National Science Foundation - National Center for Atmospheric Research.

581 **References**

582 Capotondi, A., 2013: ENSO diversity in the NCAR CCSM4 climate model. *Journal of Geophysical*
583 *Research: Oceans*, **118 (10)**, 4755–4770, doi:10.1002/jgrc.20335.

584 Capotondi, A., C. Deser, A. S. Phillips, Y. Okumura, and S. M. Larson, 2020: ENSO and Pacific
585 Decadal Variability in the Community Earth System Model Version 2. *J. Advances in Modeling*
586 *Earth Systems*, **12 (12)**, e2019MS002 022, doi:10.1029/2019MS002022.

587 Capotondi, A., and Coauthors, 2015: Understanding ENSO Diversity. *Bull. Amer. Meteor. Soc.*,
588 **96 (6)**, 921–938, doi:10.1175/BAMS-D-13-00117.1.

589 Choi, K., G. A. Vecchi, and A. T. Wittenberg, 2013: ENSO Transition, Duration, and Amplitude
590 Asymmetries: Role of the Nonlinear Wind Stress Coupling in a Conceptual Model. *J. Climate*,
591 **26 (23)**, 9462–9476, doi:10.1175/JCLI-D-13-00045.1.

592 Clement, A. C., R. Seager, and M. A. Cane, 1999: Orbital controls on the El Niño/Southern Oscilla-
593 tion and the tropical climate. *Paleoceanography*, **14 (4)**, 441–456, doi:10.1029/1999PA900013.

594 De Deckker, P., 2016: The Indo-Pacific Warm Pool: critical to world oceanography and world
595 climate. *Geosci. Lett.*, **3 (20)**, doi:10.1186/s40562-016-0054-3.

596 Deser, C., and Coauthors, 2012: ENSO and Pacific Decadal Variability in the Community Climate
597 System Model Version 4. *J. Climate*, **25 (8)**, 2622–2651, doi:10.1175/JCLI-D-11-00301.1.

598 DiNezio, P. N., A. Clement, G. A. Vecchi, B. Soden, A. J. Broccoli, B. L. Otto-Bliesner, and P. Bra-
599 connot, 2011: The response of the Walker circulation to Last Glacial Maximum forcing: Impli-
600 cations for detection in proxies. *Paleoceanography*, **26**, PA3217, doi:10.1029/2010PA002083.

601 DiNezio, P. N., A. C. Clement, G. A. Vecchi, B. J. Soden, B. P. Kirtman, and S. Lee, 2009: Climate
602 Response of the Equatorial Pacific to Global Warming. *J. of Climate*, **22 (18)**, 4873–4892,
603 doi:10.1175/2009JCLI2982.1.

604 DiNezio, P. N., and C. Deser, 2014: Nonlinear Controls on the Persistence of La Niña. *J. Climate*,
605 **27 (19)**, 7335–7355, doi:10.1175/JCLI-D-14-00033.1.

606 DiNezio, P. N., C. Deser, A. Karspeck, S. Yeager, Y. Okumura, G. Danabasoglu, and G. A. Meehl,
607 2017a: A 2 year forecast for a 60–80% chance of La Niña in 2017–2018. *Geophys. Res. Lett.*,
608 **44**, 11,624–11,635, doi:10.1002/2017GL074904.

609 DiNezio, P. N., C. Deser, Y. Okumura, and A. Karspeck, 2017b: Predictability of 2-year La
610 Niña events in a coupled general circulation model. *Climate Dyn.*, **49**, 4237–4261, doi:10.1007/
611 s00382-017-3575-3.

612 Dommenges, D., T. Bayr, and C. Frauen, 2012: Analysis of the non-linearity in the pattern and
613 time evolution of El Niño-Southern Oscillation. *Climate Dyn.*, **40**, 2825–2847, doi:10.1007/
614 s00382-012-1475-0.

615 Geng, L., and F.-F. Jin, 2022: ENSO Diversity Simulated in a Revised Cane-Zebiak Model.
616 *Frontiers in Earth Science*, **10**, doi:10.3389/feart.2022.899323.

617 Geng, T., F. Jia, W. Cai, L. Wu, B. Gan, Z. Jing, S. Li, and M. J. McPhaden, 2023: Increased
618 occurrences of consecutive La Niña events under global warming. *Nature*, **619 (7971)**, 774–781,
619 doi:10.1038/s41586-023-06236-9.

620 Hersbach, H., and Coauthors, 2020: The ERA5 global reanalysis. *Q J R Meteorol Soc.*, **146** (730),
621 1999–2049, doi:10.1002/qj.3803.

622 Huang, B., and Coauthors, 2017: Extended Reconstructed Sea Surface Temperature, Version 5
623 (ERSSTv5): Upgrades, Validations, and Intercomparisons. *J. Climate*, **30** (20), 8179–8205,
624 doi:10.1175/JCLI-D-16-0836.1.

625 Hurrell, J. W., and Coauthors, 2013: The Community Earth System Model: A Framework for
626 Collaborative Research. *Bulletin of the American Meteorological Society*, **94** (9), 1339–1360,
627 doi:10.1175/BAMS-D-12-00121.1.

628 Jin, F., 1997: An Equatorial Ocean Recharge Paradigm for ENSO. Part I: Conceptual Model. *J.*
629 *Atmos. Sci.*, **54** (7), 811–829, doi:10.1175/1520-0469(1997)054<0811:CO;2.

630 Kessler, W. S., 2002: Is ENSO a cycle or a series of events? *Geophys. Res. Lett.*, **29** (23),
631 40–1–40–4, doi:10.1029/2002GL015924.

632 Kirtman, B. P., J. Shukla, B. Huang, Z. Zhu, and E. K. Schneider, 1997: Multiseasonal Predictions
633 with a Coupled Tropical Ocean–Global Atmosphere System. *Monthly Weather Review*, **125** (5),
634 789–808, doi:10.1175/1520-0493(1997)125<0789:MPWACT>2.0.CO;2.

635 Li, G., S. P. Xie, Y. Du, and Y. Luo, 2016: Effects of excessive equatorial cold tongue bias
636 on the projections of tropical Pacific climate change. Part I: The warming pattern in CMIP5
637 multi-model ensemble. *Climate Dyn.*, **47**, 3817–3831, doi:10.1007/s00382-016-3043-5.

638 Maher, N., and Coauthors, 2023: The future of the El Niño–Southern Oscillation: using large
639 ensembles to illuminate time-varying responses and inter-model differences. *Earth System Dy-*
640 *namics*, **14** (2), 413–431, doi:10.5194/esd-14-413-2023.

641 McPhaden, M. J., and X. Yu, 1999: Equatorial waves and the 1997–98 El Niño. *Geophys. Res.*
642 *Lett.*, **26 (19)**, 2961–2964, doi:10.1029/1999GL004901.

643 McPhaden, M. J., S. E. Zebiak, and M. H. Glantz, 2006: ENSO as an Integrating Concept in Earth
644 Science. *Science*, **314 (5806)**, 1740–1745, doi:10.1126/science.1132588.

645 Neale, R. B., J. H. Richter, and M. Jochum, 2008: The Impact of Convection on ENSO:
646 From a Delayed Oscillator to a Series of Events. *J. Climate*, **21 (22)**, 5904–5924, doi:
647 10.1175/2008JCLI2244.1.

648 Okumura, Y. M., and C. Deser, 2010: Asymmetry in the Duration of El Niño and La Niña. *J.*
649 *Climate*, **23 (21)**, 5826–5843, doi:10.1175/2010JCLI3592.1.

650 Okumura, Y. M., M. Ohba, C. Deser, and H. Ueda, 2011: A Proposed Mechanism for the
651 Asymmetric Duration of El Niño and La Niña. *J. Climate*, **24 (15)**, 3822–3829, doi:10.1175/
652 2011JCLI3999.1.

653 Picaut, J., M. Ioualalen, C. Menkes, T. Delcroix, and M. J. McPhaden, 1996: Mechanism of
654 the Zonal Displacements of the Pacific Warm Pool: Implications for ENSO. *Science*, **274**,
655 1486–1489, doi:10.1126/science.274.5292.1486.

656 Planton, Y., J. Vialard, E. Guilyardi, M. Lengaigne, and T. Izumo, 2018: Western Pacific oceanic
657 heat content: A better predictor of La Niña than of El Niño. *Geophys. Res. Lett.*, **45**, 9824–9833,
658 doi:10.1029/2018GL079341.

659 Suarez, M. J., and P. S. Schopf, 1988: A Delayed Action Oscillator for ENSO. *J. Atmos. Sci.*,
660 **45 (21)**, 3283–3287, doi:10.1175/1520-0469(1988)0452.0.CO;2.

- 661 Thirumalai, K., P. N. DiNezio, Y. Okumura, J. Partin, Liu, K. Costa, and A. Jacobel, 2024:
662 Future increase in extreme El Niño supported by past glacial changes. *Nature*, **634**, 374–380,
663 doi:10.1038/s41586-024-07984-y.
- 664 Thual, S., and B. Dewitte, 2023: ENSO complexity controlled by zonal shifts in the Walker
665 circulation. *Nature Geoscience*, **16** (4), 328–332, doi:10.1038/s41561-023-01154-x.
- 666 Tierney, J. E., A. M. Haywood, R. Feng, T. Bhattacharya, and B. L. Otto-Bliesner, 2019: Pliocene
667 warmth consistent with greenhouse gas forcing. *Geophys. Res. Lett.*, **46**, 9136–9144, doi:10.
668 1029/2019GL083802.
- 669 Timmermann, A., S. I. An, J. S. Kug, and Coauthors, 2018: El Niño-Southern Oscillation Com-
670 plexity. *Nature*, **559**, 535–545, doi:10.1038/s41586-018-0252-6.
- 671 Timmermann, A., A. Bacher, M. Esch, M. Latif, and E. Roeckner, 1999: Increased El Niño
672 frequency in a climate model forced by future greenhouse warming. *Nature*, 694–697, doi:
673 10.1038/19505.
- 674 Timmermann, A., S. J. Lorenz, S.-I. An, A. Clement, and S.-P. Xie, 2007: The Effect of Orbital
675 Forcing on the Mean Climate and Variability of the Tropical Pacific. *Journal of Climate*, **20** (16),
676 4147 – 4159, doi:10.1175/JCLI4240.1.
- 677 Vecchi, G. A., and B. J. Soden, 2007: Global Warming and the Weakening of the Tropical
678 Circulation. *J. Climate*, **20** (17), 4316–4340, doi:10.1175/JCLI4258.1.
- 679 Vecchi, G. A., and A. T. Wittenberg, 2010: El niño and our future climate: where do we stand?
680 *WIREs Climate Change*, **1** (2), 260–270, doi:10.1002/wcc.33.

- 681 Wills, R. C. J., Y. Dong, C. Proistosescu, K. C. Armour, and D. S. Battisti, 2022: Systematic climate
682 model biases in the large-scale patterns of recent sea-surface temperature and sea-level pressure
683 change. *Geophys. Res. Lett.*, **49** (17), e2022GL100011, doi:10.1029/2022GL100011.
- 684 Wittenberg, A. T., 2009: Are historical records sufficient to constrain ENSO simulations? *Geophys.*
685 *Res. Lett.*, **36** (12), doi:10.1029/2009GL038710.
- 686 Wu, X., Y. M. Okumura, C. Deser, and P. N. DiNezio, 2021: Two-Year Dynamical Predictions
687 of ENSO Event Duration during 1954–2015. *J. Climate*, **34** (10), 4069–4087, doi:10.1175/
688 JCLI-D-20-0619.1.
- 689 Xue, Y., and A. Kumar, 2017: Evolution of the 2015/16 El Niño and historical perspective since
690 1979. *Sci. China Earth Sci.*, **60** (9), 1572–1588, doi:10.1007/s11430-016-0106-9.
- 691 Yang, H., and F. Wang, 2009: Revisiting the Thermocline Depth in the Equatorial Pacific. *J.*
692 *Climate*, **22** (13), 3856–3863, doi:10.1175/2009JCLI2836.1.
- 693 Yeh, S. W., B. Dewitte, B. Y. Yim, and Y. Noh, 2010: Role of the upper ocean structure in
694 the response of ENSO-like SST variability to global warming. *Climate Dyn.*, **35**, 355–369,
695 doi:10.1007/s00382-010-0849-4.

696 **LIST OF TABLES**

697 **Table 1.** Boundary conditions of the simulated climates by CESM1. 34

698 **Table 2.** Coupling region to compute ENSO variability 35

TABLE 1: Boundary conditions of the simulated climates by CESM1.

Simulation	Atmospheric CO ₂ (ppm)	Simulation Length (Yrs)
4xCO ₂	1120	300
2xCO ₂	560	500
0ka (PI)	280	1500
15ka	230	500
21ka	190	500

TABLE 2: Coupling region to compute ENSO variability

Simulation	Longitude Bounds
4xCO ₂	145°W - 95°W
2xCO ₂	150°W - 100°W
0ka (PI)	170°W - 120°W
15ka	145°E - 165°W
21ka	165°E - 145°W

699

LIST OF FIGURES

700

Fig. 1. Simulated changes in the ocean surface mean state in altered climate states. 37

701

Fig. 2. Simulated and observed patterns in the anomalous SST variability in altered climate states. 38

702

703

Fig. 3. Simulated and observed patterns in the anomalous zonal wind stress variability in altered climate states. 39

704

705

Fig. 4. Simulated and observed patterns of covariability between SST and zonal wind stress anomalies to identify the ENSO air-sea coupling region. 40

706

707

Fig. 5. Metrics of oscillatory behavior of simulated and observed ENSO variability. 41

708

Fig. 6. Composite evolution of El Niño and La Niña events for the coupling region in each of the altered climate states 42

709

710

Fig. 7. Mixed Layer Heat Budget Analysis for each climate state 43

711

Fig. 8. Climatological depth of the equatorial mixed layer and thermocline across past and future climate states. 44

712

713

Fig. 9. Thermocline-mixed layer coupling during the onset of La Niña and El Niño 45

714

Fig. 10. Hovmöller plots for El Nino temporal evolution in the 15 ka BP, pre-industrial, and 4xCO2 climates 46

715

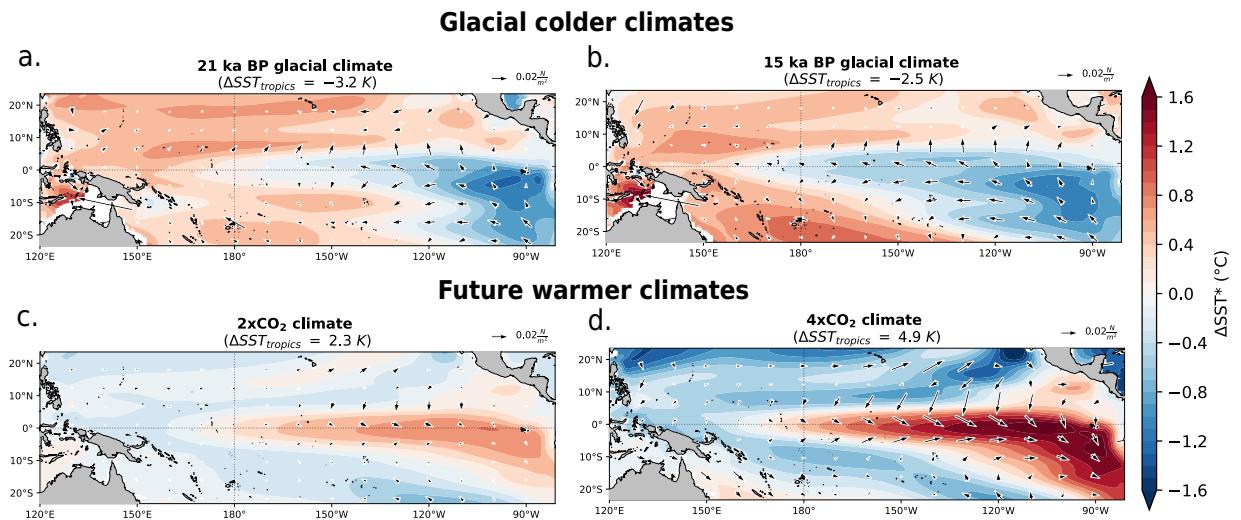


FIG. 1: Simulated changes in the ocean surface mean state in altered climate states.

Annual mean changes in relative sea-surface temperature (shading), surface wind stress (vectors), and thermocline depth (contours) simulated by the Community Earth System Model Version 1 (CESM1) under altered climatic conditions. Changes for colder climates (top) correspond to equilibrated responses to boundary conditions for glacial intervals 21 and 15 thousand years before present (21ka and 15ka simulation). Changes for warmer climates (bottom) correspond to equilibrated responses to increased greenhouse gas states under doubling and quadrupling of atmospheric CO₂ concentrations (2xCO₂ and 4xCO₂ simulations respectively). Relative SST is defined as the departure from the tropical mean SST (20°N-20°S average) in each climate state. Changes in all variables are computed relative to a simulation of pre-industrial (PI) climate as described in Methods.

Observations and Pre-Industrial climates

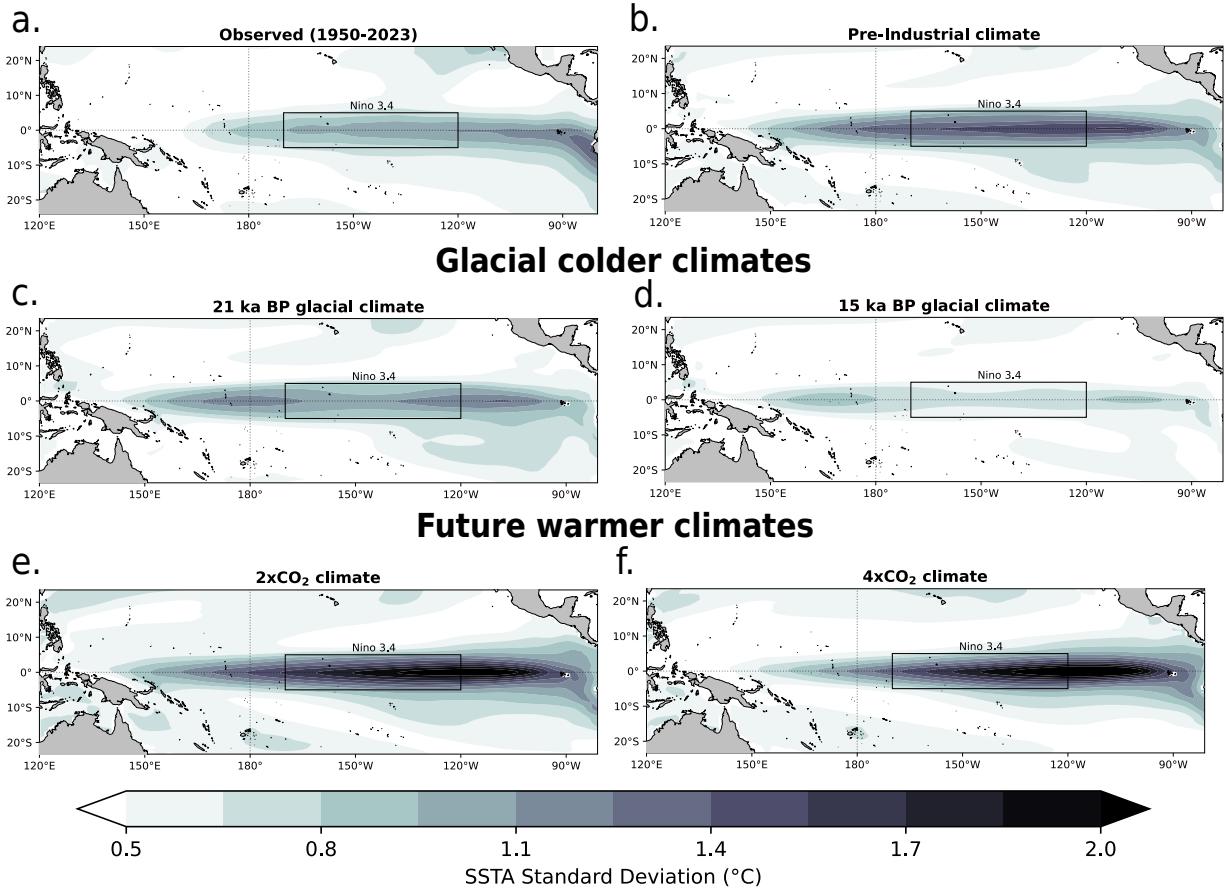


FIG. 2: Simulated and observed patterns in the anomalous SST variability in altered climate states.

Patterns of anomalous sea-surface temperature (SST) variability (shading) in altered climate states, as simulated by CESM1, compared with observations. Simulated SST variability is calculated as the standard deviation of monthly SST anomalies in each climate state. The fixed location of the Niño3.4 region (170°W–120°W, 5°S–5°N) is highlighted by the black square.

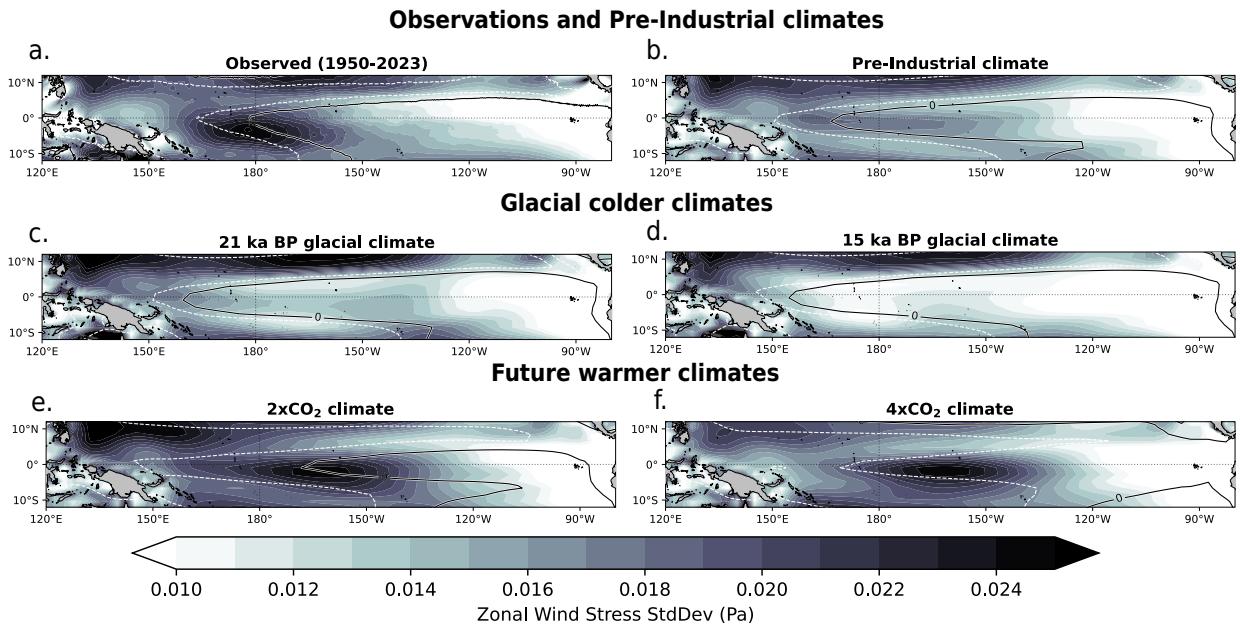


FIG. 3: Simulated and observed patterns in the anomalous zonal wind stress variability in altered climate states.

Zonal wind stress variability (shading) in altered climate states, as simulated by CESM1. Variability is calculated as the standard deviation of monthly zonal wind stress anomalies in each climate state. The zero contour of vertical velocity at 500 hPa (black solid contour) is shown to indicate the boundary of the western Pacific warm pool in each climate state. One negative interval of vertical velocity is also shown (white dashed contour) to highlight which side of the Pacific corresponds to upward motion.

Observations and Pre-Industrial climates

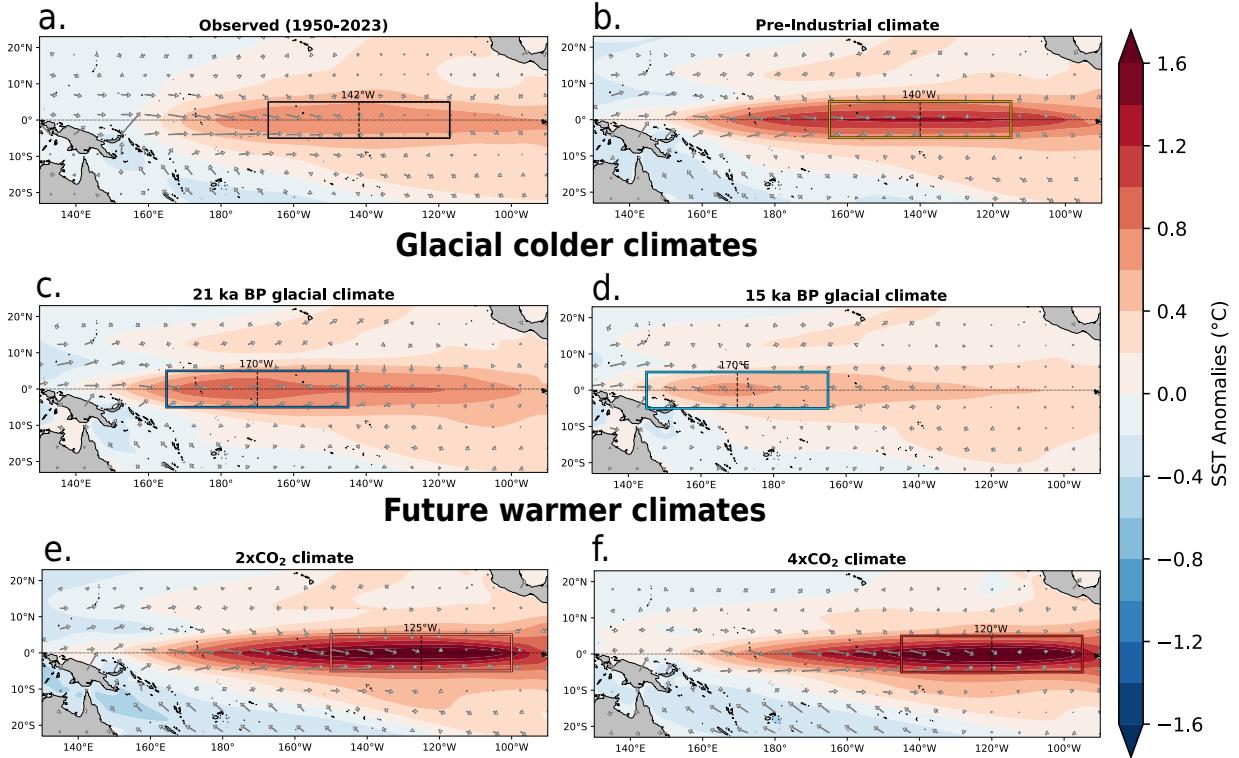


FIG. 4: Simulated and observed patterns of covariability between SST and zonal wind stress anomalies to identify the ENSO air-sea coupling region.

Patterns of covariability between SST and zonal wind stress anomalies (shading), as simulated by CESM1 and compared with observations, to identify the ENSO air-sea coupling region. Patterns are derived by regressing SST anomalies onto the first normalized principal component of equatorial zonal wind stress variability (5°S–5°N). The vectors represent the regression values of zonal (τ_x) and meridional (τ_y) wind stress onto τ_x PC1. The coupling region is defined as the area extending 25° east and west of the longitude of maximum equatorial SST regression values (box).

Metrics of Oscillatory Behavior

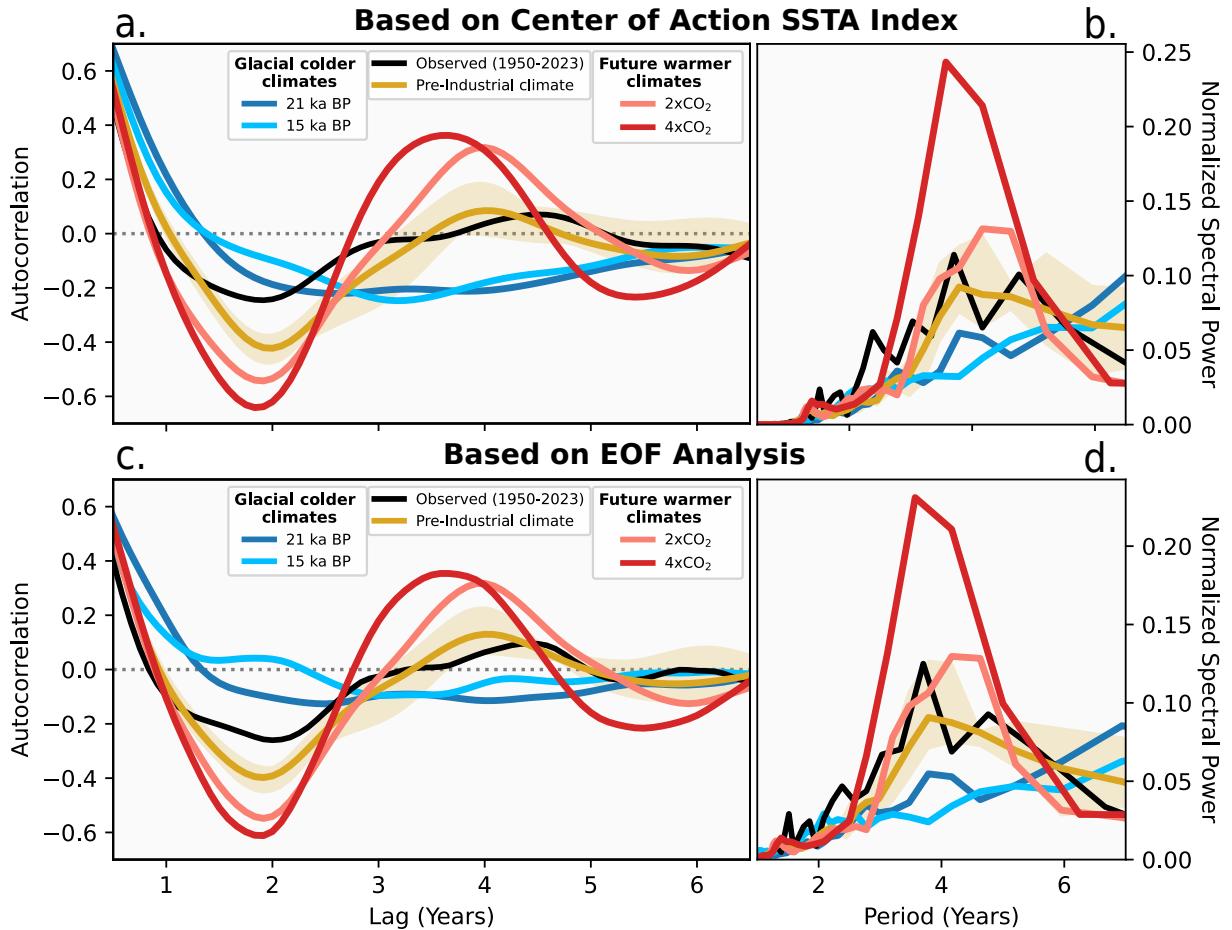


FIG. 5: Metrics of oscillatory behavior of simulated and observed ENSO variability.

Metrics of oscillatory behavior in observed and simulated ENSO variability across climate states. (a) Lagged autocorrelation of SST anomalies averaged over the ENSO coupling region. (b) Normalized power spectra of SST anomalies averaged over the Climate-Specific coupling region. (c) Same as (a), but for the first principal component (PC1) of SST anomalies across the tropical Pacific (23°S – 23°N). (d) Same as (b), but for PC1 of SST anomalies across the tropical Pacific.

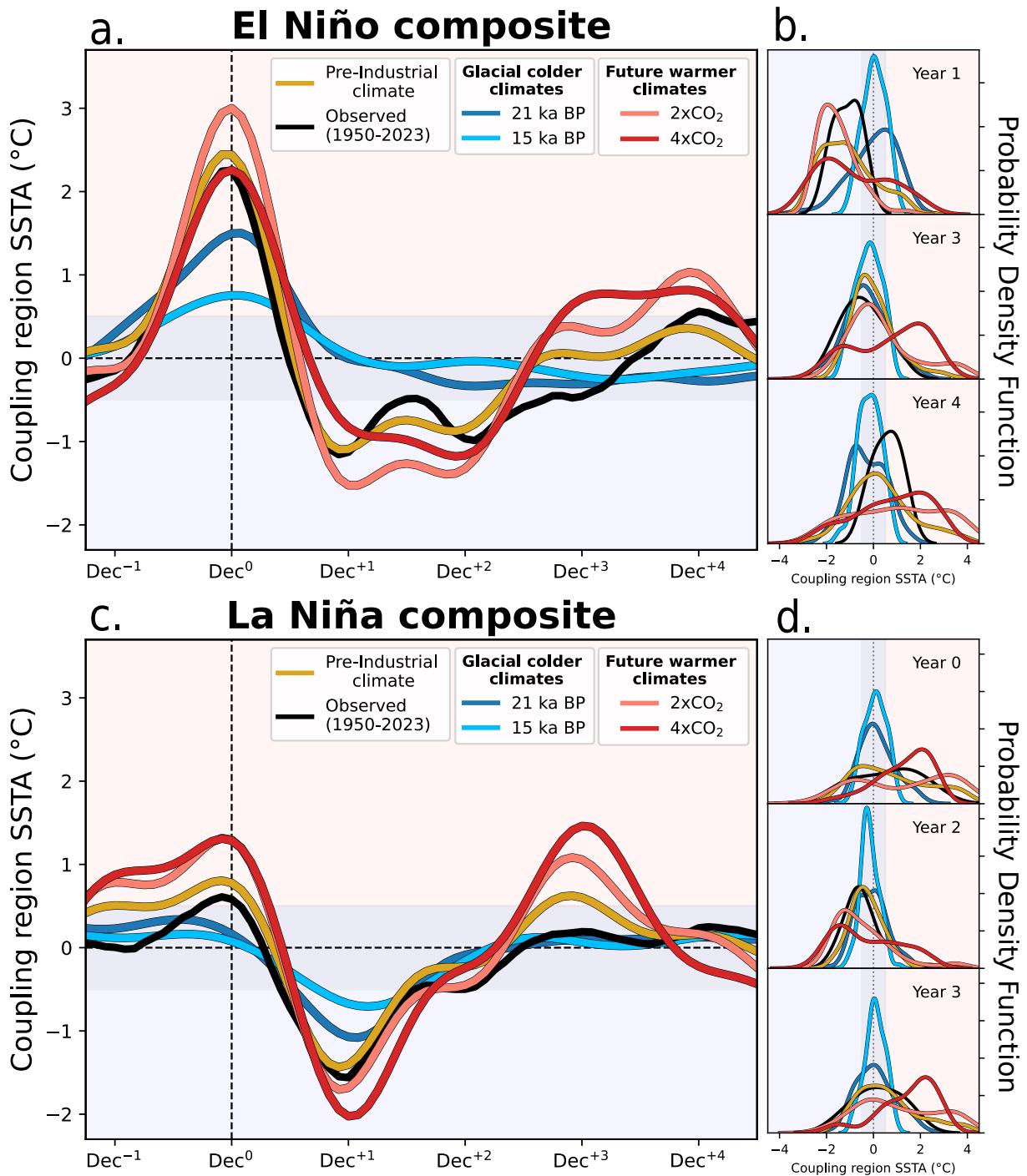


FIG. 6: Composite evolution of El Niño and La Niña events for the coupling region in each of the altered climate states

Composite evolution of El Niño and La Niña events based on SST anomalies in the Climate-Specific coupling region, as simulated by CESM1. (a) Composite of El Niño events exceeding a threshold of one standard deviation specific to each climate state, aligned to December, the typical peak month of ENSO in boreal winter. The mean of all events is shown to highlight common behavior, with observations (black solid line) included for comparison with the pre-industrial (PI) simulation. (b) Probability density function showing the distribution of El Niño events at key temporal intervals (years 1, 3, and 4). (c) Same as (a), but for La Niña events, with year 0 aligned to one year before the peak of La Niña events. (d) Same as (b), but for La Niña events at key intervals (years 0, 2, and 3).

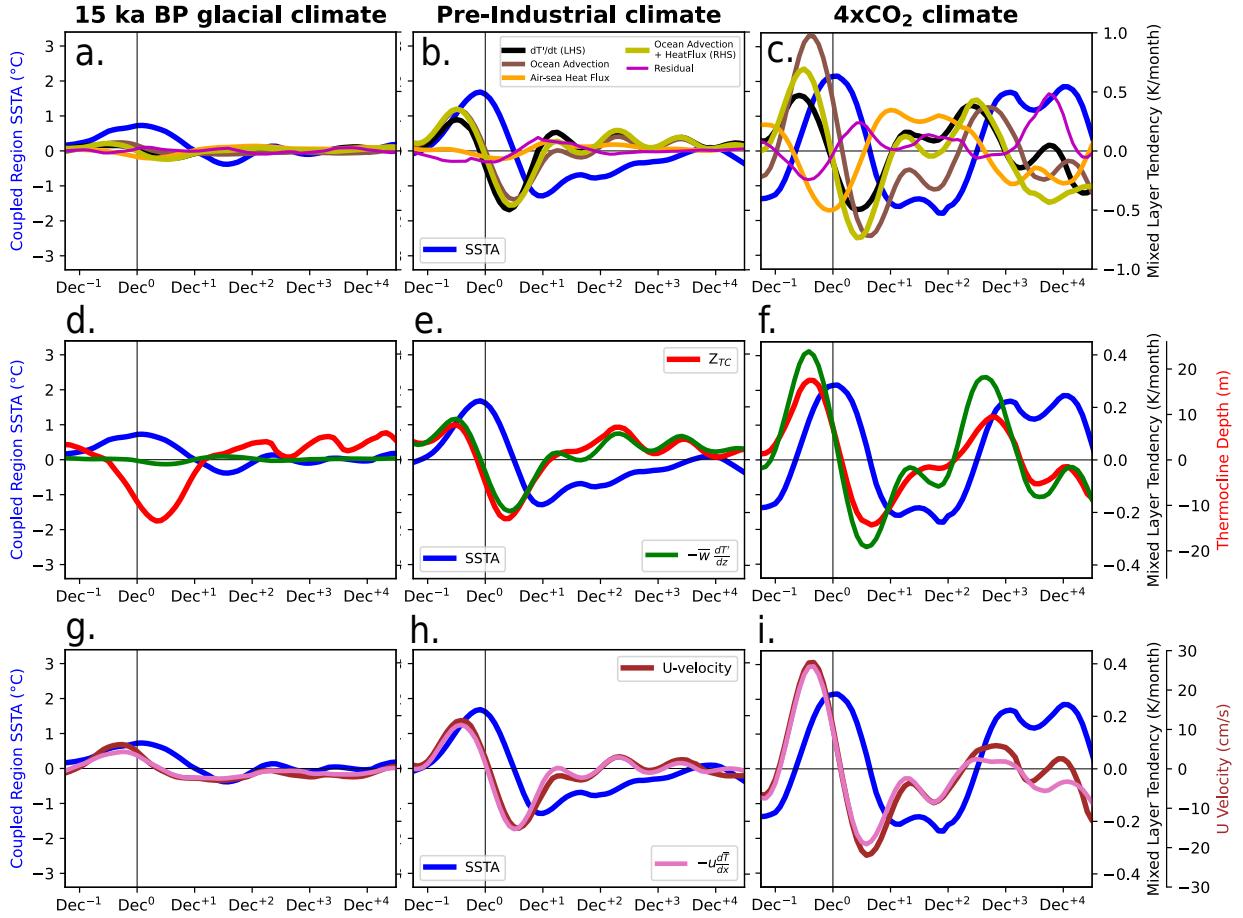


FIG. 7: Mixed Layer Heat Budget Analysis for each climate state

Mixed-layer heat budget analysis for El Niño events in each climate state, as simulated by CESM1. Composite heat budget terms are shown during the development, transition, and decay phases of ENSO events, with December of year zero marking the peak of SST anomalies (blue line) in all panels. **Top row:** Heat budget terms include the full tendency (black line), major ocean thermal advection terms (brown line), air-sea heat flux (yellow line), and residual terms (purple line). **Middle row:** The depth of the thermocline (red line) and the vertical thermal advection of anomalous temperature by the mean vertical velocity (green line) are shown, where positive values of the green line indicate a warming tendency and negative values indicate a cooling tendency of the mixed layer. **Bottom row:** Zonal current (purple line) and its associated zonal thermal advection term (pink line) are displayed. All variables are presented as seasonal anomalies averaged over the Climate-Specific coupling region, defined by equatorial latitudes (5°S – 5°N) and longitudes listed in Table 2.

Climatological Depth of the Equatorial Mixed Layer and Thermocline

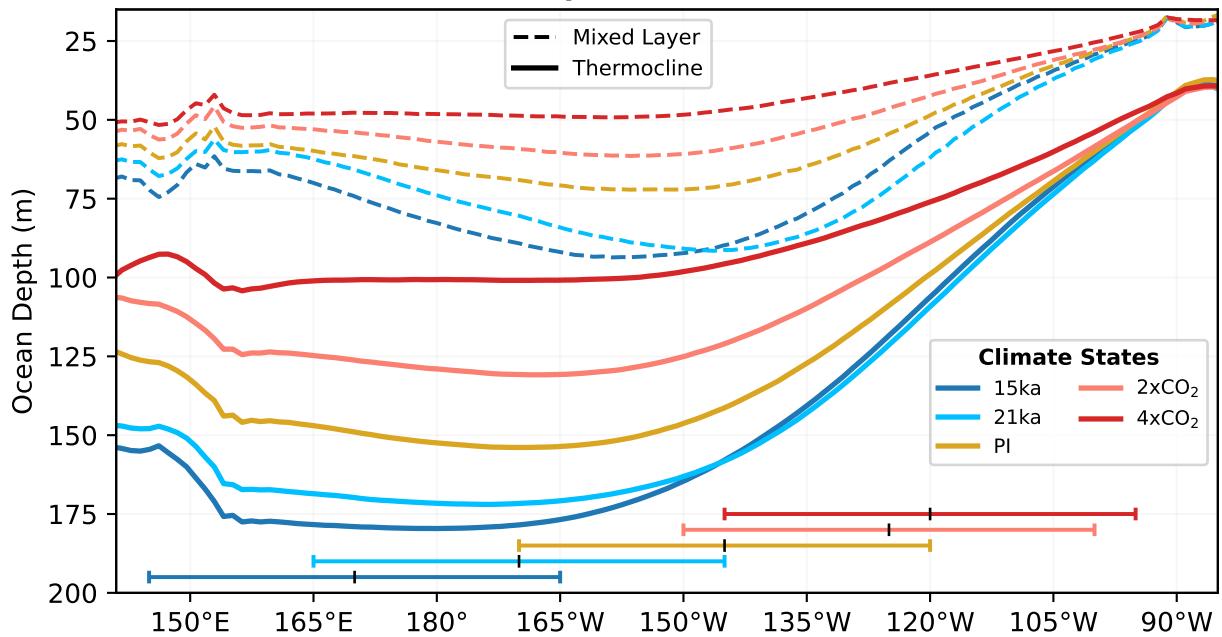


FIG. 8: Climatological depth of the equatorial mixed layer and thermocline across past and future climate states.

Climatological depth of the equatorial mixed layer (dashed lines) and thermocline (solid lines) across past and future climate states, as simulated by CESM1. Results are shown for the five climate intervals, with horizontal whisker lines at the bottom of the plot indicating the zonal extent of the coupling region for each climate state. The mean depth of both the mixed layer and thermocline shoal in warmer climates and deepens in colder climates, while the relative separation between the thermocline and the mixed layer remains nearly constant across all climates.

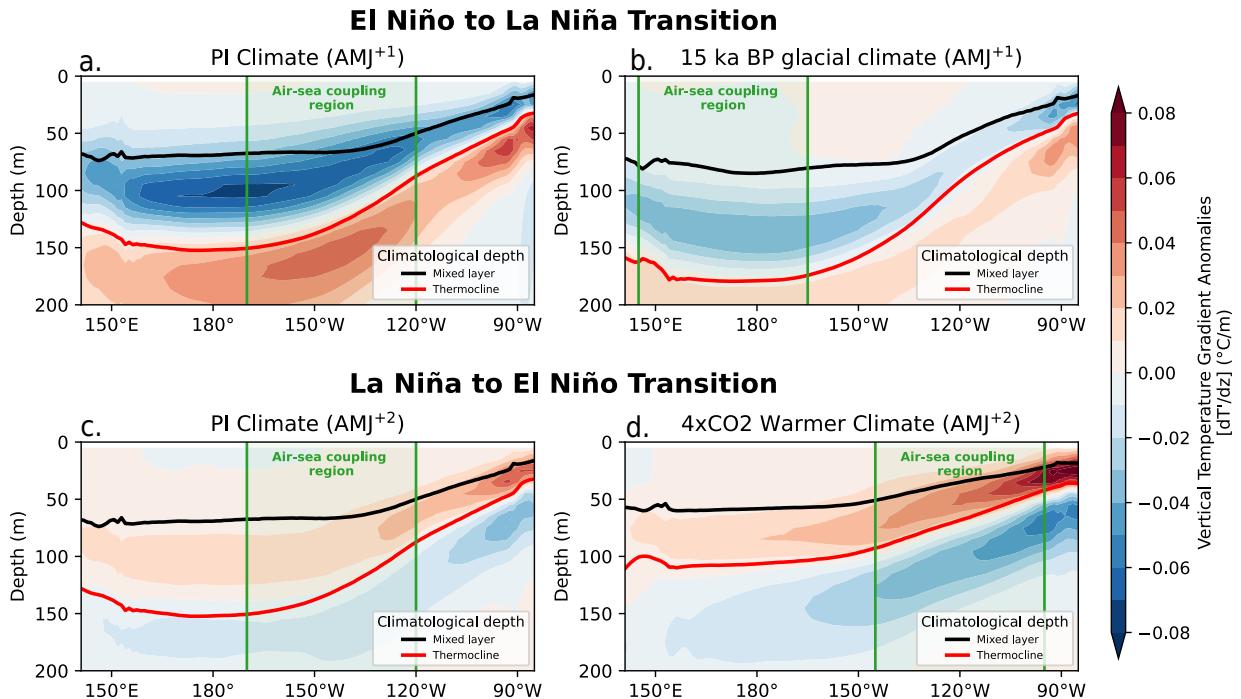


FIG. 9: Thermocline-mixed layer coupling during the onset of La Niña and El Niño

Seasonally averaged composites of sub-surface vertical temperature gradient anomaly (shading), mixed layer depth (black contour), and thermocline depth (red contour) during phase transitions of ENSO events. Negative (positive) values of vertical temperature gradient (shading) indicate a more (less) thermally stratified upper ocean. (a) Transition from El Niño to La Niña are shown for the April-May-June (AMJ⁺¹) period, 4-6 months after the peak of El Niño for the PI climate. (b) same as (a) but for the 15 ka BP glacial climate. (c) Transition from La Niña to El Niño are shown for the AMJ⁺² period, 16-18 months after the peak of La Niña for the PI climate. (d) same as (a) but for the warmer 4xCO₂ climate.

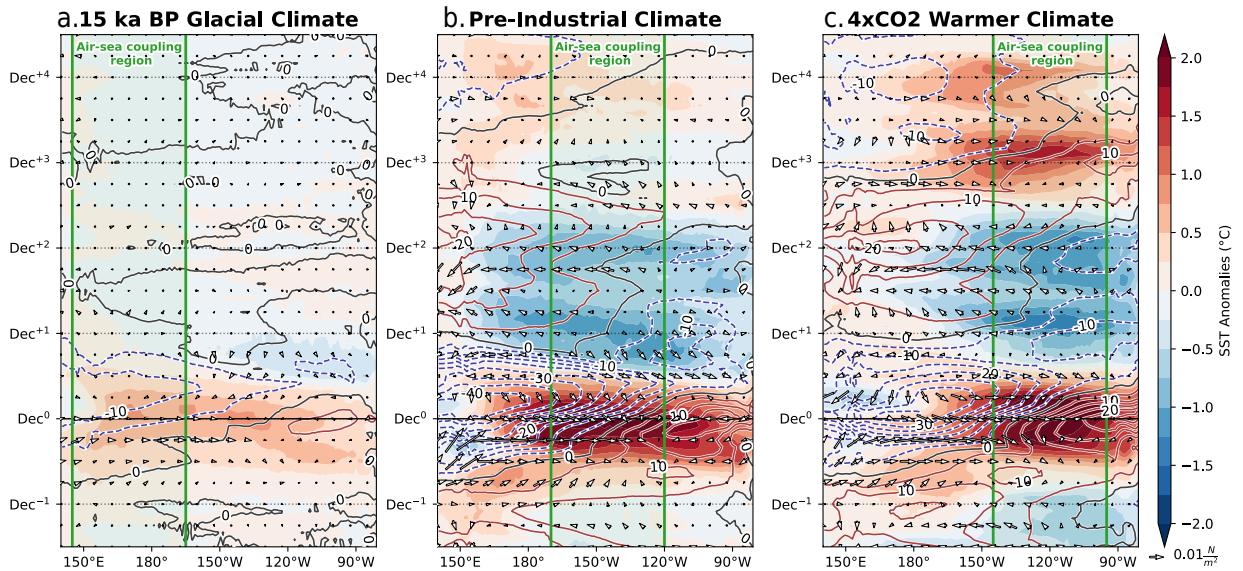


FIG. 10: Hovmöller plots for El Niño temporal evolution in the 15 ka BP, pre-industrial, and 4xCO₂ climates

Hovmöller plots illustrating the temporal evolution of El Niño events in the 15 ka BP, pre-industrial, and 4xCO₂ climate states, as simulated by CESM1. Longitude–time sections along the equator (5°S–5°N) of SST anomalies (0.25 K intervals, color shading), thermocline depth anomalies (contours, 5 m intervals), and horizontal wind stress anomalies (N m^{-2} , vectors). The analysis focuses on events defined using the Climate-Specific coupling region SST indices for each climate state defined in Table 1.