

1 **Changes in ENSO oscillatory dynamics associated with**
2 **zonal shifts in air-sea coupling region**

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7 ABSTRACT: El Niño–Southern Oscillation (ENSO) oscillates between warm (El Niño) and cold
8 (La Niña) phases, but observations indicate that this oscillatory behavior is irregular and asym-
9 metric. Using the NCAR Community Earth System Model 1 (CESM), we investigate how the
10 oscillatory dynamics of ENSO change across different climatic states. Our simulations of two
11 glacial climates (Last Glacial Maximum, 21 ka; deglacial state, 15 ka), a pre-industrial baseline
12 (piControl), and two greenhouse warming scenarios (2xCO₂; 4xCO₂) reveal distinct dynamical
13 regimes of oscillatory behavior driven by zonal shifts in air-sea coupling. Under pre-industrial
14 conditions, maximum wind-sea-surface temperature coupling occurs near the Niño-3.4 region and
15 CESM reproduces the observed asymmetry of ENSO oscillatory behavior; El Niño events often
16 rapidly decay into La Niña, while La Niña events rarely lead to El Niño but rather decay slowly
17 back to neutral conditions. In simulated glacial climates, ENSO is non-oscillatory because the
18 air-sea coupling region shifts westward of the Niño-3.4 region. In the western Pacific, a deeper
19 thermocline weakens the delayed positive feedback needed for events to grow into the opposite
20 phase, causing both El Niño and La Niña to decay back to neutral conditions. Conversely, in
21 warmer climates, ENSO becomes more oscillatory and symmetric as the air-sea coupling region
22 shifts eastward of the Niño3.4 region. In the eastern Pacific, a shallower thermocline strengthens
23 its positive feedback, enabling more frequent and consistent transitions between phases. This
24 sensitivity of ENSO oscillatory behavior to the longitude of maximum air-sea coupling suggests
25 that future changes to ENSO predictability in response to external forcings could be significant.

26 **1. Introduction**

27 El Niño-Southern Oscillation (ENSO) is often considered as a quasi-regular oscillation between
28 El Niño and La Niña. However, the short observational record reveals a crucial asymmetry—while
29 most El Niño events reliably transition into La Niña the reverse rarely happens (Kessler 2002;
30 Okumura and Deser 2010). This asymmetry poses a challenge to understanding ENSO as a sim-
31 ple cycle, prompting a more detailed description of its behavior. We use the terms “oscillatory
32 behavior” and “oscillatory dynamics” in this study to refer to the consistency of phase transi-
33 tions, the temporal evolution of events, and the primary periodicity of the phenomenon. This
34 observed asymmetry has posed a challenge for simpler conceptual models of ENSO, such as the
35 delayed oscillator paradigm (Suarez and Schopf 1988; Jin 1997), which, while foundational for
36 understanding core dynamics involving thermocline feedbacks, often depict a more regular, sym-
37 metric oscillation. These models typically struggle to fully capture the irregularity and transition
38 asymmetries inherent in the observed ENSO cycle (Timmermann et al. 2018; Capotondi et al.
39 2015), though Eastern Pacific (EP) and Central Pacific (CP) event diversity has been established
40 in intermediate-complexity models (Takahashi et al. 2011). While the mechanisms for the onset,
41 persistence, and decay of La Niña are well understood (Okumura et al. 2011; DiNezio and Deser
42 2014; Seager et al. 2021), how the oscillatory dynamics of both El Niño and La Niña might change
43 under altered climate conditions remains largely unexplored. This is a critical question, as the lack
44 of a consistent La Niña-to-El Niño transition is a major reason for the limited predictability of El
45 Niño events (Planton et al. 2018; Dommenges et al. 2012).

46 Among General Circulation Models (GCMs), the Community Earth System Model (CESM)
47 is one of the most realistic simulators of ENSO’s temporal evolution, capturing the observed
48 irregularity and asymmetries in event duration and transitions that are often missing in more
49 regular, overly oscillatory models (Deser et al. 2012; DiNezio et al. 2017a). These improvements
50 stem from a more realistic simulation of zonal wind anomalies and their meridional structure
51 (Capotondi et al. 2020), which better excites upper-ocean adjustments to wind stress curl (Choi
52 et al. 2013; DiNezio and Deser 2014). Such advances in simulating fundamental ENSO dynamics
53 provide a robust foundation for examining how oscillatory behavior shifts under altered climate
54 states.

55 Evidence from paleoclimate records and simulations in models suggest that ENSO variability
56 can change in response to a new mean state—from the Last Glacial Maximum (LGM) to future
57 greenhouse gas simulations. During the last deglaciation period, ENSO behavior has varied in
58 response to orbital forcing, rising greenhouse gases, and retreating ice sheets (Liu et al. 2014).
59 While proxy records of variations in ENSO strength during this period are still debated (Zhu et al.
60 2017; Tudhope et al. 2001; Cane 2005), modeling efforts indicate a modest rise in variability
61 throughout the deglaciation (Liu et al. 2014; Thirumalai et al. 2024) with potentially strong
62 sensitivities to individual forcings; for example, orbital precession drove changes in the seasonal
63 cycle of the cold tongue, while ice sheet retreat altered tropical atmospheric circulation (Liu et al.
64 2014). In particular, ENSO has been simulated to have a strong sensitivity to orbital forcing during
65 the mid-Holocene, which modulated the seasonal cycle and ENSO amplitude (Clement et al.
66 2001), and to the retreat of the North American ice sheet around 14 ka, which altered atmospheric
67 circulation and reorganized the tropical Pacific (Liu et al. 2014; Löffverström and Lora 2017).
68 The sensitivity of ENSO to these past forcings highlights the importance of investigating how its
69 characteristics might change in a warming world. Our experimental framework (Table 1) accounts
70 for these varying climate states by incorporating appropriate boundary conditions—such as orbital
71 and ice-sheet forcings—beyond CO₂ alone (see Thirumalai et al. (2024)). As shown in Fig. 1,
72 these forcings successfully produce a broad spectrum of mean states, ranging from the enhanced
73 equatorial warming and weakened zonal gradients of greenhouse climates to the characteristic
74 cooling patterns of glacial simulations. This wide range of simulated states is particularly relevant
75 because, while many models predict a weakening Walker circulation over the 21st century (Seager
76 et al. 2019; Vecchi et al. 2006), the specific ENSO response to such warming is highly model-
77 dependent (Maher et al. 2021). For example, multi-model projections show an increase in the
78 occurrence of multiyear La Niña under greenhouse warming (Geng et al. 2023; Wang et al. 2023),
79 yet it remains unclear how this would affect the onset of a subsequent El Niño. While several
80 studies have examined changes in ENSO transitions under warming (Freund et al. 2024), few have
81 specifically investigated the mechanisms controlling the consistency of phase transitions across a
82 wide range of mean states, from glacial conditions to extreme greenhouse warming. Our study
83 addresses this gap by identifying the longitudinal location of the air-sea coupling region as a key
84 control on oscillatory dynamics.

85 To address the uncertainties of past and future ENSO oscillatory behavior, it is crucial to focus on
86 the specific physical processes that can change under altered conditions. The delayed thermocline
87 feedback governing ENSO event transitions (Suarez and Schopf 1988; Jin 1997) involves multiple
88 physical processes that can change under different climate states, such as the coupling between
89 surface winds, thermocline depth, and sea-surface temperature (SST) variations (Timmermann
90 et al. 2018; Capotondi et al. 2015). For instance, changes in the zonal extent of the western Pacific
91 warm pool can affect how winds respond to SST variations by modulating the area along the
92 equatorial Pacific favoring atmospheric convection (Picaut et al. 1996). Conversely, changes in
93 upper ocean stratification can also affect how the thermocline responds to wind variability, but
94 also the influence of thermocline variability on SST variations (Yeh et al. 2010). Understanding
95 how these specific processes are modified under climates ranging from past glacial conditions to
96 future greenhouse warming scenarios, we can gain a clearer understanding of the mechanisms that
97 control ENSO's oscillatory behavior and improve predictions of its future.

98 Here we examine the mechanisms driving changes in ENSO's oscillatory dynamics using a set
99 of five simulations performed with CESM1 that span a wide range of past and future climate
100 conditions. We used four simulated climates and a pre-industrial control run to investigate ENSO
101 changes. Two of these simulations represent past climates with lower greenhouse gas concentrations
102 and global surface temperatures than today: 21,000 years ago (21 ka) and 15,000 years ago (15 ka).
103 The other two simulations represent future climates with higher global temperatures due to double
104 ($2\times\text{CO}_2$) and quadruple ($4\times\text{CO}_2$) the pre-industrial CO_2 concentration. A long pre-industrial
105 simulation serves as a control to evaluate the statistical significance of ENSO changes. We first
106 describe the changes in the mean state and its effects on the magnitude and spatial expression of
107 ENSO variability for SST and zonal wind stress anomalies in the tropical Pacific. We found the
108 zonal shifts in simulated patterns of co-variability between SST and zonal wind stress anomalies,
109 motivating us to define a climate-specific center of action. This preferred coupling region is
110 defined in each climate state as the location where SSTs and wind are most tightly correlated.
111 We then describe how this climate-specific coupling region shifts zonally in response to mean
112 state changes, which in turn lead to distinct changes in oscillatory behavior. Finally, we use a
113 heat budget analysis to diagnose the specific feedbacks responsible for these changes, providing

114 a comprehensive physical explanation for how the location of the air-sea coupling region governs
115 ENSO's oscillatory regime.

116 **2. Data and Methods**

117 We analyzed output generated using the Community Earth System Model Version 1.2 (CESM1)
118 – a coupled general circulation model consisting of the atmosphere, ocean, land, and cryosphere
119 components, linked through a flux coupler (Hurrell et al. 2013). The CESM1 retains advances
120 in the simulation of ENSO from its predecessor, CCSM4, with both versions simulating the
121 observed asymmetries in the evolution of El Niño and La Niña events with high realism (Deser
122 et al. 2012; DiNezio et al. 2017a). The atmospheric component is the Community Atmosphere
123 Model Version 5 (CAM5), including new schemes for the simulation of moist turbulence, a shallow
124 convection, cloud microphysics, and aerosol-cloud-rainfall interactions (Hurrell et al. 2013). In our
125 simulations, CAM5 was configured on a finite volume grid at a nominal horizontal resolution of
126 2° with 30 pressure levels for the vertical coordinate. The land component is the Community Land
127 Model Version 4 (CLM4) configured on the same horizontal grid as the atmosphere model. The
128 ocean model is the Parallel Ocean Program Version 2 (POP2) configured at the nominal horizontal
129 resolution of 1° with increased meridional resolution of about $1/3^\circ$ approaching the equatorial wave
130 guide, and 60 vertical levels. POP2 has parameterizations that simulate overflows, tidal mixing,
131 and eddy mixing.

132 Our simulations span a range of externally forced changes in the mean climate state and variability
133 in the tropical Pacific. Two simulations represent climate conditions under glacial conditions, one
134 for the Last Glacial Maximum (LGM) 21,000 years before present (21 ka BP LGM) and the other
135 for an interval during the last deglaciation 15,000 year before present (15 ka BP deglacial). These
136 simulations were run with realistic ice sheets topography, coastlines, greenhouse concentrations,
137 and insolation patterns following paleoclimate modeling protocols as described in Thirumalai et al.
138 (2024). The 21 ka BP climate has orbital forcing closest to our current climate while the 15 ka
139 BP deglacial has orbital forcing of precession that is substantially different from today leading to
140 altered insolation affecting the annual cycle of the cold tongue – a well known driver of ENSO
141 changes (Clement et al. 1999; Timmermann et al. 2007). Two additional simulations represent
142 warmer climates under doubled and quadrupled atmospheric CO_2 concentrations relative to PI

TABLE 1: Boundary conditions of the simulated climates by CESM1.

Simulation	Atmospheric CO ₂ (ppm)	Simulation Length (Yrs)
4xCO ₂	1120	300
2xCO ₂	560	500
PI	280	1500
15 ka BP	230	500
21 ka BP	190	500

143 values (2xCO₂ and 4xCO₂). All four simulations were run until global mean surface temperature
 144 reached equilibrium. The analysis was performed on subsequent output from an equilibrated
 145 climate. We use 500 years of monthly output for the analysis, except for the 4xCO₂ simulation
 146 for which we use the last 300 years of near equilibrated climate (Table 1). All four simulations
 147 have a drift in global mean surface temperature less than 0.11 K per century during the analysis
 148 interval (Thirumalai et al. 2024). We compare variability in these simulations relative to a control
 149 simulation of PI climate. The length of this simulation, 1500 years, allows us to quantify unforced
 150 centennial variability under constant PI forcing. This was essential to assess whether the changes
 151 simulated under glacial and greenhouse conditions are forced. Note that our glacial and greenhouse
 152 warming simulations have a length well within the requirement to identify externally forced changes
 153 in ENSO in multi-century simulations (Wittenberg 2009). The 4xCO₂ simulation shows a very
 154 regular ENSO with reduced centennial variability allowing the use of only 300 years to detect
 155 changes relative to the PI control.

156 We used ocean and atmosphere variables from the CESM1 standard output to analyze ENSO
 157 dynamics and quantify physical processes. To capture the seasonal modulation of ENSO variability,
 158 all variables were analyzed at monthly temporal resolution. Anomalies were then calculated by
 159 removing the long-term monthly mean seasonal cycle from each year of the simulations. Finally,
 160 to isolate and focus on ENSO variability, monthly anomalies were smoothed with a bandpass filter
 161 spanning 6 months to 10 years. In addition to the standard CESM1 output, we also calculated
 162 the depth of the thermocline, defined as the depth at which the vertical temperature gradient is
 163 maximized, from 3-dimensional ocean model output. This calculation was restricted to the latitude
 164 band between 5°N and 5°S to represent the equatorial region. Unlike the typical definition based on

165 the 20°C isotherm, using the depth of the maximum temperature gradient identifies the depth of the
166 thermocline regardless of changes in ocean temperatures in each climate state (Vecchi and Soden
167 2007; DiNezio et al. 2009; Yang and Wang 2009). We also calculate the eastern edge of the western
168 Pacific warm pool as the zero-contour of the atmospheric vertical velocity in pressure coordinates,
169 ω , at the 500 hPa level, using the same latitude band (5°N–5°S). Unlike the definition based on the
170 28°C SST contour (De Deckker 2016), our definition of the warm pool edge is independent of the
171 changes in SST in each climate state as it captures regions more prone to experience atmospheric
172 convection. Locating the warm pool edge along the equatorial Pacific is important to identify the
173 region where atmospheric convection will be most sensitive to changes in SST associated with
174 ENSO.

175 To evaluate the realism of El Niño and La Niña transitions in our PI control simulation, we
176 analyze observational SST and surface wind stress data. For SST, we use the NOAA Extended
177 Reconstructed Sea Surface Temperature Version 5 (ERSSTv5) dataset (Huang et al. 2017), which
178 spans 1854–2023 and provides a robust basis for estimating ENSO statistics and comparing them
179 with our PI control simulation. Historical forcings have shown limited influence on ENSO variabil-
180 ity (Maher et al. 2023), enabling meaningful comparisons between PI simulations and historical
181 data. Surface wind stress data are drawn from the fifth-generation ECMWF atmospheric reanalysis
182 (ERA5) (Hersbach et al. 2020). To account for long-term trends, both observational datasets have
183 been quadratically detrended. By using SST and surface wind stress data from the common period
184 of 1950–2023, we identify the coupling region under current climate conditions and assess the
185 methodology for locating this region in the PI control simulation.

186 *Definition of ENSO events*

187 We define ENSO events using a novel Climate-Specific Niño (CSN) index that accounts for
188 the shifting dynamics of the coupling region – the area with strongest air-sea interactions along
189 the equatorial Pacific – across different climate states. Unlike the conventional Niño3.4 region,
190 we use an adjusted region with zonally varying boundaries. The specific longitudinal bounds are
191 detailed in Table 2, and the methodology for determining these adjustments is explained in Results
192 section 2. To mitigate biases arising from varying ENSO amplitudes, we implement a standardized
193 method for detecting El Niño and La Niña. We identify these events when the CSN SST

TABLE 2: Coupling region to compute ENSO variability.

Simulation	Longitude Bounds
4xCO ₂	145°W - 95°W
2xCO ₂	150°W - 100°W
PI	170°W - 120°W
15 ka BP	145°E - 165°W
21 ka BP	165°E - 145°W

194 anomalies during the December-January-February (DJF) season exceed +1 or -1 standard deviation,
 195 respectively. This normalization technique ensures a consistent and unbiased detection of ENSO
 196 events across diverse climatic conditions. To provide a comprehensive understanding of ENSO’s
 197 temporal evolution, we employ a systematic composite analysis methodology. By aligning the
 198 Decembers of all single-year and final year of multi-year events, we can focus on the transitions
 199 out of each ENSO phase. This methodology allows us to systematically track the evolution of
 200 ENSO events before, during, and after their peak, revealing the underlying mechanisms driving
 201 their initiation, growth, and decay. This approach provides a robust framework for analyzing El
 202 Niño and La Niña transitions across different climate states, ensuring consistent event detection
 203 and allowing us to explore the processes governing ENSO phase transitions.

204 *Mixed Layer Heat Budget*

We perform an upper ocean heat budget analysis following DiNezio and Deser (2014) in order to diagnose the physical processes involved in the transitions between El Niño and La Niña.

$$\rho_0 c_p H \frac{\partial [T']}{\partial t} = -\rho_0 c_p \int_{-H}^0 \left(u' \frac{\partial \bar{T}}{\partial x} + w' \frac{\partial \bar{T}}{\partial z} + \bar{w} \frac{\partial T'}{\partial z} \right) dz + Q'_{atm} + \epsilon \quad (1)$$

205 The heat budget equation (1) is computed as a balance between the heat storage rate within the
 206 upper ocean mixed layer (left hand side of equation 1) and the advective heat fluxes into the same
 207 layer as well as the net atmospheric heat flux (right hand side of equation 1). H represents the
 208 depth of the layer in the upper ocean over which the heat budget is computed. Our choice of H
 209 ensures that the anomalies of temperature averaged over the surface layer, $[T']$, effectively capture
 210 the magnitude of evolution of SST anomalies. Our approach to closing the heat budget for $[T']$

211 focuses on the three main thermal advection terms involved in ENSO dynamics: (1) anomalous
212 horizontal advection of the mean temperature gradient ($\frac{\partial \bar{T}}{\partial x}$) by the anomalous zonal velocity (u'),
213 $-u' \frac{\partial \bar{T}}{\partial x}$, a term associated with zonal ocean current variations; (2) anomalous vertical advection of
214 mean temperature gradient ($\frac{\partial \bar{T}}{\partial z}$) by the anomalous vertical velocity (w'), $-w' \frac{\partial \bar{T}}{\partial z}$, a term associated
215 with upwelling variations; and (3) anomalous vertical advection of anomalous temperature gradient
216 ($\frac{\partial T'}{\partial z}$) by the mean vertical velocity (\bar{w}), $-\bar{w} \frac{\partial T'}{\partial z}$, a term associated with thermocline depth variations.
217 In the results, we demonstrate how these three terms plus the anomalous net air-sea heat flux balance
218 the $[T']$ temporal tendency. This allows us to use a composite heat budget for El Niño events to
219 diagnose the processes explaining the oscillatory dynamics.

220 3. Results

221 *a. Changes in the Pacific mean state and variability*

222 Our simulations show a wide range of changes in the mean climate of the equatorial Pacific
223 relative to the PI simulation that could affect the physical processes governing ENSO dynamics.
224 The simulations of glacial climates, 21 ka BP and 15 ka BP, exhibit a pattern of enhanced equatorial
225 cooling in the tropical Pacific, which we emphasize by removing the tropical mean SST changes
226 (Fig. 1a & 1b, shading). This pattern of cooling intensifies towards the eastern Pacific leading to
227 a stronger zonal SST gradient along the equator together with stronger southeasterly trade winds
228 and surface wind divergence along the equator (Fig. 1a-b, vectors). Conversely, the simulations
229 under increased greenhouse forcing, 2xCO₂ and 4xCO₂, exhibit a pattern of enhanced equatorial
230 warming that intensifies towards the eastern Pacific leading to a weaker zonal SST gradient along
231 the equator (Fig. 1c-d, shading) and weaker southeasterly trade winds and surface wind divergence
232 (Fig. 1c-d, vectors). These patterns of cooling and warming are part of well-known climate
233 responses simulated by CMIP-class models in response to greenhouse forcing (Vecchi and Soden
234 2007; DiNezio et al. 2009; Li et al. 2016). In models, these responses arise as part of a coupled
235 response to changes in the global Walker circulation, which weakens in response to warming and
236 strengthens in response to cooling (Vecchi and Soden 2007; DiNezio et al. 2011). The associated
237 changes in the winds in the equatorial Pacific drive characteristic ocean responses, mainly changes
238 in upwelling and thermocline depth, that amplify the temperature response along the equator
239 (DiNezio et al. 2009). The changes simulated across all climates are highly consistent with this

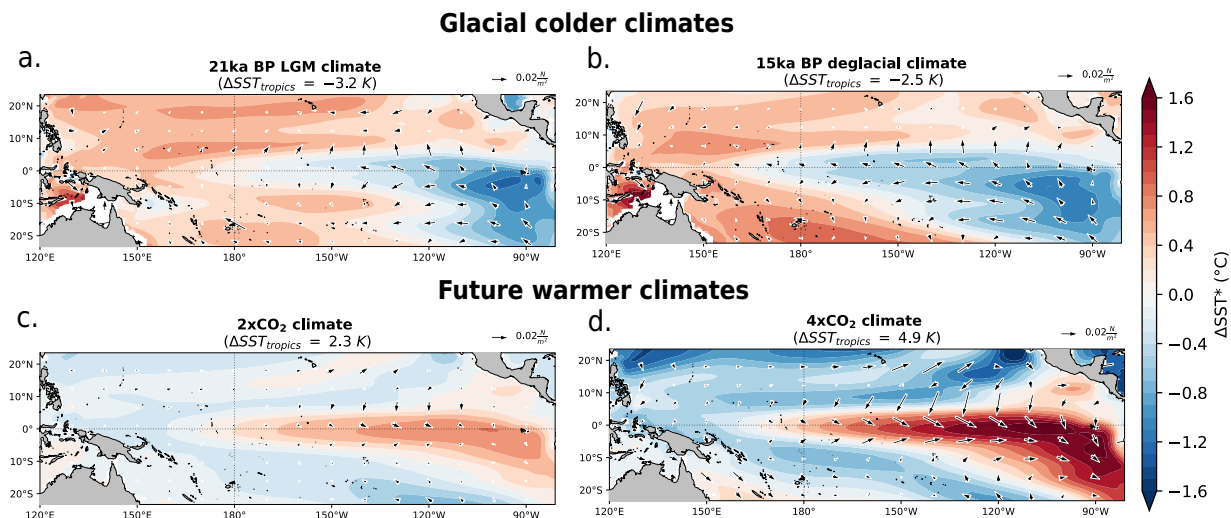


FIG. 1: Simulated changes in the ocean surface mean state in altered climate states. Annual mean changes in relative sea-surface temperature (shading), surface wind stress (vectors), and thermocline depth (contours) simulated by the Community Earth System Model Version 1 (CESM1) under altered climatic conditions. Changes for colder climates (top) correspond to equilibrated responses to boundary conditions for glacial intervals 21 and 15 thousand years before present (21ka and 15ka simulations). Changes for warmer climates (bottom) correspond to equilibrated responses to increased greenhouse gas states under doubling and quadrupling of atmospheric CO_2 concentrations ($2\times\text{CO}_2$ and $4\times\text{CO}_2$ simulations respectively). Relative SST is defined as the departure from the tropical mean SST (20°N - 20°S average) in each climate state. Changes in all variables are computed relative to a simulation of pre-industrial (PI) climate as described in Methods.

240 mechanism therefore we focus the analysis on the influence of the changes in the mean climate on
 241 ENSO dynamics.

242 Each simulated climate exhibits distinctive patterns of SST and zonal wind stress variability
 243 along the equatorial Pacific. In the PI simulation, both SST and wind stress variability feature
 244 a single broad maximum in the equatorial central Pacific (Fig. 2b & 3b). By contrast, colder
 245 climates display weaker variability in both SST and zonal wind stress, divided into two centers
 246 of action – one in the west and one farther east for SST (Fig. 2c-d) and one in the far west and
 247 another in the central basin for wind stress (Fig. 3c-d). The dual-center variability pattern seen
 248 in glacial climates can be interpreted as a manifestation of both CP and EP ENSO. The western
 249 maximum aligns with a westward-shifted CP ENSO, while the eastern maximum indicates a weak
 250 EP ENSO (Fig. A4). This analysis confirms that the western Pacific variability is indeed part of
 251 the ENSO phenomenon, with its center of action significantly displaced from its modern position.
 252 The warmer climates, on the other hand, are characterized by stronger variability in both SST
 253 and wind stress extending across the equatorial Pacific, with maximum values in the eastern basin

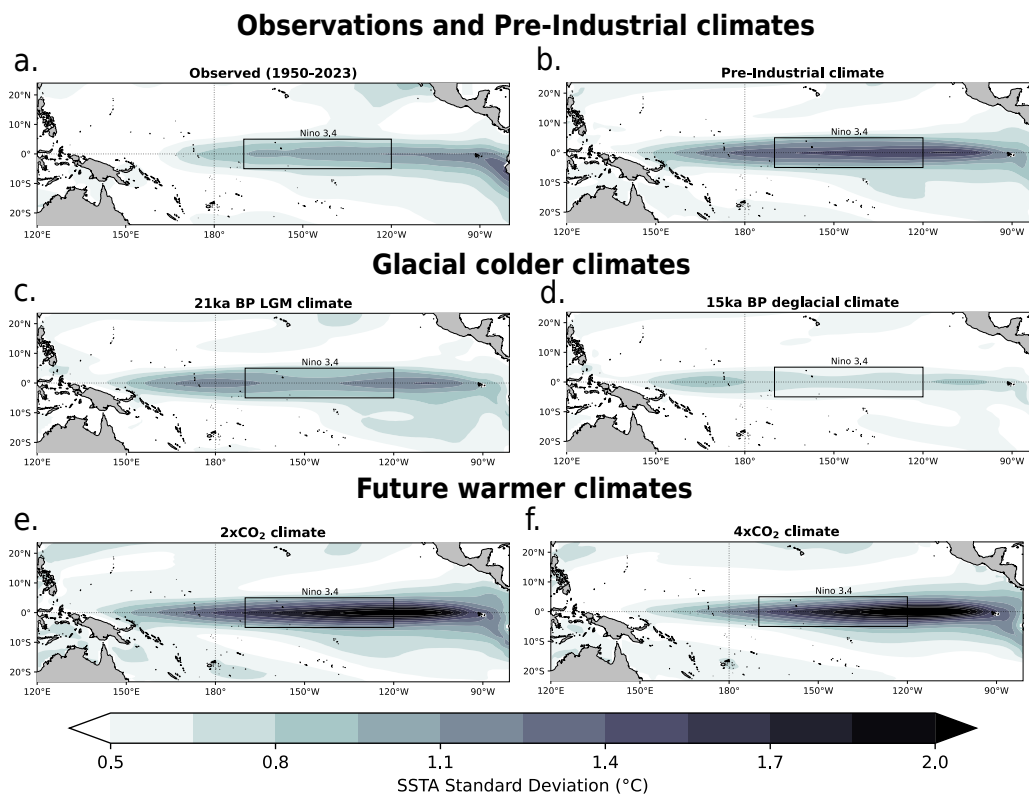


FIG. 2: **Simulated and observed patterns in the anomalous SST variability in altered climate states.** Patterns of anomalous sea-surface temperature (SST) variability (shading) in altered climate states, as simulated by CESM1, compared with observations. Simulated SST variability is calculated as the standard deviation of monthly SST anomalies in each climate state. The fixed location of the Niño-3.4 region (170°W–120°W, 5°S–5°N) is highlighted by the black square.

254 for SST and central basin for wind stress (Fig. 2e-f, Fig. 3e-f). These consistent shifts in SST
 255 and wind stress variability across climates are suggestive of zonal shifts in the region of strongest
 256 ocean-atmosphere coupling. Locating this area of strong coupling is important to define indices of
 257 ENSO variability and to study the physical processes influencing the temporal evolution of events.
 258 For instance, if we used the typical Niño-3.4 box for the glacial climates, that region would not fully
 259 capture the SST and wind stress variability present in the western equatorial Pacific. Conversely,
 260 for the warmer climates the conventional Niño-3.4 definition of coupling region will not capture
 261 the SST variability concentrated over the eastern side of the basin.

262 *b. Defining ENSO coupling region for each climate state*

263 Defining an ENSO coupling region in each climate state requires identifying the region where
 264 zonal wind stress and SST anomalies are maximally correlated. We achieve this by computing

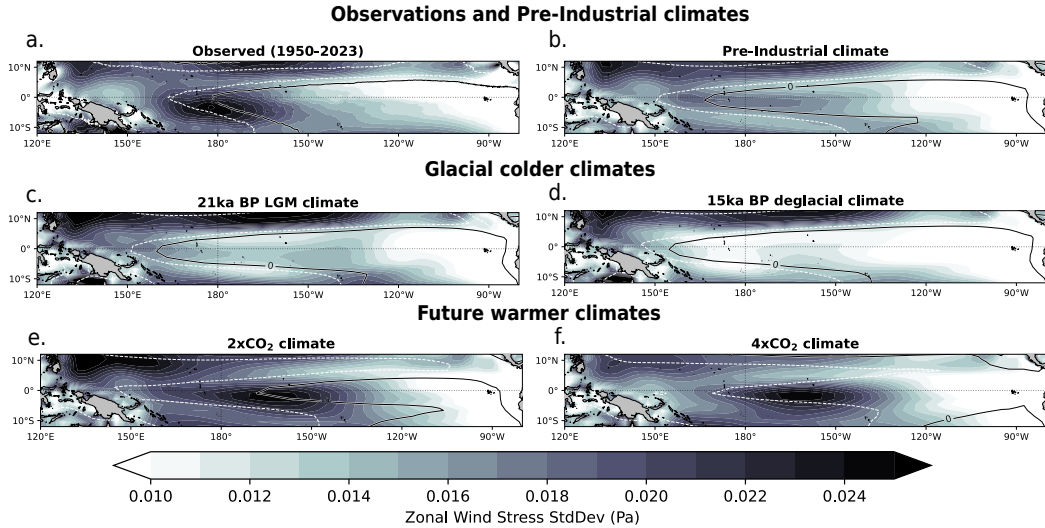


FIG. 3: Simulated and observed patterns in the anomalous zonal wind stress variability in altered climate states. Zonal wind stress variability (shading) in altered climate states, as simulated by CESM1. Variability is calculated as the standard deviation of monthly zonal wind stress anomalies in each climate state. The zero contour of vertical velocity at 500 hPa (black solid contour) is shown to indicate the boundary of the western Pacific warm pool in each climate state. One negative interval of vertical velocity is also shown (white dashed contour) to highlight which side of the Pacific corresponds to upward motion.

265 the leading Empirical Orthogonal Function (EOF1) of zonal wind stress variability across the
 266 equatorial Pacific (5°N-5°S, 140°E-80°W). Then we regressed SST anomalies on the normalized
 267 principal component (PC1) timeseries of zonal wind stress variability and defined the coupling
 268 region of ENSO coupling centered in the location of the maximum SST anomaly regression values.
 269 When we apply this approach to observations, the resulting coupling region coincides with the
 270 conventional definition based on the Niño3.4 region (Fig. 4a). The PI simulation shows a similar
 271 pattern of SST-wind stress co-variability as observed, with the strongest values centered in the
 272 Niño3.4 region – although with a stronger SST anomaly amplitude.

273 Our technique to identify the coupling region reveals pronounced zonal shifts under colder and
 274 warmer climates. The most pronounced shift occurs in the deglacial climate (15 ka BP), with
 275 a pattern of coupled wind-SST variability displaced westward by 50° of longitude relative to
 276 its location in the PI climate (Fig. 4d). The glacial simulation (21 ka BP LGM) shows a less
 277 pronounced westward shift of 30° in longitude (Fig. 4c). The 4xCO₂ simulation shows the most
 278 pronounced eastward displacement, with a peak in the pattern of coupled variability shifted 20°
 279 to the east of its location in the PI simulation (Fig. 4f), while the 2xCO₂ simulation shows a
 280 comparable eastward shift of 15° (Fig. 4e). These shifts in the location of wind-SST co-variability

Observations and Pre-Industrial climates

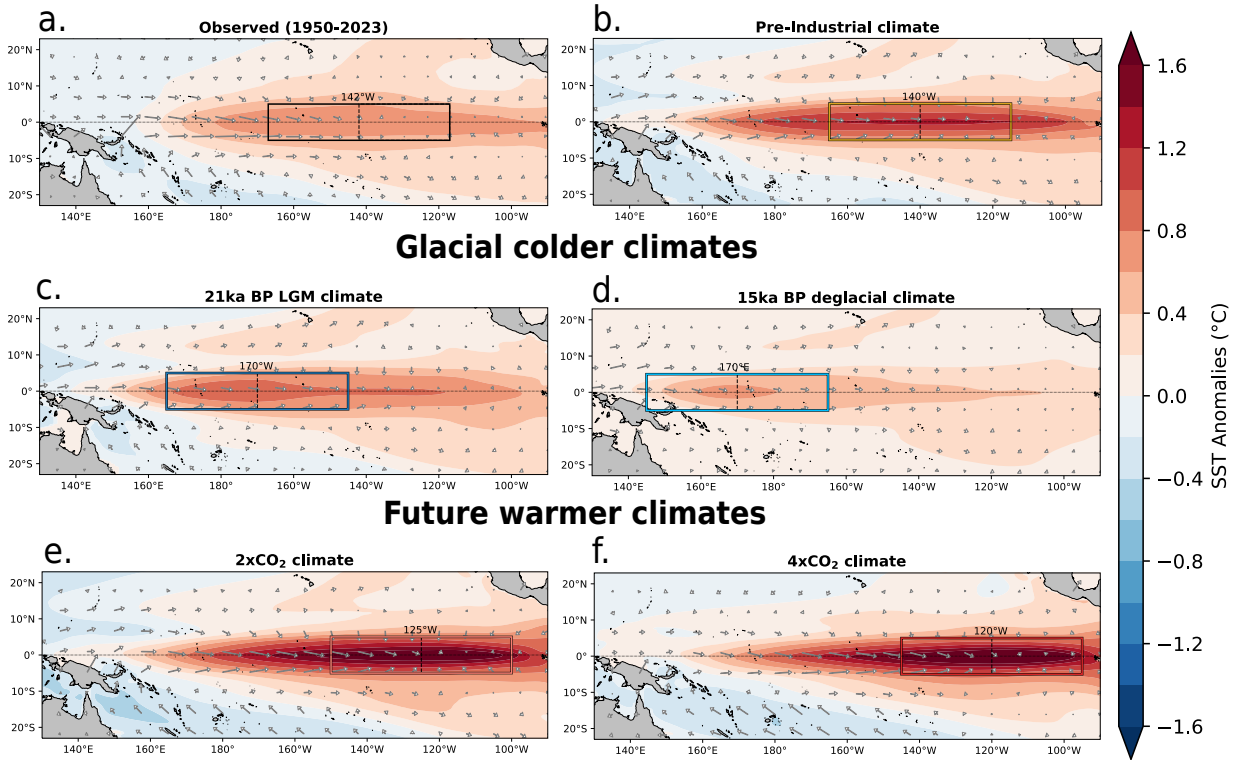


FIG. 4: **Simulated and observed LGM patterns of covariability between SST and zonal wind stress anomalies to identify the ENSO air-sea coupling region.** Patterns of covariability between SST and zonal wind stress anomalies (shading), as simulated by CESM1 and compared with observations, to identify the ENSO air-sea coupling region. Patterns are derived by regressing SST anomalies onto the first normalized principal component of equatorial zonal wind stress variability (5°S – 5°N). The vectors represent the regression values of zonal (τ_x) and meridional (τ_y) wind stress onto τ_x PC1. The coupling region is defined as the area extending 25° east and west of the longitude of maximum equatorial SST regression values (box).

281 align closely with the locations of SST variability maxima depicted in Fig. 2. In colder climates,
 282 the westward-shifted region of strong wind-SST coupling correspond only to the location of the
 283 western Pacific maxima (Fig. 2c-d), while it is not yet clear why the eastern Pacific maxima
 284 is not captured. Despite this, our subsequent analysis of the eastern Pacific demonstrates that
 285 it also undergoes significant changes in its oscillatory behavior across different climates (Fig.
 286 A1). Similarly, in warmer climates, the eastward-shifted region of strong wind-SST coupling is
 287 consistent with the strong SST variability concentrated in the eastern equatorial Pacific (Fig. 2e-f).
 288 This highlights the effectiveness of using EOF analysis to accurately capture the zonal shifts in
 289 ENSO coupling across our different climate states.

290 We quantify ENSO SST variability using the CSN index defined based on the coupling region
 291 in each climate state (see Methods, Definition of ENSO events). We define the coupling region

Metrics of Oscillatory Behavior

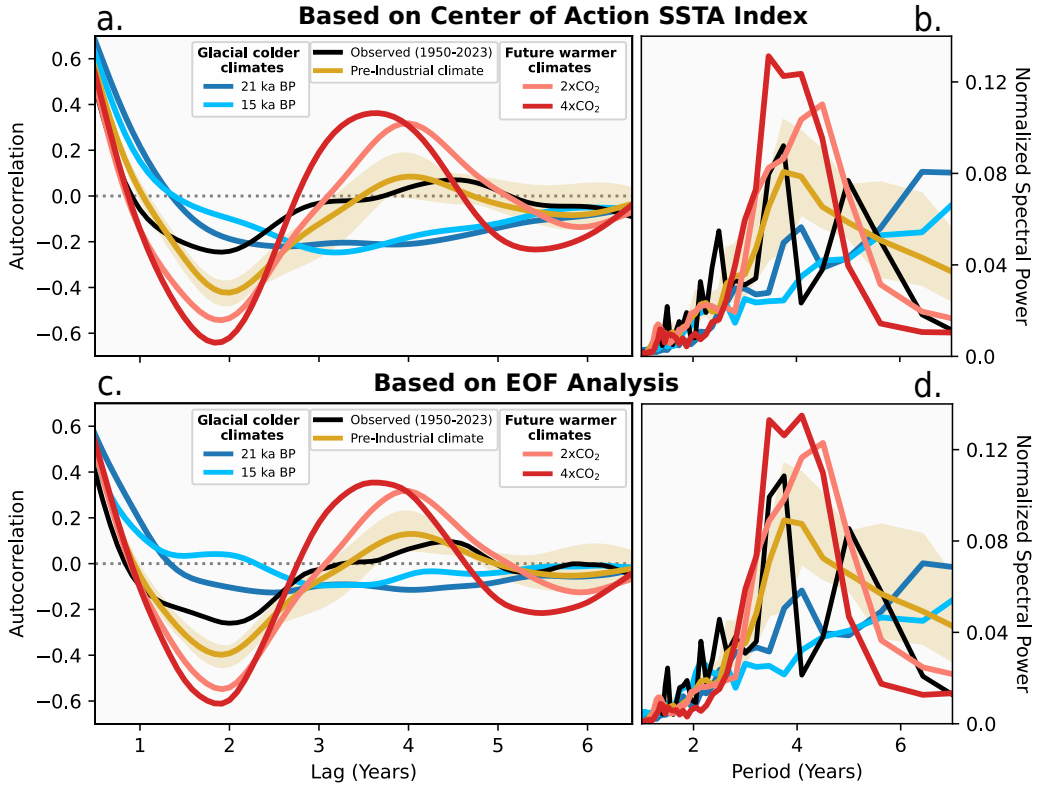


FIG. 5: **Metrics of oscillatory behavior of simulated and observed ENSO variability.** Metrics of oscillatory behavior in observed and simulated ENSO variability across climate states. (a) Lagged autocorrelation of SST anomalies averaged over the ENSO coupling region. (b) Normalized power spectra of SST anomalies averaged over the Climate-Specific coupling region. (c) Same as (a), but for the first principal component (PC1) of SST anomalies across the tropical Pacific (5°S – 5°N , 120°E – 80°W). (d) Same as (b), but for PC1 of SST anomalies used in (c).

292 in each climate state spanning 25° of longitude to the east and west of the location of maximal
 293 wind-SST co-variability identified before and 5°S – 5°N in latitude (Table 2). Defining the Climate
 294 Specific Niño (CSN) SST index based on this region ensures that we capture SST variations in
 295 the region of maximum coupled variability in each climatic state. To examine how the temporal
 296 evolution and frequency characteristics of ENSO variability vary across different climate states,
 297 we compute the auto-correlation function (ACF) and the power spectral density (PSD) based on
 298 the CSN SST index (Fig. 5). We use the ACF to identify changes in the evolution of ENSO events
 299 and the PSD to identify peaks and compare their sharpness across climatic states. We also analyze
 300 the ACF and PSD based on PC1 of equatorial SST anomalies to verify that our results are robust
 301 to the definition of coupling region.

302 *c. Metrics of oscillatory behavior*

303 The ACF of the CSN index captures the aggregated evolution for both El Niño and La Niña
304 events and should therefore be interpreted with caution, particularly when the evolution of these
305 events is not symmetric as under current climate conditions. Nonetheless, this metric provides
306 useful information on two limits. An ACF that decays to zero correlation without any zero crossing
307 indicates that El Niño and La Niña events are uncorrelated, i.e. that events grow and decay without
308 triggering a subsequent event of the opposite phase. In this case, ENSO would reside in a fully
309 non-oscillatory regime. In contrast, ENSO is fully oscillatory if the ACF shows periodic peaks at
310 a given lag. In this limit, the power spectrum is a delta function at the period of oscillation.

311 Because the real world ENSO is not a perfect oscillation, the observed lagged ACF shows
312 qualities of both the oscillatory and the damped limits as described above (Fig. 5a & 5c, black
313 curve). The significant negative ACF (-0.2) between 1 to 3 year lags reflects the tendency for
314 El Niño to transition into La Niña events that can last multiple years. The simulated PI climate
315 shows an ACF in striking agreement with observations (Fig. 5a & 5c, yellow curve). The decay
316 of the negative ACF values together with the lack of a positive peak at longer lags reflects the
317 breakdown of oscillatory behavior at the end of La Niña rarely triggering a subsequent El Niño.
318 The absence of positive peaks at multi-year lags indicates that ENSO events lose the memory of
319 past variability after La Niña returns to neutral. This is also reflected in the PSD of observed and
320 PI climate showing a peak at 4 years suggesting a preferred periodicity. However, the broadness of
321 the peak suggests ENSO is not purely oscillatory, just as inferred from the ACF. This is consistent
322 with previous work confirming that CESM1 provides a realistic simulation of ENSO oscillatory
323 dynamics (DiNezio et al. 2017b; Capotondi et al. 2020).

324 *d. Changes in oscillatory behavior*

325 Our simulations show pronounced changes in ENSO oscillatory behavior across the different
326 climatic states. Under glacial conditions, the ACF based on the CSN index and PC1 show a very
327 weak negative correlation at lags longer than one year revealing not just a lack of a transition from
328 El Niño to La Niña, but also a transition to a long-lived, albeit weak, cold state. (Fig. 5a & 5c, blue
329 & light blue curves). This is further reflected by the broader and redder power spectra that shows
330 no significant period consistent with a lack of oscillatory behavior. (Fig. 5b & 5d, blue & light blue

331 curves). These changes in ACF and PSD in the glacial climates represent a complete breakdown of
332 ENSO oscillatory dynamics. This breakdown is a basin-wide feature, as a lagged autocorrelation
333 analysis for a fixed eastern Pacific region encompassing the secondary activity peak (Figure 2c
334 and 2d), also shows a pronounced lack of oscillatory behavior in colder climates, in contrast to the
335 strong oscillatory signal it shows in warmer climates, underscoring that climate-driven changes
336 in ENSO dynamics are basin-wide (Fig. A1). Conversely, under greenhouse warming, the ACF,
337 either based on the CSN index or the PC1, show a pronounced negative peak at about 2 years,
338 followed by a weaker positive peak at lags between 3.5 and 4 years. These changes are consistent
339 with: 1) a more consistent transition from El Niño to La Niña, and 2) the activation of a La Niña
340 to El Niño transition (Fig. 5a & 5c, orange & red curves). The positive peak in ACF at multi-year
341 lags indicates that the ENSO system retains significant memory of the previous states consistent
342 with increasingly oscillatory behavior in the 2xCO₂ and 4xCO₂ climates. This is supported by the
343 sharper peaks in the power spectra centered at 3.5 year periodicities (Fig. 5b & 5d, orange and red
344 curves).

345 Another approach to exploring changes in ENSO oscillatory behavior is through the use of
346 composites of ENSO events. The composite evolution of El Niño and La Niña events for each
347 climate state, constructed using the CSN index as described in the methods section, illustrates
348 these changes (Fig. 6). Unlike the ACF presented previously, these composites separately capture
349 the temporal evolution and actual amplitudes of El Niño and La Niña phases. This separation
350 provides a clearer understanding of the strength and progression of individual ENSO events across
351 each climate. Additionally, the inclusion of probability density functions (PDFs) at key ENSO
352 phases, reveals the distribution of individual events contributing to the composite mean. These
353 PDFs indicate whether most events lean towards neutral, warm, or cold states during the transition
354 period, revealing any changes in the oscillatory dynamics of ENSO in each climate state.

355 The composite analysis reveals significant differences in ENSO amplitude and transitions across
356 climate states. Under glacial conditions, both El Niño and La Niña events tend to decay into
357 neutral conditions without driving transitions to subsequent phases (Fig. 6a & 6c, blue and light
358 blue curves). In the PI climate, the model realistically simulates El Niño consistently transitioning
359 into La Niña, however, transitions from La Niña to El Niño are infrequent (Fig. 6a & 6c, black and
360 yellow curves). In warmer climates, the transitions from El Niño to La Niña persist as seen in the

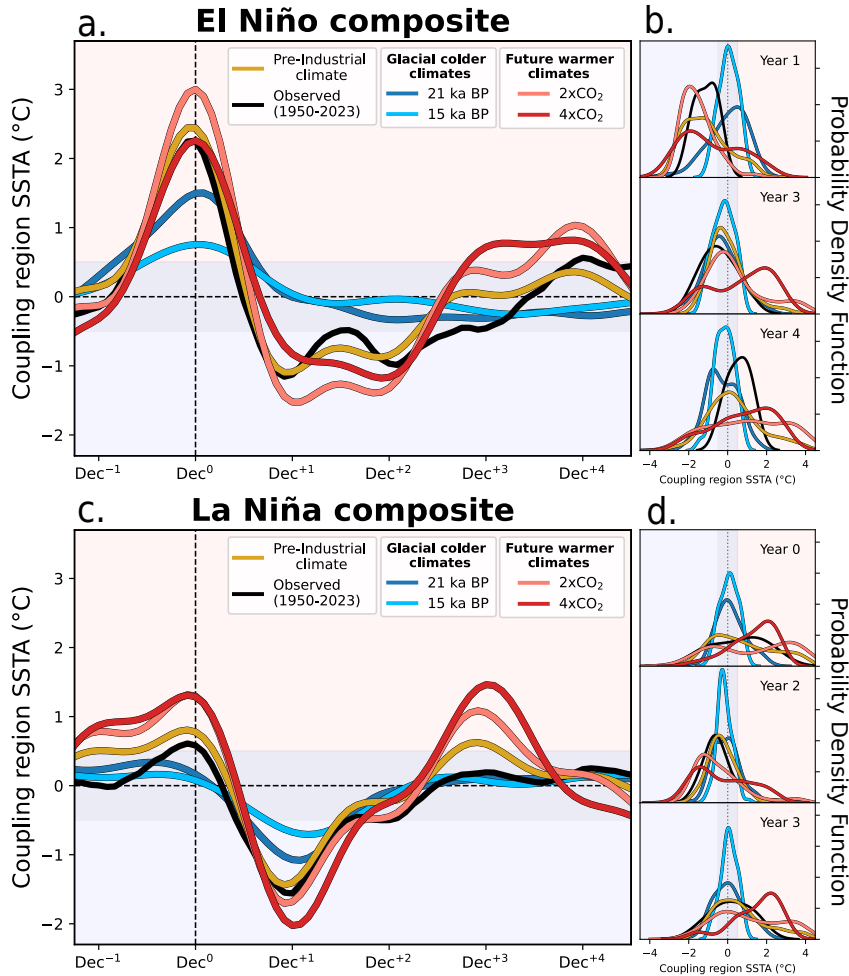


FIG. 6: **Composite evolution of El Niño and La Niña events for the coupling region in each of the altered climate states.** Composite evolution of El Niño and La Niña events based on SST anomalies in the Climate-Specific coupling region, as simulated by CESM1. (a) Composite of El Niño events exceeding a threshold of one standard deviation specific to each climate state, aligned to December, the typical peak month of ENSO in boreal winter. The mean of all events is shown to highlight common behavior, with observations (black solid line) included for comparison with the pre-industrial (PI) simulation. (b) Probability density function showing the distribution of El Niño events at key temporal intervals (years 1, 3, and 4). (c) Same as (a), but for La Niña events. Here the time axis is intentionally shifted by one year relative to the La Niña peak to emphasize the post-peak evolution and transition into subsequent conditions; thus, Dec(+1) corresponds to the peak of La Niña (d) Same as (b), but for La Niña events at key temporal intervals (years 0, 2, and 3) defined relative to this shifted axis.

361 PI climate, but transitions from La Niña to El Niño become more consistent. This indicates a shift
 362 towards a more self-sustained oscillatory behavior in warmer climates (Fig. 6a & 6c, orange and
 363 red curves).

364 *e. Mechanisms underlying the changes in oscillatory dynamics*

365 The heat budget analysis allows us to diagnose the processes involved in the changes in oscillatory
366 behavior. Consistent with our earlier findings on SST variability, the glacial climates exhibit
367 temperature anomalies, $[T']$, with smaller amplitudes (Fig. 7a, blue curve) compared to the PI
368 climate, while warmer climates show temperature anomalies of comparable amplitude (Fig. 7b-c,
369 blue curve). These magnitudes closely align with the rate of temperature change represented by
370 the anomalous temperature tendency, $\frac{\partial[T']}{\partial t}$. For all climates, the evolution of $\frac{\partial[T']}{\partial t}$ (Fig. 7a-c,
371 black curve) is primarily explained by the anomalous thermal advection terms (Fig. 7a-c, brown
372 curve). Ocean advection dominates the heat budget in the glacial and PI climates, where the
373 anomalous net air-sea heat flux contributes negligibly (Fig. 7a-b, yellow curve). In the 4xCO₂
374 simulation, however, air-sea heat flux becomes much more active and contributes significantly to
375 the total tendency (Fig. 7c, yellow curve). Note that the anomalous thermal advective terms not
376 included in the calculation of the full heat budget equation are incorporated into the residual term
377 (Fig. 7a-c, magenta line). This residual term is either small in the colder climates or in phase
378 with the total surface layer temperature tendency in the PI and 4xCO₂ climates. This indicates that
379 any unaccounted physical processes associated with the advective terms, included in the residual
380 term, do not play a significant role in driving ENSO phase transitions. Accordingly, we focus
381 our analysis on the zonal and vertical thermal advection terms, as they are directly in phase with
382 the transition of ENSO events. Notably, the role of anomalous net air-sea heat flux varies across
383 climate states: it is negligible in the glacial and preindustrial climates, where ocean advection
384 alone explains $\frac{\partial[T']}{\partial t}$ (Fig. 7a-b, yellow curve), but becomes significant under 4xCO₂ forcing (Fig.
385 7c). In this regime, the flux remains largely in phase with SST anomalies and primarily acts as
386 a damping mechanism, likely contributing to the reduced peak El Niño amplitude relative to the
387 4xCO₂ case (Fig. 6a) (Thirumalai et al. 2024), which demonstrates that while advective processes
388 remain the primary drivers of ENSO transitions, the emerging significance of air-sea heat flux in
389 warmer climates serves as the critical moderator of event intensity.

390 Zonal advection plays a role in driving El Niño events across different climatic conditions, acting
391 as the primary mechanism in PI and glacial climates while becoming less important in the warmer
392 climates. The composites of the anomalous zonal surface current (Fig. 7d-f, purple curve) and its
393 associated anomalous zonal thermal advection (Fig. 7d-f, pink curve), reveal that this process is

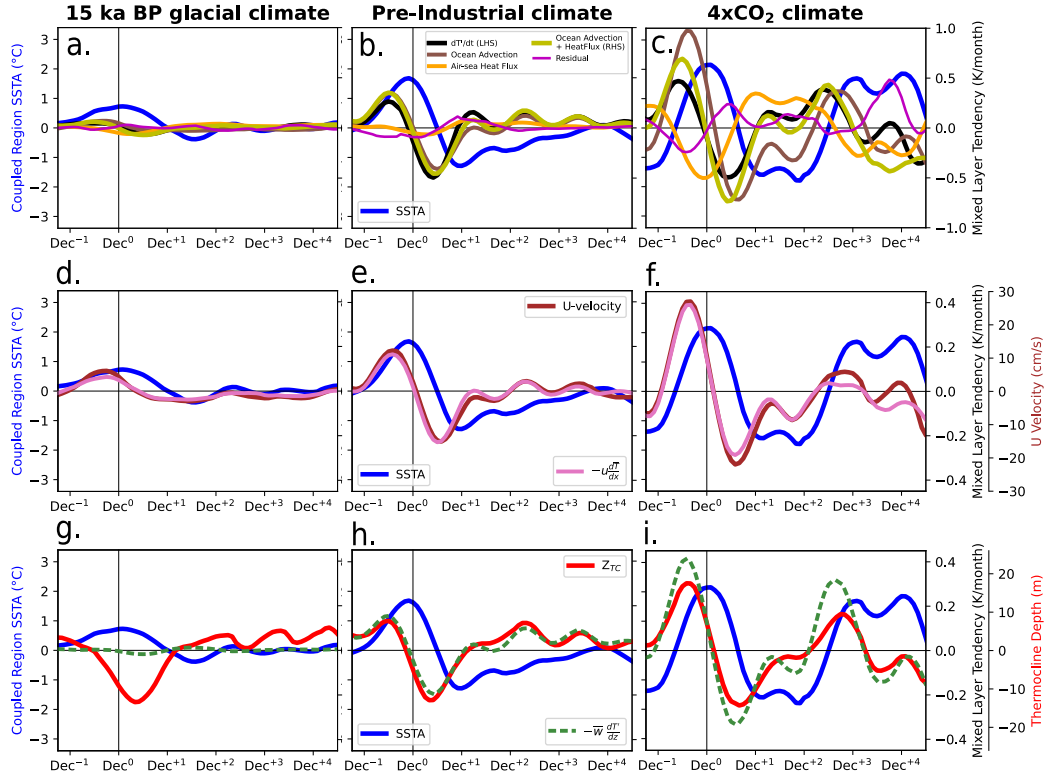


FIG. 7: **Mixed Layer Heat Budget Analysis for each climate state.** Mixed-layer heat budget analysis for El Niño events in each climate state, as simulated by CESM1. Composite heat budget terms are shown during the development, transition, and decay phases of ENSO events, with December of year zero marking the peak of SST anomalies (blue line) in all panels. **Top row:** Heat budget terms include the full tendency (black line), major ocean thermal advection terms (brown line), air-sea heat flux (yellow line), and residual terms (purple line). **Middle row:** Zonal current (dark red line) and its associated zonal thermal advection term (pink line) are displayed. **Bottom row:** The depth of the thermocline (red line) and the vertical thermal advection of anomalous temperature by the mean vertical velocity (green dashed line) are shown, where positive values of the green line indicate a warming tendency and negative values indicate a cooling tendency of the mixed layer. All variables are presented as seasonal anomalies averaged over the Climate-Specific coupling region, defined by equatorial latitudes (5°S - 5°N) and longitudes listed in Table 2.

394 a significant driver of El Niño in the PI climate (Fig. 7e) and serves as the sole driver in glacial
 395 climates (Fig. 7d). Despite its crucial role in the PI climate and glacial periods, the anomalous
 396 zonal thermal advection does not exhibit significant changes in the warmer climates, particularly
 397 during the transition from La Niña to El Niño (Fig. 7f, Dec+2), suggesting a relative stability of
 398 this feedback mechanism across different climatic conditions. Therefore, to explore changes across
 399 climates, we focus on the anomalous vertical thermal advection term, $-\overline{w'}\frac{\partial T'}{\partial z}$, since it is directly
 400 linked to thermocline depth variations involved in ENSO event transitions.

401 Under PI conditions, the location of the coupling region in the central Pacific (170°W - 120°W)
 402 favors the onset of La Niña after El Niño and hinders the onset of El Niño after La Niña consistent
 403 with the evolution of observed events. The composite evolution of ENSO events shows that

404 the equatorial thermocline shoals after the peak of El Niño (Fig. 7h, red curve), inducing a
405 negative temperature tendency via the anomalous vertical thermal advection, $-\overline{w} \frac{\partial T'}{\partial z}$ (Fig. 7h,
406 green dashed curve). This cooling drives the demise of El Niño and the onset of a subsequent La
407 Niña. Conversely, La Niña is followed by a deepening of the thermocline, producing a positive
408 temperature tendency associated with the anomalous vertical thermal advection. However, this
409 heating is weaker than the cooling that drives the onset of La Niña, making it insufficient to
410 terminate La Niña and trigger a subsequent El Niño as shown by previous work using CCSM4
411 (DiNezio and Deser 2014), CESM1 (DiNezio et al. 2017b; Wu et al. 2021) and observations
412 (Kessler 2002). This asymmetry in the magnitude of the thermocline-driven thermal advection
413 explains the asymmetric evolution of El Niño and La Niña, consistent with previous work (DiNezio
414 and Deser 2014).

415 In summary, our heat budget analysis shows that zonal shifts in the coupling region modify
416 how delayed thermocline responses feedback on SSTs, leading to pronounced changes in El Niño
417 and La Niña transitions. Ultimately these shifts explain the different ENSO oscillatory regimes
418 simulated by our model across climatic states. Under glacial conditions, the transition from El
419 Niño to La Niña shows a delayed shoaling of the thermocline, similar to what occurs under PI
420 conditions. However, this anomalously deep thermocline generates a negligible cooling tendency
421 via the anomalous vertical thermal advection (Fig. 7g, green dashed curve). This muted cooling
422 limits the ability of the system to fully transition to a subsequent La Niña. The breakdown of this
423 transition makes El Niño and La Niña grow and decay in isolation, explaining the lack of memory
424 of past ENSO events seen in the ACF and the lack of a clear spectral peak in SST anomalies.
425 In warmer climates, in contrast, the deepening of the thermocline at Dec+3, driven by the peak
426 of La Niña at Dec+2, generates a stronger heating tendency compared to PI conditions (Fig. 7i,
427 green dashed curve). This augmented heating more effectively terminates La Niña and triggers a
428 subsequent El Niño, making ENSO oscillatory.

429 The simulated changes in thermocline depth, as shown in Figure 8, are consistent with a steady-
430 state response to shifts in the strength of the equatorial trades. The trade winds directly control
431 the east-west tilt of the thermocline—a relationship supported by a zonal momentum balance
432 framework—but they don't determine its overall depth. Instead, the thermocline's depth is con-
433 strained at the eastern boundary by the South American coastline's no-normal flow condition,

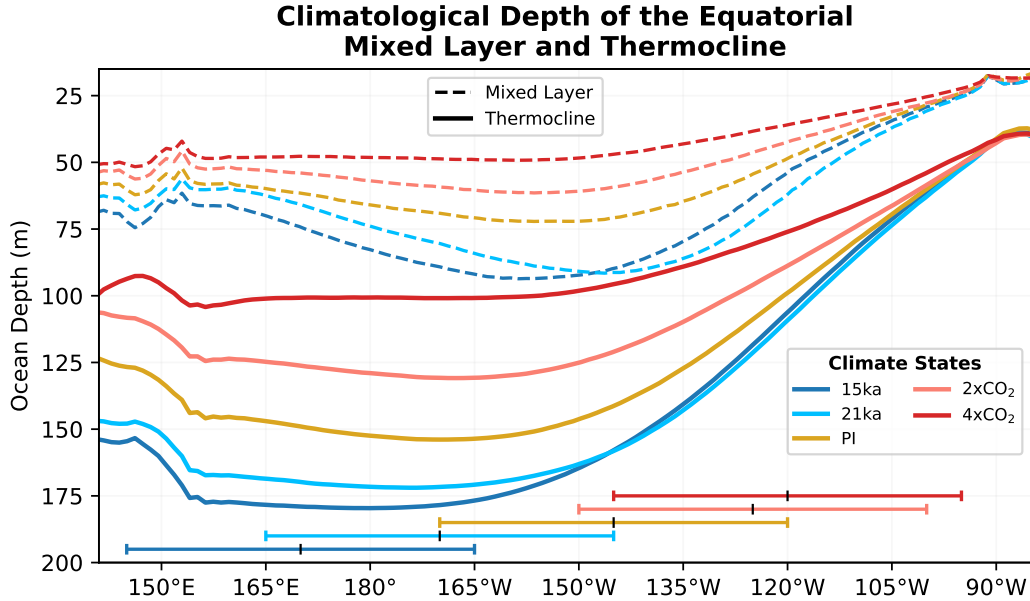


FIG. 8: **Climatological depth of the equatorial mixed layer and thermocline across past and future climate states.** Climatological depth of the equatorial mixed layer (dashed lines) and thermocline (solid lines) across past and future climate states, as simulated by CESM1. Results are shown for the five climate intervals, with horizontal whisker lines at the bottom of the plot indicating the zonal extent of the coupling region for each climate state. The mean depth of both the mixed layer and thermocline shoal in warmer climates and deepens in colder climates, while the relative separation between the thermocline and the mixed layer remains nearly constant across all climates.

434 which drives upwelling and effectively ‘pins’ the shallow thermocline in place (Yang and Wang
 435 2009). Consequently, the most significant changes occur in the western and central Pacific, where
 436 the thermocline’s depth is highly sensitive to the Walker Circulation and its associated wind stress
 437 curl (Vecchi and Soden 2007). While our simulations show a deeper thermocline in colder climates
 438 and a shallower one in warmer climates, we do not find an intensification (or weakening) of the
 439 thermocline-driven anomalous vertical thermal advection, $-\overline{w} \frac{\partial T'}{\partial z}$, across climates when we aver-
 440 age the terms of the heat budget over the fixed Niño3.4 region (not shown). This occurs because the
 441 deepening of the climatological thermocline in the colder climates is mirrored by a deepening of
 442 the climatological mixed layer (Fig. 8, blue curves). Conversely, the shoaling of the climatological
 443 thermocline in the warmer climates is mirrored by a shoaling of the climatological mixed layer
 444 (Fig. 8, red curves). In both cases the coupling between thermocline and SST variability, i.e. the
 445 distance between the thermocline and the base of the mixed layer, remains largely unchanged if we
 446 focus on a fixed region across the equatorial Pacific.

447 In contrast, the main control on ENSO event transitions is the location of the coupling region
448 relative to the depth of the climatological thermocline. In the colder climates, the coupling region is
449 located in the western equatorial Pacific where the climatological thermocline is deep. Conversely,
450 in the warmer climates, the coupling region is located in the eastern equatorial Pacific where the
451 climatological thermocline is shallow (Fig. 8). In the colder climates, thermocline variability pro-
452 duces muted thermal advection into the mixed layer because the thermocline variability during the
453 onset of La Niña produces an anomalous thermal gradient, $\frac{\partial T'}{\partial z}$, located below the climatological
454 mixed layer (Fig. 9b) relative to the PI climate (Fig. 9a). In other words, although the thermocline
455 shoals after El Niño, the associated temperature fluctuations in the thermocline have a muted influ-
456 ence at the base of the mixed layer, explaining the negligible anomalous thermal advection, $-\overline{w} \frac{\partial T'}{\partial z}$,
457 in the heat budget (Fig. 7g). In the warmer climates, thermocline variability during the onset of El
458 Niño produces stronger thermal advection into the mixed layer because the thermocline variability
459 produces an pronounced anomalous thermal gradient, $\frac{\partial T'}{\partial z}$, at the base of the climatological mixed
460 layer (Fig. 9d) relative to the PI (Fig. 9c). In other words, in the warmer climates the coupling
461 region is characterized by a shallower thermocline closer to the base of the mixed layer where
462 thermocline variability can produce stronger anomalous thermal advection, $-\overline{w} \frac{\partial T'}{\partial z}$, as seen in the
463 heat budget (Fig. 7i).

464 Our analysis shows that delayed thermocline responses are more effective at initiating ENSO
465 events in climate states characterized by a coupling region co-located with a shallow climatological
466 thermocline. Under glacial conditions, the thermocline deepens across the basin and the coupling
467 region shifts westward where the mean thermocline is much deeper. Both effects decouple the
468 thermocline from the surface preventing El Niño to drive a subsequent La Niña. In contrast, under
469 warmer climates, the mean thermocline becomes shallower across the basin and the coupling region
470 shifts eastward where the mean thermocline is much shallower. Both effects make delayed ther-
471 mocline anomalies more effective at warming the surface during the decay of La Niña, facilitating
472 the transition from La Niña to El Niño. These processes are clearly illustrated in the spatiotempo-
473 ral evolution of composite events (Fig. 10), which demonstrates that thermocline anomalies can
474 trigger subsequent events when the thermocline-induced SST anomalies occur within the ENSO's
475 specific coupling region for the climate state.

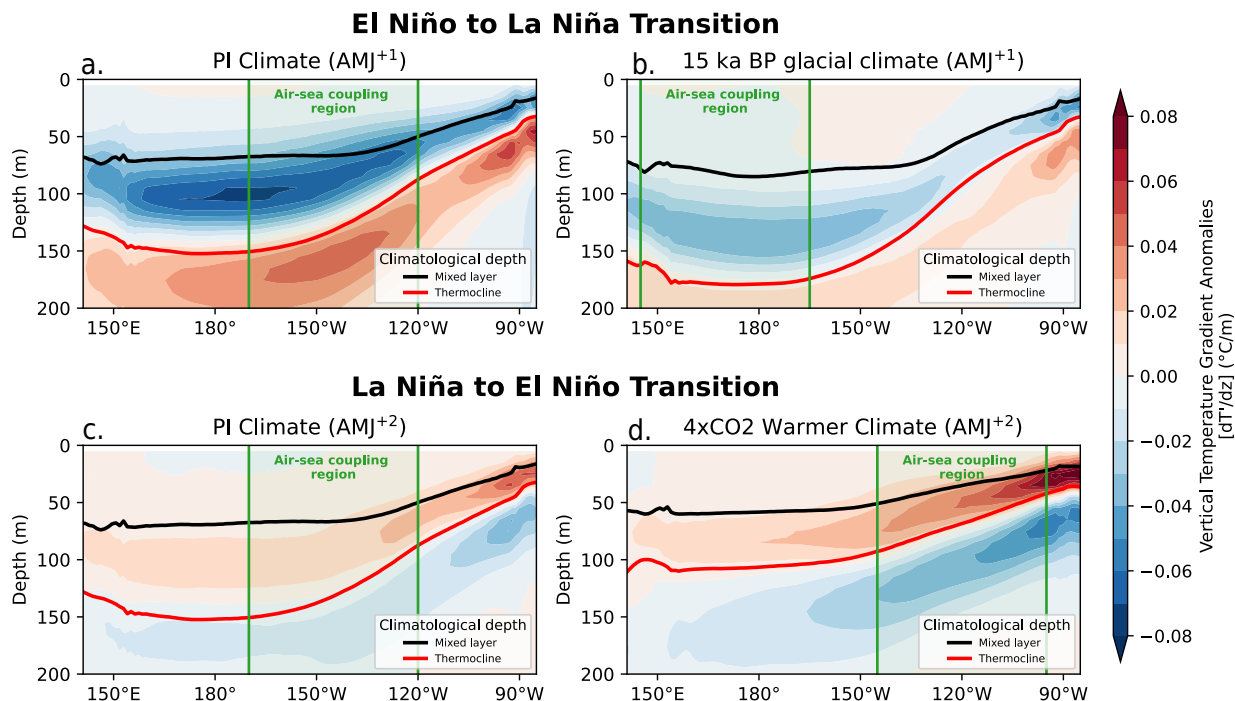


FIG. 9: **Thermocline-mixed layer coupling during the onset of La Niña and El Niño.** Seasonally averaged composites of sub-surface vertical temperature gradient anomaly (shading), mixed layer depth (black contour), and thermocline depth (red contour) during phase transitions of ENSO events. Negative (positive) values of vertical temperature gradient (shading) indicate a more (less) thermally stratified upper ocean. (a) Transition from El Niño to La Niña are shown for the April-May-June (AMJ⁺¹) period, 4-6 months after the peak of El Niño for the PI climate. (b) same as (a) but for the 15 ka BP deglacial climate. (c) Transition from La Niña to El Niño are shown for the AMJ⁺² period, 16-18 months after the peak of La Niña for the PI climate. (d) same as (a) but for the warmer 4xCO₂ climate.

476 The spatiotemporal evolution of composite events reveals that delayed thermocline responses
 477 generate SST anomalies, which can develop into El Niño or La Niña events depending on whether
 478 they occur in regions of strong ocean-atmosphere coupling in each climate state. Under glacial
 479 conditions, the shoaling of the thermocline following El Niño cools the ocean surface across much
 480 of the equatorial Pacific, driving its termination (Fig. 10a). However, the resulting negative
 481 SST anomalies occur too far east of the coupling region. This spatial offset, combined with the
 482 weak ocean-atmosphere coupling in that region, prevents the anomalies from amplifying into a
 483 subsequent La Niña like in the PI climate (Fig. 10b). In contrast, under warmer conditions, the
 484 deepening of the thermocline after the peak of La Niña generates a warming tendency within the
 485 coupling region specific to that climate (Fig. 10c). This allows the positive SST anomalies to grow
 486 and trigger a subsequent El Niño. These results highlight the critical role of the location of the

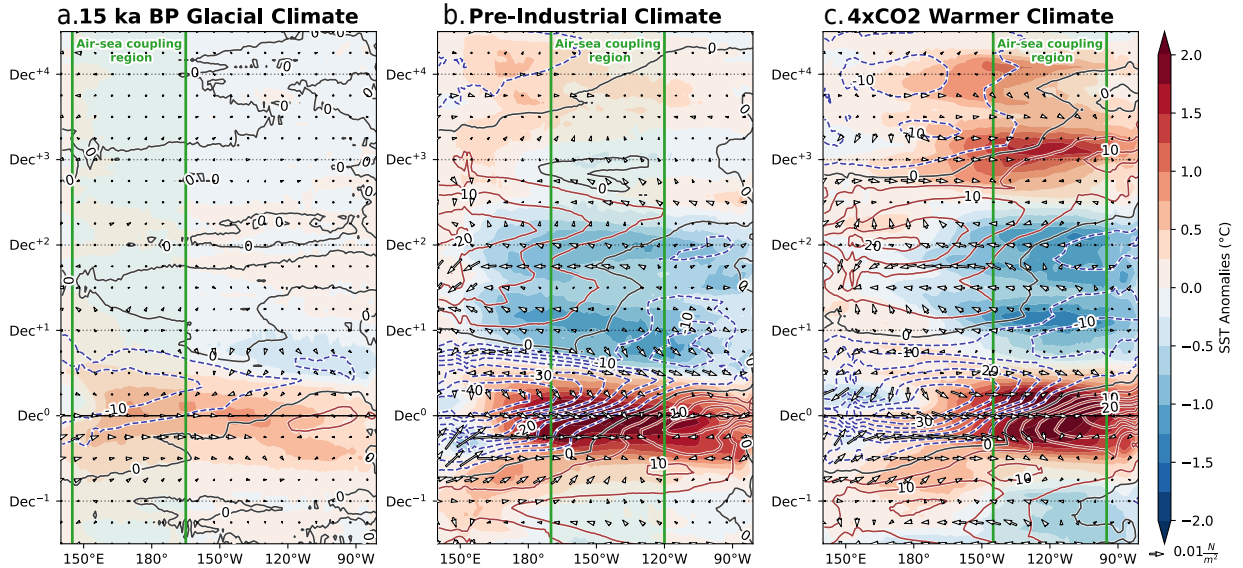


FIG. 10: **Hovmöller plots for El Niño temporal evolution in the 15 ka BP, pre-industrial, and 4xCO₂ climates.** Hovmöller plots illustrating the temporal evolution of El Niño events in the 15 ka BP, pre-industrial, and 4xCO₂ climate states, as simulated by CESM1. Longitude–time sections along the equator (5°S–5°N) of SST anomalies (0.25 K intervals, color shading), thermocline depth anomalies (contours, 5 m intervals), and horizontal wind stress anomalies (N m⁻², vectors). The analysis focuses on events defined using the Climate-Specific coupling region SST indices for each climate state defined in Table 1.

487 coupling region in determining the effectiveness of thermocline variations in driving ENSO phase
 488 transitions across different climate states.

489 4. Discussion

490 Our analysis shows that ENSO oscillatory regimes are controlled by the location of the air-
 491 sea coupling region along the equatorial Pacific. Under current and PI conditions this region is
 492 located in the central equatorial Pacific coinciding with the Niño-3.4 region. This location hinders
 493 the onset of El Niño, driven by delayed thermocline responses associated with a preceding La
 494 Niña, explaining one of the more conspicuous asymmetries of the ENSO phenomenon. When
 495 the thermocline deepens after La Niña it cannot initiate a subsequent El Niño because the deep
 496 climatological thermocline in the central equatorial Pacific limits the magnitude of warming at the
 497 ocean surface needed to initiate a subsequent El Niño (DiNezio and Deser 2014). This can be seen
 498 in Fig. 10b of our analysis where the thermocline deepening associated with La Niña produces
 499 warming in the far eastern equatorial Pacific where the atmosphere is not responsive. The delayed
 500 thermocline responses driven by La Niña do not produce warming in the central equatorial Pacific,

501 where the atmosphere is responsive to SSTs, because the anomalously deep thermocline becomes
502 decoupled from the mixed layer. In contrast, El Niño events can consistently trigger subsequent
503 La Niña because their associated thermocline shoaling can effectively drive cooling in the central
504 Pacific where the atmosphere is response to SSTs. These ideas, originally proposed by DiNezio
505 and Deser (2014), are supported by recent work showing that heat content, a proxy for thermocline
506 depth, is a much better predictor of La Niña than of El Niño (Xue and Kumar 2017; Planton et al.
507 2018).

508 Our analysis does not fully explain why the coupling region shifts zonally in response to mean
509 state changes. However, we offer a plausible interpretation based on the results presented here. The
510 eastward expansion of the region of active atmospheric convection, indicated by the eastward shift
511 of the ω_{500} zero contour marking the edge of the western Pacific warm pool in warmer climates
512 (Fig. 3e-f), suggests that enhanced equatorial warming extends the area where the atmosphere is
513 responsive to SST anomalies. This broadening of the convective region would effectively shift the
514 location of strongest wind-SST coupling eastward. Conversely, in colder climates, the westward
515 contraction of the warm pool (Fig. 3c-d) concentrates atmospheric convection and wind-SST
516 coupling further west. We propose this as a hypothesis linking mean state changes to coupling
517 region shifts, rather than a demonstrated mechanism, and identifying the precise drivers of these
518 zonal shifts remains an important direction for future work.

519 Thermocline variability associated with La Niña can drive subsequent El Niño when the coupling
520 region shifts eastward. In our CESM experiments, greenhouse warming produces enhanced
521 equatorial warming that shifts atmospheric convection and wind responsiveness farther east along
522 the equatorial Pacific (Fig. 3e,f), allowing thermocline variability to play a larger role in the
523 growth of El Niño events. In the PI simulation, by contrast, the colder and drier mean state in the
524 eastern equatorial Pacific limits the atmospheric response to positive SST anomalies, reducing the
525 influence of thermocline-induced warming on El Niño initiation. In our warmer simulations, the
526 eastward shift in the coupling region also increases the effectiveness of thermocline-SST coupling
527 because it occurs over a shallower climatological thermocline (Fig. 8). This shift makes transitions
528 more consistent, contributing to ENSO's transition towards a self-sustained oscillation. This aligns
529 with findings from Cai et al. (2018), who showed a robust increase in EP ENSO SST variability
530 in future greenhouse-warming climates. Conversely, when the coupling region shifts westward,

531 as in our glacial simulations, the deep climatological thermocline reduces the coupling between
532 thermocline variability and SSTs, preventing the growth of La Niña. In this regime, El Niño
533 and La Niña occur in isolation without influence from previous events via thermocline dynamics.
534 This finding is consistent with paleoclimate records that suggest a weaker ENSO during glacial
535 periods (Thirumalai et al. 2024). As a result of the westward shifted coupling region, the growth
536 of ENSO events is likely to be dominated by stochastic atmospheric variability amplified by the
537 zonal advection feedback at the edge of the warm pool as seen in our heat budget analysis.

538 Our results are directly relevant to mechanisms proposed to explain changes in the diversity of
539 ENSO spatial patterns (‘flavors’) under global warming. Greenhouse warming has been argued
540 to flatten the equatorial Pacific thermocline, weakening the thermocline feedback and favoring
541 CP ENSO variability (Yeh et al. 2009), with a flatter thermocline also linked to enhanced CP
542 activity and reduced ENSO amplitude (Lübbecke and McPhaden 2014). Our glacial simulations
543 are broadly consistent with this framework: a deeper mean thermocline and a westward-displaced
544 coupling region weaken thermocline feedbacks and suppress variability. In contrast, our warming
545 experiments reveal a different regime: under $2\times\text{CO}_2$ and $4\times\text{CO}_2$ forcing, the thermocline shoals
546 and the coupling region shifts eastward, strengthening—rather than weakening—thermocline feed-
547 backs. This behavior appears inconsistent with the thermocline-flattening mechanism (Yeh et al.
548 2009), and instead highlights the zonal displacement of the coupling region as a key control on
549 where, and how effectively, the thermocline feedback operates.

550 This zonal control is consistent with the broader distinction between EP and CP ENSO regimes,
551 which differ in their dominant growth mechanisms: thermocline feedbacks for EP events and
552 zonal advective processes for CP events (Capotondi et al. 2015; Takahashi et al. 2011). Our heat
553 budget analysis supports this interpretation: in warmer climates, an eastward-shifted coupling
554 region enhances thermocline-driven growth consistent with EP-like dynamics, whereas in colder
555 climates, a westward shift favors zonal advection and CP-like behavior. Finally, what we term
556 “oscillatory dynamics” is closely related to ENSO “transitions” (Freund et al. 2024); here, we
557 identify the longitudinal position of the coupling region as a mechanistic control on the regularity
558 of these transitions, rather than treating them solely as an emergent property of ENSO variability.

559 Our heat budget analysis reveals large changes in the balance of zonal and vertical thermal
560 advection processes during the onset of El Niño. As discussed above, an eastward shift of the

561 ENSO coupling region makes the delayed thermocline feedback stronger, favoring oscillatory
562 dynamics. However, the eastward shift also strengthens the thermal advection by zonal current
563 anomalies (Fig. 7i) – the main physical process involved in the Bjerknes feedback during the growth
564 of El Niño events (McPhaden and Yu 1999; Thirumalai et al. 2024). We attribute this change to
565 the eastward shift in the coupling region too. The shallower climatological mixed layer over the
566 eastern equatorial Pacific could make zonal currents more responsive to winds allowing a faster
567 expansion of warm pool waters via zonal advective processes. A stronger zonal advection feedback
568 could also favor the onset of El Niño events via stochastic wind variability in addition to a stronger
569 influence from preceding La Niña via thermocline dynamics. This is particularly important for
570 understanding future changes in ENSO variability. Virtually all previous studies of ENSO changes
571 use the the Niño-3.4 region (170°W-120°W) to quantify ENSO variability (e.g., McPhaden et al.
572 2006; Vecchi and Wittenberg 2010; Timmermann et al. 1999). Our results, particularly the heat
573 budget analysis, suggests that using a fixed region would conflate mechanisms driving changes in
574 amplitude or frequency of ENSO events. We propose that the use of climate specific coupling
575 regions is needed to study the impact of changes in mean climate on all aspects of ENSO variability,
576 not only oscillatory dynamics.

577 The impact of zonal shifts in the coupling region could also be relevant for understanding ENSO
578 flavors. As El Niño events grow, they are initially driven mostly by zonal advection at the edge of
579 the warm pool where SST anomalies can feedback on the atmosphere McPhaden and Yu (1999). As
580 events grow in magnitude, the atmosphere becomes more responsive to SSTs towards the eastern
581 side of the basin. This shift in the region of coupling can excite the much stronger thermocline
582 feedback in the eastern equatorial Pacific. This is consistent with previous work showing that spatial
583 shifting of the Walker circulation controls ENSO complexity through the increased involvement
584 of processes such as the ocean adjustment to wind stress (Thual and Dewitte 2023) or thermocline
585 feedback (Capotondi 2013).

586 Although our results are based on a model that simulates a much more realistic ENSO temporal
587 evolution than most other models, CESM1 still simulates too many high-amplitude east Pacific El
588 Niño events. This bias could make ENSO appear more oscillatory, as these events are more prone
589 to transitioning back into El Niño conditions, contrary to what is observed in reality. This does not
590 unduly affect our results given that these events are relatively rare in the PI simulation, as shown by

591 the realistic behavior of the ACF. However, further research should apply our method to simulations
592 performed with other climate models with realistic ENSO evolution to assess the robustness of our
593 results. Another important aspect to consider is that the altered climates used in our analysis are
594 equilibrated relative to a constant forcing, unlike our current climate which is changing in response
595 to transient forcings that include not only greenhouse gases, but also anthropogenic aerosols,
596 stratospheric ozone change, and other forcing agents. Therefore it is unclear if the projected shift
597 to a fully oscillatory regime will occur under continued emissions of CO₂. Finally, one key feature
598 that requires further investigation is the presence of the two maxima in SST and zonal wind stress
599 variance under glacial conditions (Figs. 2c,d; 3c,d). While the western maximum is linked to the
600 leading mode of variability (PC1), the mechanisms driving the distinct eastern maximum remain
601 unclear. However, we find that this eastern maximum also exhibits a pronounced lack of oscillatory
602 behavior in colder climates, in contrast to the strong oscillatory signal it shows in warmer climates,
603 underscoring that climate-driven changes in ENSO dynamics are basin-wide (Fig. A1). Future
604 studies should focus on understanding this eastern maximum, potentially through targeted model
605 experiments to isolate the underlying physical processes.

606 CESM, while among the more realistic GCMs in simulating ENSO temporal evolution (DiNezio
607 et al. 2017a; Capotondi et al. 2020), exhibits a known westward bias in the spatial pattern of ENSO
608 SST variability that could in principle affect the sensitivity of the oscillatory behavior identified
609 here. In the PI simulation, maximum SST variability is located near 120°W (Fig. 2b), west of the
610 observed maximum near the South American coast (Fig. 2a), consistent with a westward bias in
611 the mean warm pool edge. This bias is well known in CMIP-class models and is commonly linked
612 to the cold tongue bias. However, our estimate of the coupling region—where ocean-atmosphere
613 feedbacks are most active—is nearly co-located with both the observational estimate and the Nino-
614 3.4 region. This suggests that the bias primarily affects the distribution of variability rather than the
615 location of the key dynamical coupling, and thus is unlikely to fundamentally alter the mechanisms
616 identified here. In the real-world system—where variability is located further east—the coupled
617 system might be closer to a regime of oscillatory behavior, consistent with the idea that ENSO
618 operates near a state of criticality in which modest mean-state changes can alter its dynamical
619 regime (Timmermann et al. 2018).

620 It is worth distinguishing between two types of model bias. The westward expansion of the
621 warm pool under colder mean states—a bias that directly affects the coupling region where our
622 mechanism operates—is a well-documented feature of CESM and other CMIP-class models. In
623 contrast, the displacement of the SST variability maximum off the South American coast is likely
624 driven by biases in mean SST and weaker coastal upwelling in the eastern Pacific, which affect
625 the manifestation of extreme events rather than the fundamental coupled dynamics. Our analysis
626 focuses on the coupling region, which is less affected by this latter bias.

627 The modeled response to greenhouse warming—a weakening of the Walker circulation and
628 enhanced equatorial warming (Vecchi and Soden 2007; DiNezio et al. 2009)—has not yet clearly
629 emerged in observations. Instead, recent trends suggest a strengthening of the Walker circulation
630 and zonal SST gradient (Wills et al. 2022). This discrepancy may reflect the influence of internal
631 variability (Heede et al. 2020) or transient responses to external forcings (Heede and Fedorov
632 2021), which can mask or delay the emergence of the long-term greenhouse response seen in our
633 equilibrated simulations. Paleoclimate evidence from the Pliocene—the most recent interval with
634 CO₂ levels comparable to a 2xCO₂ climate—supports a weakened zonal SST gradient and Walker
635 circulation (Tierney et al. 2019), consistent with our model’s long-term response. However, if
636 greenhouse warming instead produces a strengthening of the zonal SST gradient and equatorial
637 easterly winds—as observed in the glacial climate state simulations—we would expect a westward
638 shift in the coupling region and thus a less oscillatory ENSO.

639 Despite this uncertainty, our results provide a useful framework for linking changes in the mean
640 state to ENSO predictability. In the 1980s and 1990s, the Pacific experienced a multi-decadal
641 shift toward a weaker Walker circulation and an El Niño-like mean state, during which ENSO was
642 widely considered more predictable than in the recent decades (post year 2000) when the coupled
643 system has shifted toward a stronger Walker circulation and a La Niña-like mean state, more akin
644 to the glacial state in our simulations. Within our framework, these shifts are consistent with
645 changes in the longitudinal position of the coupling region and the resulting modulation of ENSO
646 oscillatory behavior, highlighting the role of the mean state as a key control on predictability.

647 **5. Conclusion**

648 Our simulations demonstrate that El Niño-La Niña transitions and the associated dynamical
649 regimes can experience pronounced changes under altered climatic states. Under global warming,
650 the transition from La Niña to El Niño becomes more consistent, transforming ENSO into a more
651 regular and predictable oscillation. In today's climate, this transition does not happen consistently
652 because the delayed thermocline responses associated with La Niña cannot induce a subsequent
653 El Niño. The thermocline deepening driven by La Niña drives anomalous heating in the central
654 equatorial Pacific, which terminates La Niña; however, as the thermocline deepens, it decouples
655 from the surface, limiting its ability to drive a subsequent El Niño (DiNezio and Deser 2014). This
656 nonlinearity is less pronounced over the eastern equatorial Pacific, where the mean thermocline
657 is sufficiently shallow that it never fully decouples from the surface layer even when it deepens,
658 and thus continues to be effective at influencing SSTs. Yet, this is a region where the atmosphere
659 is not responsive to SST variations because the mean conditions are too cold. However, as the
660 mean climate warms, the atmosphere does become more responsive to SST variability in this
661 eastern Pacific region. The eastward shift in the region of strong coupling produces more regular
662 transitions from La Niña to El Niño because the deepening of the thermocline following La Niña
663 induces SST anomalies in the eastern Pacific that can grow via the Bjerknes feedback. This allows
664 for the termination of La Niña to be followed by a subsequent El Niño, sustaining the ENSO cycle.
665 Conversely, under colder climates, the coupling region of ENSO shifts to the western equatorial
666 Pacific where the climatological thermocline is deep and thus the delayed thermocline shoaling
667 following El Niño cannot produce SST anomalies capable of growing via the Bjerknes feedback.
668 Together these results indicate that ENSO oscillatory behavior is highly sensitive to changes in mean
669 climate, with potential implications for the predictability of El Niño under greenhouse warming.

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⁶⁷⁵ *Data availability statement.* Data underlying this study can be obtained upon request to the
⁶⁷⁶ corresponding author.

APPENDIX

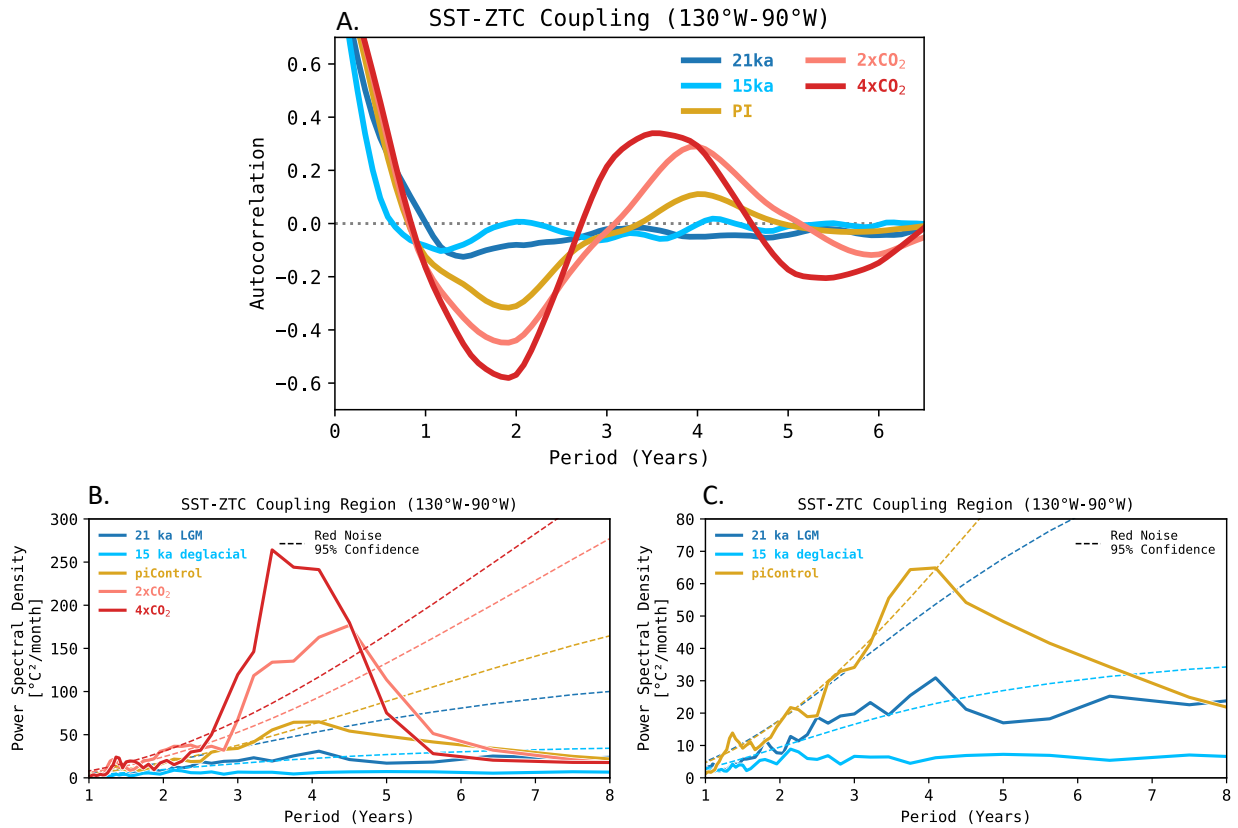


FIG. A1: **SST-ZTC Coupling Region (130°W-90°W) Analysis.** (A) Lagged autocorrelation function of the SST-ZTC index for different climate scenarios (B) Power spectral density of the SST-ZTC index. (C) Same as (B) but power spectral density for just the past climates and pre-industrial (note the smaller y-axis range compared to panel B). The dotted lines in (B) and (C) represent the 95% confidence level using a red-noise spectrum.

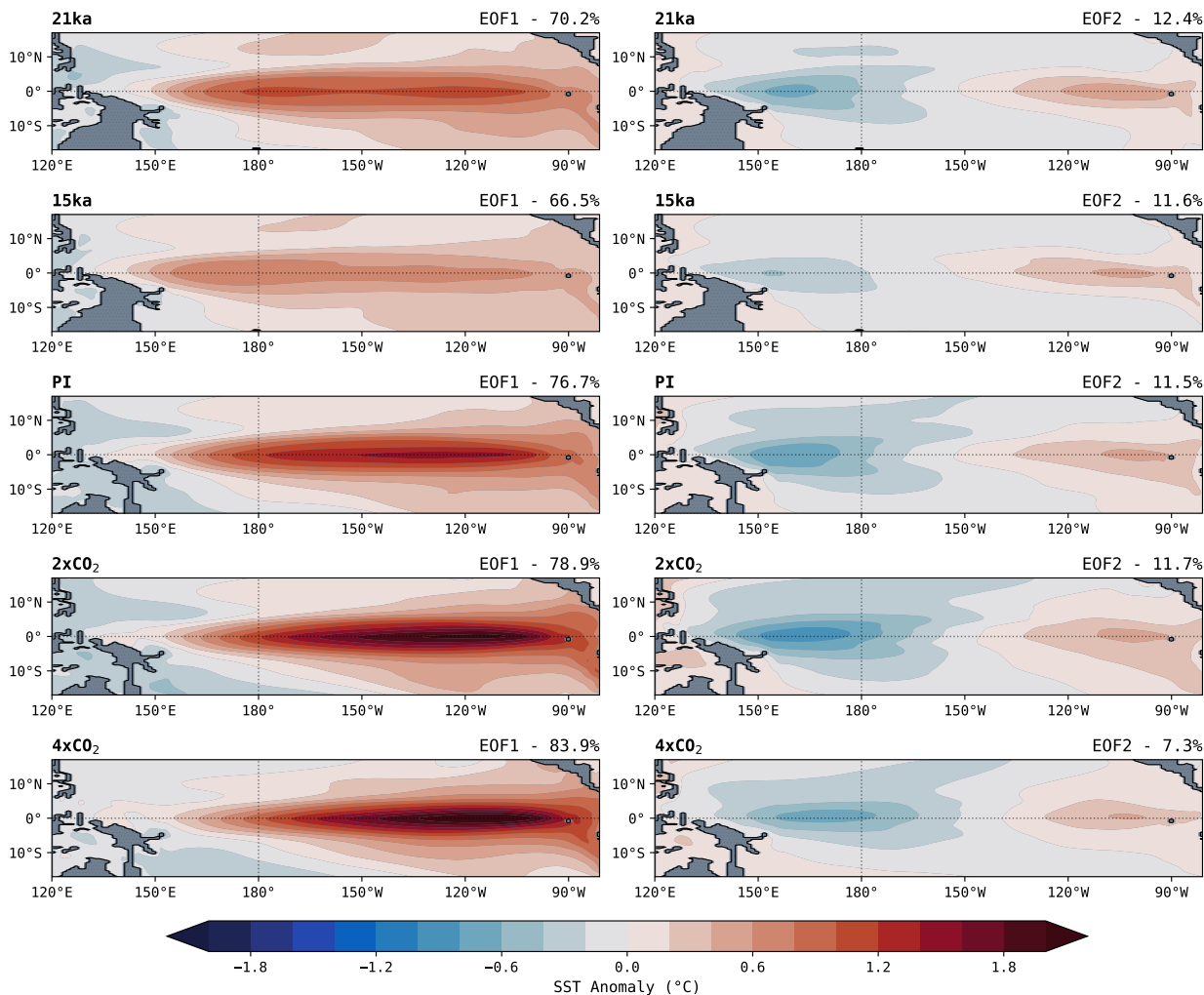


FIG. A2: **Empirical Orthogonal Functions (EOFs) of SST Anomalies.** The left column shows the leading mode pattern of variability for SST anomalies and the right column shows the second mode. The values in the top right corner indicate the percentage of variance explained by each EOF.

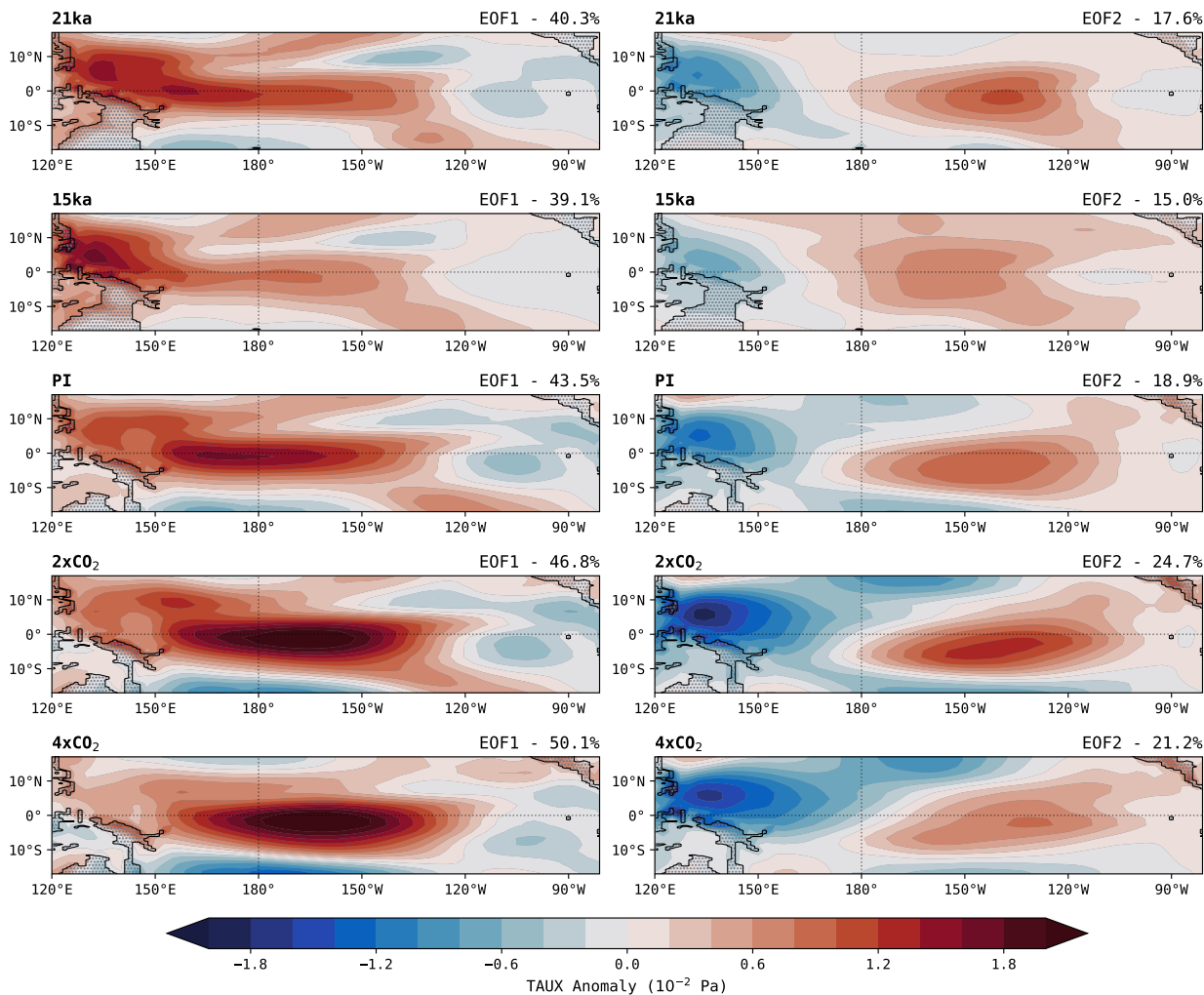


FIG. A3: **Empirical Orthogonal Functions (EOFs) of Zonal Wind Stress Anomalies.** The left column shows the leading mode pattern of variability for zonal wind stress anomalies and the right column shows the second mode. The values in the top right corner indicate the percentage of variance explained by each EOF.

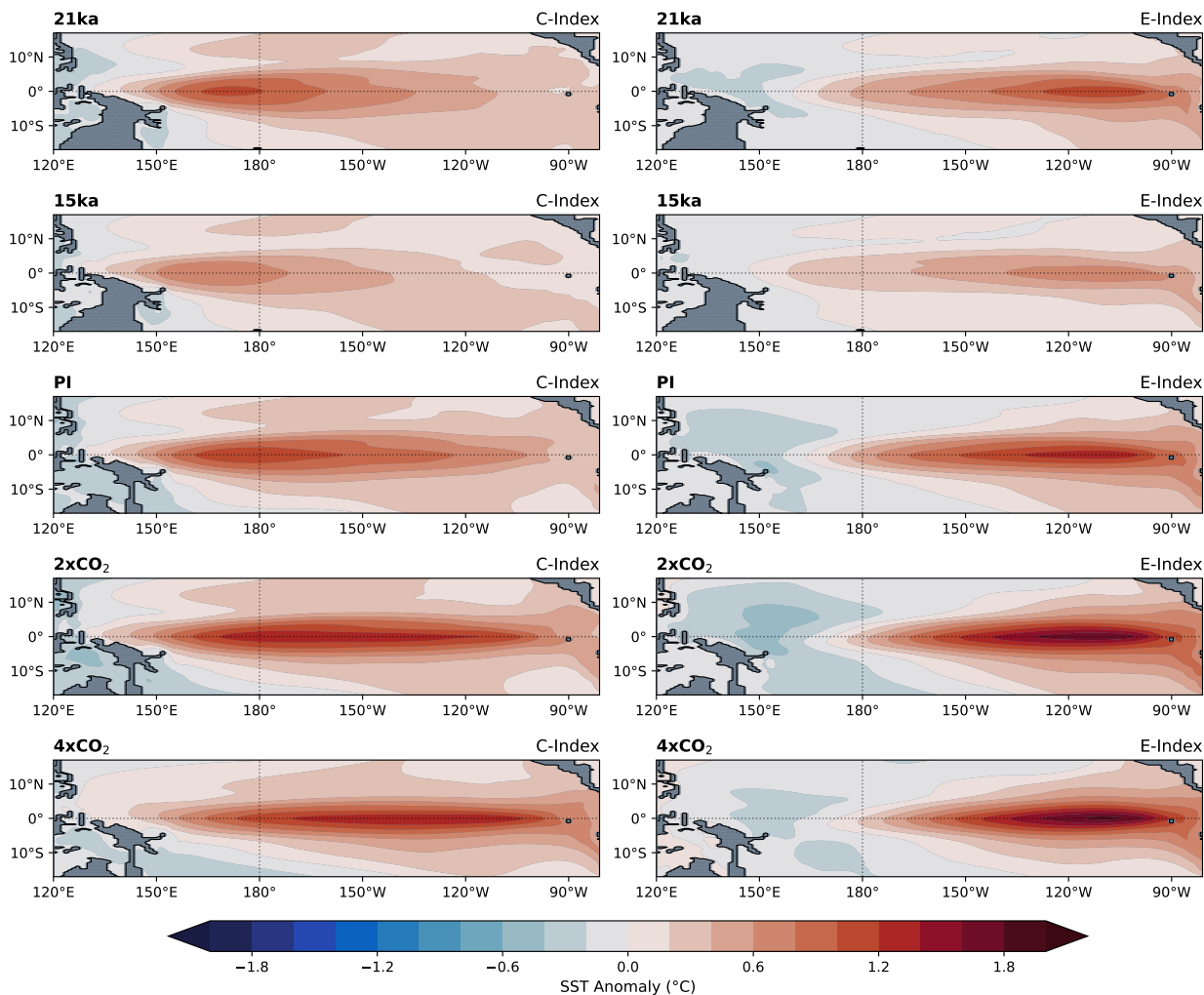


FIG. A4: **Spatial patterns of ENSO indices in different climate scenarios.** The left column shows the Central Pacific (CP) ENSO pattern, calculated using the C-Index, while the right column displays the Eastern Pacific (EP) ENSO pattern, calculated using the E-Index described by Takahashi et al. (2011).

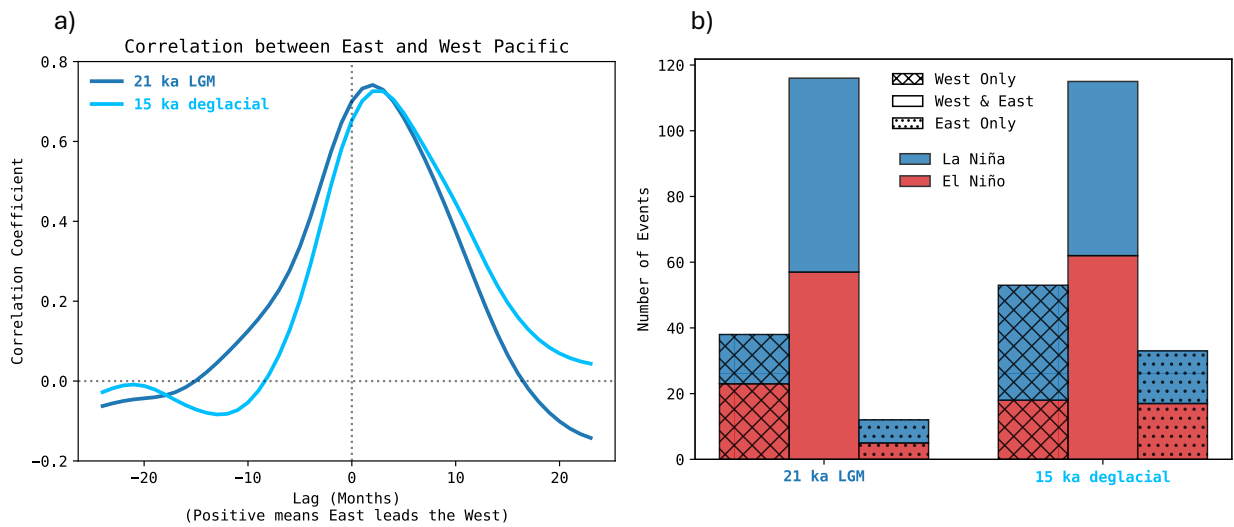


FIG. A5: **Event Characterization in Glacial Climates.** (a) Lagged correlation of equatorial SST anomalies (5°S - 5°N) between the western and eastern Pacific. The analysis uses climate-specific western Pacific regions (15ka: 145°E - 175°W ; 21ka: 160°E - 160°W) and a fixed eastern Pacific region (130°W - 90°W). (b) Stacked Bar chart showing the number of El Niño and La Niña events in each climate state, categorized into three types: "West Only" events exceed one standard deviation (21ka: 0.9K, 15ka: 0.6K) in the western Pacific while remaining within 0.5 standard deviations in the east. "East Only" events exceed one standard deviation in the eastern Pacific while remaining within 0.5 standard deviations in the west. "West & East" events exceed one standard deviation in both regions simultaneously.

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